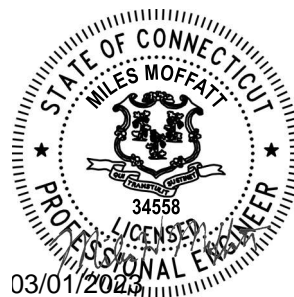


Project Manual

# Town of Vernon

## Exit 66 Sanitary Sewer Extension Town Contract #2105

March 2023



**Tighe&Bond**

1000 Bridgeport Avenue, Suite 320  
Shelton, CT 06484

**Town of Vernon Exit 66 Sanitary Sewer Extension**  
**Town Bid Contract #2105**  
**Town of Vernon Water Pollution Control Authority**  
**Vernon, Connecticut**  
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## TOWN OF VERNON WATER POLLUTION CONTROL AUTHORITY

VERNON, CONNECTICUT

## ADVERTISEMENT FOR BIDS

Sealed Bids for the construction of the “Town of Vernon Exit 66 Sewer Extension, Town Contract #2105 will be received by the Office of the Town Administrator, 14 Park Place, 3<sup>rd</sup> Floor Vernon, CT 06066 until 2:00 p.m. local time on April 19, 2023, at which time the Bids received will be publicly opened and read. Two hard copies and one electronic copy on a USB flash drive of the bid shall be submitted in a sealed envelope. Sealed Bids must have outer envelope marked as “Contract #2105 - Town of Vernon Exit 66 Sewer Extension.” Emailed, faxed, or late bids will not be accepted. The work consists of the installation of approximately 2,815 linear feet of 8” new gravity sewer main. Bids shall be on a lump sum and unit price basis as indicated in the Bid Form.

Bidding Documents may be obtained electronically from the Tighe & Bond website at: [http://www.tighebond.com/Projects\\_Out\\_to\\_Bid.php](http://www.tighebond.com/Projects_Out_to_Bid.php)

Prospective bidders must complete a one-time registration process on the web site in order to receive log-in credentials. Bidders must log in to the web site to download bidding documents for the project. Bidders will be added to the “planholders” or prospective bidders list upon downloading the bidding documents for the project.

Bidding documents may also be examined at the office of Tighe & Bond, Inc., 1000 Bridgeport Avenue, Shelton, CT between the hours of 8:00 a.m. and 5:00 p.m., Monday through Friday, legal holidays excluded. Questions for this bid will be received via the Tighe & Bond website no later than 7 days prior to the bid opening.

A bid deposit shall be furnished in accordance with the Instructions to Bidders.

Bidders shall be Department of Administrative Services (DAS) pre-qualified under the category of either “Water and Sewer Lines” or “Site Work”. Bidders are advised that both the DAS Prequalification Certificate and Update (Bid) Statement must accompany the Bid proposal for projects estimated to exceed \$500,000 under C.G.S. 4b-91 as amended. Failure to supply these forms with the Bid will result in rejection of the Bid.

TOWN OF VERNON, CONNECTICUT

Consulting Engineer:

Tighe &amp; Bond, Inc.

1000 Bridgeport Avenue, Suite 320

Shelton, CT 06484

END OF SECTION

## SECTION 00200

## INSTRUCTIONS TO BIDDERS

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1. Defined Terms
2. Copies of Bidding Documents
3. Qualifications of Bidders
4. Site and Other Areas; Existing Site Conditions; Examination of Site; Owner's Safety Program; Other Work at the Site
5. Bidder's Representations
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24. Connecticut Prevailing Wage Rates

**ARTICLE 1     DEFINED TERMS**

- 1.1    Terms used in these Instructions to Bidders will have the meanings indicated in the General Conditions and Supplementary Conditions.

**ARTICLE 2     COPIES OF BIDDING DOCUMENTS**

- 2.1    Refer to Advertisement for Bids for information on examination and procurement of documents.
- 2.2    Complete sets of Bidding Documents shall be used in preparing Bids; neither Owner nor Engineer assumes any responsibility for errors or misinterpretations resulting from the use of incomplete sets of Bidding Documents.
- 2.3    Owner and Engineer in making copies of Bidding Documents available on the above terms do so only for the purpose of obtaining Bids for the Work and do not confer a license or grant for any other use.

**ARTICLE 3     QUALIFICATIONS OF BIDDERS**

- 3.1    Bidders shall be experienced in the kind of Work to be performed, shall have the necessary equipment, and shall possess sufficient capital to properly execute the Work within the time allowed. Bids received from Bidders who have previously failed to complete Work within the time required, or who have previously performed similar Work in an unsatisfactory manner, may be rejected. A Bid may be rejected if Bidder cannot show that he has the necessary ability, plant and equipment to commence the Work at the time prescribed and thereafter to prosecute and complete the Work at the rate or within the time specified. A Bid may be rejected if Bidder is already obligated for the performance of other Work which would delay the commencement, prosecution or completion of the Work.
- 3.2    Bidders may be investigated by Owner to determine if they are qualified to perform the Work. All Bidders shall be prepared to submit within five days of Owner's or Engineer's request, written evidence of such information and data necessary to make this determination. The investigation of a Bidder will seek to determine whether the organization is adequate in size, is authorized to do business in the jurisdiction where the project is located, has had previous experience and whether available equipment and financial resources are adequate to assure Owner that the Work will be completed in accordance with the terms of the Agreement. Owner reserves the right to reject any Bid if the evidence submitted by, or investigation of such Bidder fails to satisfy Owner that such Bidder is properly qualified to carry out the obligations of the Contract and to complete the Work contemplated therein.
- A.    Bidders may be required to provide a letter stating that the Bidder is in good financial standing. The letter must:
1.    Be provided by a financial institution or certified public accountant having a relationship with the Bidder;
  2.    Be on the bank or accountant's letterhead;
  3.    Include name and contact information for the bank or accountant including address, email and telephone number;
  4.    Identify the account holder(s), whose names must match the name of the Bidder, the type and length of business relationship, and the historical status of the accounts (i.e. good standing, timely payments, no overdrafts, etc.); and NOT include account numbers, account amounts, or lines of credit.

- B. Within three days of Owner's or Engineer's request, the Bidder shall submit 5 years of audited financial statements.
- 3.3 Bidders shall be Department of Administrative Services (DAS) pre-qualified under the category of either "Water and Sewer Lines" or "Site Work". Bidders are advised that both the DAS Prequalification Certificate and Update (Bid) Statement must accompany the bid proposal for projects estimated to exceed \$500,000, and which is funded in whole or in part with state funds, except a public highway or bridge project or any other construction project administered by the Department of Transportation. Failure to supply these forms with the bid will result in rejection of the bid.
- 3.4 Any bid, from a Bidder who is on a U.S. Government and/or State of Connecticut Debarred Contractor List, will be rejected by the Owner in accordance with State and Federal Laws and Regulations.

**ARTICLE 4 SITE AND OTHER AREAS; EXISTING SITE CONDITIONS; EXAMINATION OF SITE; OWNER'S SAFETY PROGRAM; OTHER WORK AT THE SITE**

- 4.1 The Site is identified in the Bidding Documents. By definition, the Site includes rights-of-way, easements, and other lands furnished by Owner for the use of the Contractor. Any additional lands required for temporary construction facilities, construction equipment, or storage of materials and equipment are to be obtained and paid for by Contractor.

**4.2 Existing Site Conditions**

**A. Subsurface and Physical Conditions; Hazardous Environmental Conditions**

- 1. The Supplementary Conditions identify:
  - a. those reports known to Owner of explorations and tests of subsurface conditions at or adjacent to the Site.
  - b. those drawings known to Owner of physical conditions in or relating to existing surface and subsurface structures at the Site (except Underground Facilities).
  - c. Reports and drawings known to Owner relating to Hazardous Environmental Conditions that have been identified at or adjacent to the Site.
  - d. Technical Data contained in such reports and drawings.
- 2. Copies of reports and drawings referenced above will be made available for review at Engineer's office. These reports and drawings are not part of the Contract Documents, but the Technical Data contained therein upon whose accuracy Bidder is entitled to rely, as provided in the General Conditions, has been identified and established in the Supplementary Conditions. Bidder is responsible for any interpretation or conclusion Bidder draws from any Technical Data or any other data, interpretations, opinions or information contained in such reports or shown or indicated in such drawings.
- 3. If the Supplementary Conditions do not identify Technical Data, the default definition of Technical Data set forth in Article 1 of the General Conditions will apply.

**B. Underground Facilities: Information and data shown or indicated in the Bidding Documents with respect to existing Underground Facilities at or contiguous to the Site**

are set forth in the Contract Documents and are based upon information and data furnished to Owner and Engineer by owners of such Underground Facilities, including Owner, or others.

- C. Adequacy of Data: Provisions concerning responsibilities for the adequacy of data furnished to prospective Bidders with respect to subsurface conditions, other physical conditions and Underground Facilities, and possible changes in the Bidding Documents due to differing or unanticipated conditions appear in paragraphs 5.03, 5.04, and 5.05 of the General Conditions. Provisions concerning responsibilities for the adequacy of data furnished to prospective Bidders with respect to a Hazardous Environmental Condition at the site, if any, and possible changes in the Contract Documents due to any Hazardous Environmental Condition uncovered or revealed at the Site which was not shown or indicated in the Drawings or Specifications or identified in the Contract Documents to be within the scope of the Work appear in paragraph 5.06 of the General Conditions.

#### 4.3 Site Visit and Testing by Bidders

- A. Bidder is not required to conduct any subsurface testing, or exhaustive investigations of Site conditions.
- B. On request, and to the extent Owner has control over the Site, and schedule permitting, the Owner will provide Bidder access to the Site to conduct such additional examinations, investigations, explorations, tests, and studies as Bidder deems necessary for preparing and submitting a successful Bid. Owner will not have any obligation to grant such access if doing so is not practical because of existing operations, security or safety concerns, or restraints on Owner's authority regarding the Site.
- C. Bidder shall comply with all applicable Laws and Regulations regarding excavation and location of utilities, obtain all permits, and comply with all terms and conditions established by Owner or by property owners or other entities controlling the Site with respect to schedule, access, existing operations, security, liability insurance, and applicable safety programs.
- D. Bidder shall fill all holes and clean up and restore the Site to its former condition upon completion of such explorations, investigations, tests, and studies.

#### 4.4 Owner's Safety Program

- A. Site visits and work at the Site may be governed by an Owner safety program. As the General Conditions indicate, if an Owner safety program exists, it will be noted in the Supplementary Conditions.

#### 4.5 Other Work at the Site

- A. Reference is made to Article 8 of the Supplementary Conditions for the identification of the general nature of other work of which Owner is aware (if any) that is to be performed at the Site by Owner or others (such as utilities and other prime contractors) and relates to the Work for which a Bid is to be submitted. If Owner is party to a written contract for such other work, then on request, Owner will provide to each Bidder access to examine such contracts (other than portions thereof related to price and other confidential matters), if any.

### ARTICLE 5 BIDDER'S REPRESENTATIONS

#### 5.1 It is the responsibility of each Bidder before submitting a Bid to:



- A. examine and carefully study the Bidding Documents, including any Addenda, data, and referenced items identified in the Bidding Documents;
- B. visit the Site, conduct a thorough, alert visual examination of the Site and adjacent areas, and become familiar with and satisfy itself as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work;
- C. become familiar with and satisfy itself as to all Laws and Regulations that may affect cost, progress, or performance of the Work;
- D. carefully study all reports of explorations and tests of subsurface conditions at or adjacent to the Site and all drawings of physical conditions in or relating to existing surface or subsurface structures at or adjacent to the Site which have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings, and carefully study all reports and drawings relating to a Hazardous Environmental Condition, if any, at or adjacent to the Site which have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings;
- E. consider the information known to Bidder itself; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Bidding Documents; and the Site-related reports and drawings identified in the Bidding Documents, with respect to the effect of such information, observations, and documents on 1) the cost, progress, and performance of the Work; 2) the means, methods, techniques, sequences, and procedures of construction to be employed by Bidder, , and 3) Bidder's safety precautions and programs;
- F. agree, based on the information and observations referred to in the preceding paragraph, that at the time of submitting its Bid no further examinations, investigations, explorations, tests, studies, or data are necessary for the determination of its Bid for performance of the Work at the price bid and within the times and in accordance with the other terms and conditions of the Bidding Documents;
- G. promptly give Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Bidder discovers in the Bidding Documents and confirm that the written resolution thereof by Engineer is acceptable to Bidder;
- H. determine that the Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for the performance and finishing of the Work; and
- I. agree that the submission of a Bid will constitute an incontrovertible representation by Bidder that Bidder has complied with every requirement of this Article, that without exception the Bid and all prices in the Bid are premised upon performing and furnishing the Work required by the Bidding Documents.

#### ARTICLE 6 INTERPRETATIONS AND ADDENDA

- 6.1 All questions about the meaning or intent of the Bidding Documents shall be submitted in writing to the Engineer via the Tighe & Bond website for bidding document distribution at:  
[http://www.tighebond.com/Projects\\_Out\\_to\\_Bid.php](http://www.tighebond.com/Projects_Out_to_Bid.php)
- 6.2 Prospective bidders must be registered users of the web site to submit questions regarding the project. In order to receive consideration, questions must be received by Engineer at least five

days prior to the date fixed for the opening of Bids. Interpretations or clarifications considered necessary by Engineer in response to such questions will be issued by Addenda to all parties recorded by Engineer as having received the Bidding Documents not later than three days prior to the date fixed for the opening of Bids. Only questions answered by Addenda will be binding. Oral and other interpretations or clarifications will be without legal effect.

- 6.3 Addenda may be issued to clarify, correct, supplement or change the Bidding Documents. Such Addenda, if any, will be issued in the manner and within the time period stated in paragraph 7.2.
- 6.4 The Bidder must acknowledge receipt of each Addendum, if any, in the space provided on the Bid Form.

#### ARTICLE 7 BID DEPOSIT

- 7.1 In the Bidding Documents, the terms “Bid security” and “Bid deposit” shall have the same meaning.
- 7.2 A Bid must be accompanied by Bid security made payable to Owner in an amount of 5% of Bidder’s maximum Bid price (including any additive alternates) and in the form of a certified check, bank money order, or a Bid bond (on the form included in the Bidding Documents) issued by a surety meeting the requirements of Paragraphs 6.01 and 6.02 of the General Conditions.
- 7.3 All Bid deposits of General Bidders, except those under consideration by Owner, will be returned within 5 days, excluding Saturdays, Sundays and legal holidays, after the opening of General Bids. Other Bid deposits will be returned upon the execution and delivery of the Agreement. The Bid deposit of the Successful Bidder will be retained until such bidder has furnished the required contract security and executed the Agreement, whereupon the bid deposit shall be returned. If the Successful Bidder fails to furnish the required contract security and execute the Agreement within 15 days after the Notice of Award, Owner may annul the Notice of Award and the Bid deposit of that Bidder will be forfeited to Owner as liquidated damages for such failure.

#### ARTICLE 8 CONTRACT TIMES

- 8.1 The number of days within which, or the dates by which, the Work is to be:
  - A. substantially completed, and/or
  - B. completed and ready for final paymentare set forth in the Agreement.

#### ARTICLE 9 LIQUIDATED DAMAGES

- 9.1 Provisions for liquidated damages, if any, for failure to timely attain a Milestone, Substantial Completion, or completion of the Work in readiness for final payment, are set forth in the Agreement.

#### ARTICLE 10 SUBSTITUTE AND “OR EQUAL” ITEMS

- 10.1 The Contract for the Work, as awarded, will be on the basis of materials and equipment specified or described in the Bidding Documents without consideration during the bidding and Contract award process of possible substitute or “or equal” items. In cases in which the Contract allows the Contractor to request that Engineer authorize the use of a substitute or “or

equal” item of material or equipment, application for such acceptance may not be made to and will not be considered by Engineer until after the effective date of the Contract.

ARTICLE 11 SUBCONTRACTORS, SUPPLIERS, AND OTHERS (NOT USED)

ARTICLE 12 PREPARATION OF BID

- 12.1 A Bid must be made on the Bid form included with the Project Manual. The Bid form shall not be altered in any way.
- 12.2 The Bid form must be completed in ink. Blank spaces in the Bid form must be filled in correctly where indicated, and the Bidder must state, both in words and numerals, the prices for which he proposes to complete each and every item of Work. Ditto marks shall not be used.
- 12.3 A Bidder shall execute his Bid as stated below.
  - A. A Bid by an individual shall show the Bidder’s name and official address.
  - B. A Bid by a partnership must be executed in the partnership name and signed by a partner (whose title must appear under the signature) accompanied by evidence of authority to sign. The official address of the partnership shall be shown.
  - C. A Bid by a corporation must be executed in the corporate name by a corporate officer (whose title must appear under the signature) and must be accompanied by evidence of authority to sign. The corporate seal shall be affixed and attested by the corporate secretary. The state of incorporation and the official corporate address shall be shown.
  - D. A Bid by a limited liability company shall be executed in the name of the firm by a member or other authorized person and accompanied by evidence of authority to sign. The state of formation of the firm and the official address of the firm shall be shown.
  - E. A Bid by a joint venture shall be executed by an authorized representative of each joint venturer in the manner indicated on the Bid Form. The official address of the joint venture shall be shown.
  - F. All names must be printed in ink below the signature.
- 12.4 The Bid shall contain an acknowledgment of the receipt of all Addenda in the space provided on the Bid form.
- 12.5 Postal and email addresses and telephone number to which communications regarding the Bid are to be directed shall be shown.
- 12.6 The Bid shall contain evidence of Bidder’s authority and qualification to do business in the state where the Project is located, or Bidder shall covenant in writing to obtain such authority and qualification prior to award of the Contract and attach such covenant to the Bid. Bidder’s state contractor license number, if any, shall also be shown on the Bid Form.
- 12.7 In order to be considered for selection, the Bidder must submit a complete bid package in accordance with these Bidding Documents. Partial Bids will not be accepted. Refer to the Bid Form for a list of documents that shall be submitted in addition to the Bid Form.
- 12.8 Any deviations in completion of the Bid Form and accompanying documents from the instructions provided in this Article may be cause for rejection of the Bid.

**ARTICLE 13 BASIS OF BID****13.1 Unit Price**

- A. Bidders shall submit a Bid on a unit price basis for each item of Work listed in the unit price section of the Bid Form.
- B. The “Bid Price” (sometimes referred to as the extended price) for each unit price Bid item will be the product of the “Estimated Quantity” (which Owner or its representative has set forth in the Bid Form) for the item and the corresponding “Bid Unit Price” offered by the Bidder. The total of all unit price Bid items will be the sum of these “Bid Prices”; such total will be used by Owner for Bid comparison purposes. The final quantities and Contract Price will be determined in accordance with paragraph 13.03 of the General Conditions.
- C. Discrepancies between the multiplication of units of Work and unit prices will be resolved in favor of the unit prices. Discrepancies between the indicated sum of any column of figures and the correct sum thereof will be resolved in favor of the correct sum. Discrepancies between words and figures will be resolved in favor of the words.
- D. Unit prices for identical item numbers that are in more than one bid schedule shall be equal. Discrepancies will be resolved in favor of the lowest unit price.
- E. The award will be based on the lowest eligible Bid.

**ARTICLE 14 SUBMITTAL OF BID**

- 14.1 A Bid shall be received no later than the date and time prescribed and at the place indicated in the advertisement for Bids and shall be enclosed in an opaque sealed envelope plainly marked with the Project title, the name and address of Bidder, and shall be accompanied by the Bid deposit and other required documents. If a Bid is sent by mail or other delivery system, the sealed envelope containing the Bid shall be enclosed in a separate envelope plainly marked on the outside with the notation “BID ENCLOSED”. When using the mail or other delivery system, the Bidder is totally responsible for the mail or other delivery system delivering the Bid at the place and prior to the time indicated in the Advertisement for Bids. A mailed Bid shall be addressed to Owner at the address in the Advertisement for Bids.
- 14.2 Bids received after the date and time prescribed for the opening of bids, or not submitted at the correct location or in the designated manner, will not be accepted and will be returned to the Bidder unopened.

**ARTICLE 15 MODIFICATION OR WITHDRAWAL OF BID****15.1 Withdrawal Prior to Bid Opening**

- A. A Bid may be withdrawn by an appropriate document duly executed in the same manner that a Bid must be executed and delivered to the place where Bids are to be submitted prior to the date and time for the opening of Bids. Upon receipt of such notice, the unopened Bid will be returned to the Bidder.

**15.2 Modification Prior to Bid Opening**

- A. If a Bidder wishes to modify its Bid prior to the Bid opening, Bidder must withdraw its initial Bid in the manner specified in paragraph 16.1.A and submit a new Bid prior to the date and time for the opening of Bids.

**ARTICLE 16 OPENING OF BIDS**

- 16.1 Bids will be opened as indicated in the Advertisement for Bids and publicly read aloud.
- 16.2 In order to be considered for selection, Bids must arrive at the designated location on or before the date and time specified in the Advertisement for Bids. Bidders mailing their Bids should allow for normal mail delivery time to ensure timely receipt of their Bids by Owner.
- 16.3 Bids received by mail or otherwise after the time specified for the opening of Bids will not be accepted and will be returned to the Bidder unopened.
- 16.4 No responsibility will attach to Owner, its employees or the Engineer for premature opening of a Bid not properly addressed and identified in accordance with the Bidding Documents.

**ARTICLE 17 DISQUALIFICATION OF BIDDERS**

- 17.1 More than one Bid for the same Work from an individual, or a firm, partnership, corporation or an association under the same or different names will not be considered. Reasonable grounds for believing that any Bidder is interested in more than one Bid for the Work may be cause for disqualification of that Bidder and the rejection of all Bids in which that Bidder is interested.

**ARTICLE 18 BIDS TO REMAIN SUBJECT TO ACCEPTANCE**

- 18.1 All Bids will remain subject to acceptance for the period of time stated in the Bid form, but Owner may, in its sole discretion, release any Bid and return the Bid deposit prior to the end of this period.

**ARTICLE 19 EVALUATION OF BIDS AND AWARD OF CONTRACT**

- 19.1 Owner reserves the right to reject any and all Bids, to waive any and all informalities, and the right to disregard all nonconforming, nonresponsive or conditional Bids.
- 19.2 Owner reserves the right to reject any Bid not accompanied by specified documentation and Bid deposit.
- 19.3 Owner reserves the right to reject any Bid if it shows any omissions, alterations of form, additions not called for, conditions or qualifications, or irregularities of any kind.
- 19.4 Owner reserves the right to reject any Bid that, in his sole discretion, is considered to be unbalanced or unreasonable as to the amount bid for any lump sum or unit price item.
- 19.5 In evaluating Bids, Owner will consider whether or not the Bids comply with the prescribed requirements, and such alternates, unit prices and other data, as may be requested in the Bid Form or prior to the Notice of Award.
- 19.6 In evaluating whether a Bidder is responsible, Owner will consider the qualifications the Bidder and may consider the qualifications and experience of Subcontractors and Suppliers proposed for those portions of the Work for which the identity of Subcontractors and Suppliers must be submitted as provided in the Bidding Documents.
- 19.7 Owner may conduct such investigations as Owner deems necessary to establish the responsibility, qualifications, and financial ability of Bidders and any proposed Subcontractors or Suppliers.
- 19.8 If the Owner awards the Contract for the Work, such award shall be to the responsible Bidder (who has neither been disqualified nor rejected pursuant to Article 18 or this Article 20) submitting the lowest responsive Bid.

19.9 Contents of the Bid of the Successful Bidder will become part of any contract awarded.

## **ARTICLE 20 CONTRACT SECURITIES**

20.1 Performance and payment bonds shall be furnished by the successful Bidder. The amounts of and other requirements for performance and payment bonds are stated in Article 6 of the General Conditions. Performance and payment bonds submitted shall be posted by a recognized surety company having a place of business in the State of Connecticut. All performance and payment bonds signed by an agent must be accompanied by a certified copy of the authority to act. Performance Bonds and Payment Bonds shall be submitted on the forms included in Sections 00610 and 00615, respectively, of the Contract Documents. Additional requirements may be stated in the General or Supplementary Conditions.

20.2 Within 15 days from the date of the Notice of Award, the Successful Bidder shall deliver to Owner and Engineer, for review and approval, the performance bond and the payment bond he proposes to furnish at the time of the execution of the Agreement.

20.3 The required contract securities will become part of the Contract Documents.

## **ARTICLE 21 CONTRACT INSURANCE**

21.1 The requirements for insurance to be provided by the Successful Bidder are stated in Article 6 of the General Conditions and in the Supplementary Conditions.

21.2 Within 15 days from the date of the Notice of Award, the Successful Bidder shall deliver evidence of required insurance to Owner and Engineer. The required insurance certificates will become part of the Contract Documents.

## **ARTICLE 22 SIGNING OF AGREEMENT**

22.1 The Owner will transmit the required number of unsigned Agreements to the Successful Bidder with the Notice of Award. Within 15 days of the date of the Notice of Award, the Successful Bidder shall sign the Agreements and return them to the Owner. The Owner will return one executed Contract to the Successful Bidder.

## **ARTICLE 23 SALES TAXES**

23.1 Owner is exempt from Connecticut State sales and use taxes on materials and equipment to be incorporated in the Work. Said taxes shall not be included in the Bid. The exemption certificate will be provided to the Successful Bidder.

## **ARTICLE 24 CONNECTICUT PREVAILING WAGE RATES**

24.1 Minimum Wage Rates as determined by the Connecticut State Labor Commissioner as required under Section 31-53(g) of the Connecticut General Statutes] as amended, apply to this project unless the total Bid is less than \$400,000 for new construction or \$100,000 for remodeling, refinishing, refurbishing, rehabilitation, alteration or repair projects. The Wage Rate Determination is included in Part II of the Supplementary Conditions.

24.2 It is the responsibility of the Bidder before bid opening to request any additional information on Minimum Wage Rates for those tradespeople who may be employed for the proposed Work under this Contract. It is the responsibility of the Bidder to obtain work classifications not included in the Wage Decisions package.

**END OF SECTION**

## SECTION 00300

## GEOTECHNICAL DATA

## PART 1 GENERAL

## 1.1 SUMMARY

- A. For the preparation of Bidding Documents, Engineer has relied upon the following reports and tests of subsurface and latent physical conditions of the site. The location of all bore holes is shown on the Drawings.
  - 1. Soil boring data (attached)
    - a. The subsurface data are not guaranteed as to accuracy or completeness, nor are they a part of the Contract Documents.
    - b. Bidders are cautioned that the subsurface data have been utilized for general design purposes only. No explicit or implicit representation is made as to the nature of the materials which may be encountered below the surface of the ground.
    - c. The making available of this subsurface data to Bidders is not intended to relieve them from their responsibility to familiarize themselves with the subsurface and other site conditions.

## PART 2 PRODUCTS – NOT USED

## PART 3 EXECUTION – NOT USED

END OF SECTION

Jaime Lloret	<b>TEST BORING REPORT</b> <b>ASSOCIATED BORINGS CO., INC.</b> <b>119 MARGARET CIRCLE, NAUGATUCK, CT 06770</b> <b>Tel (203) 729-5435 Fax (203) 729-5116</b>	SHEET 1 OF 1
DRILLER		
Brian Gaulzetti		CME-45B
INSPECTOR		DRILLING EQUIPMENT Tighe and Bond, Inc.
DATE; 8/19/2020	PROJECT NAME: Exit 66 Sewer improvements	CLIENT
	PROJECT NUMBER:	
	LOCATION: Vernon, Connecticut	

### POWER DRILL SOUNDING REPORT

Station	Offset	Elev	Probe #	From	To	Remarks: Soil Encountered, Groundwater Depth, Refusal Etc.
Intersection of Tunnel			B-66-1	0.0	12"	Bituminous Concrete
Road and South Frontage Road				12"	18.0	Soil
						End of Boring - 18.0 G.W.O. - None
			B-66-2	0.0	8"	Bituminous Concrete
				8"	10.0	Soil
						Refusal - 10.0 End of Boring - 10.0 G.W.O. - None
	7'SW		B-66-2A	0.0	8"	Bituminous Concrete
				8"	10.5	Soil
						Refusal - 10.5 End of Boring - 10.5 G.W.O. - None
			B-66-3	0.0	4"	Bituminous Concrete
				4"	15.0	Soil
						End of Boring - 15.0 G.W.O. - 5.0
			B-66-4	0.0	4"	Bituminous Concrete
				4"	18.0	Soil
						End of Boring - 18.0 G.W.O. - None
			B-66-5	0.0	3"	Bituminous Concrete
				3"	8.0	Soil
						End of Boring - 8.0 G.W.O. - None
			B-66-6	0.0	11"	Bituminous Concrete
				11"	4.0	Soil
						Refusal - 4.0 End of Boring - 4.0 G.W.O. - None
	6'N		B-66-6A	0.0	11"	Bituminous Concrete
				11"	4.0	Soil
						Refusal - 4.0 End of Boring - 4.0 G.W.O. - None
			B-66-7	0.0	7"	Bituminous Concrete
				7"	22.0	Soil
						Refusal - 22.0 End of Boring - 22.0 G.W.O. - None
			B-66-8	0.0	3"	Bituminous Concrete
				3"	8.0	Soil
						End of Boring - 8.0 G.W.O. - 4.5
			B-66-9	0.0	4"	Bituminous Concrete
				4"	16.0	Soil
						End of Boring - 16.0 G.W.O. - 10.0
			B-66-10	0.0	9"	Bituminous Concrete
				9"	8.0	Soil
						End of Boring - 8.0 G.W.O. - None
			B-66-11	0.0	4"	Bituminous Concrete
				4"	17.0	Soil
						End of Boring - 17.0 G.W.O. - 13.5
			B-66-12	0.0	3"	Bituminous Concrete
				3"	10.0	Soil
						End of Boring - 10.0 G.W.O. - None
			B-66-13	0.0	4"	Bituminous Concrete
				4"	10.0	Soil
						End of Boring - 10.0 G.W.O. - 4.5
			B-66-14	0.0	11"	Bituminous Concrete
				11"	4.0	Soil Refusal - 4.0 End of Boring - 4.0 G.W.O. - None

PROPORTIONS USED: TRACE = 1-10% LITTLE = 10-20% SOME = 20-35% AND = 35-50%



## SECTION 00410

## BID FORM

## PROJECT IDENTIFICATION:

Town of Vernon Exit 66 Sewer Extension – Town Contract #2105

TABLE OF ARTICLES

1. Bid Recipient
2. Bidder's Acknowledgements
3. Bidder's Representations
4. Bidder's Certifications
5. Basis of Bid
6. Time of Completion
7. Attachments to This Bid
8. Bid Submittal

## ARTICLE 1 - BID RECIPIENT

- 1.1 This Bid is submitted to:

Town of Vernon Office of the Town Administrator

14 Park Place

Vernon, CT 06066

- 1.2 The undersigned Bidder proposes and agrees, if this Bid is accepted, to enter into an Agreement with Owner in the form included in the Bidding Documents to perform all Work as specified or indicated in the Bidding Documents for the prices and within the times indicated in this Bid and in accordance with the other terms and conditions of the Bidding Documents.

## ARTICLE 2 - BIDDER'S ACKNOWLEDGEMENTS

- 2.1 Bidder accepts all of the terms and conditions of the Advertisement for Bids and Instructions to Bidders, including without limitation, those dealing with the disposition of Bid deposit. The Bid will remain subject to acceptance for 30 days after the Bid opening, or for such longer period of time that Bidder may agree to in writing upon request of Owner.

## ARTICLE 3 - BIDDER'S REPRESENTATIONS

- 3.1 In submitting this Bid, Bidder represents, as set forth in the Agreement, that:
- A. Bidder has examined and carefully studied the Bidding Documents, and any data and reference items identified in the Bidding Documents and hereby acknowledges the receipt of all Addenda.
  - B. Bidder has visited the Site, conducted a thorough, alert visual examination of the Site and adjacent areas, and become familiar with and satisfied itself as to the general, local and Site conditions that may affect cost, progress, and performance of the Work.

- C. Bidder is familiar with and has satisfied itself as to all federal, state and local Laws and Regulations that may affect cost, progress and performance of the Work.
- D. Bidder has carefully studied all: (1) reports of explorations and tests of subsurface conditions at or adjacent to the Site and all drawings of physical conditions relating to existing surface or subsurface structures at the Site that have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings, and (2) reports and drawings relating to Hazardous Environmental Conditions, if any, at or adjacent to the Site that have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings.
- E. Bidder has considered the information known to Bidder itself; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Bidding Documents; and any Site-related reports and drawings identified in the Bidding Documents, with respect to the effect of such information, observations, and documents on (1) the cost, progress, and performance of the Work; (2) the means, methods, techniques, sequences, and procedures of construction to be employed by Bidder; and (3) Bidder's safety precautions and programs.
- F. Bidder agrees, based on the information and observations referred to in the preceding paragraph, that no further examinations, investigations, explorations, tests, studies, or data are necessary for the determination of this Bid for performance of the Work at the price bid and within the times required and in accordance with the other terms and conditions of the Bidding Documents.
- G. Bidder is aware of the general nature of work to be performed by Owner and others at the Site that relates to the Work as indicated in the Bidding Documents.
- H. Bidder has given Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Bidder has discovered in the Bidding Documents and confirms that the written resolution thereof by Engineer is acceptable to Bidder.
- I. The Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for the performance and furnishing of the Work.
- J. The submission of this Bid constitutes an incontrovertible representation by Bidder that Bidder has complied with every requirement of this Article, and that without exception the Bid and all prices in the Bid are premised upon performing and furnishing the Work required by the Bidding Documents.
- K. Bidder is aware that the estimated quantities on the Bid Form are subject to Article 13.03 of the General Conditions (Section 00700).

#### ARTICLE 4 - BIDDER'S CERTIFICATION

- 4.1 Bidder certifies that, under penalty of perjury, Bidder is not presently debarred from doing public construction work in the State of Connecticut under the provisions of Section 31-53a of the Connecticut General Statutes or any other applicable debarment provisions of any other chapter of the General Statutes or any rule or regulation promulgated thereunder; and is not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency.
- 4.2 Bidder hereby certifies under the penalties of perjury, to the best of Bidder's knowledge and belief, that Bidder has filed all State tax returns and paid all State taxes required by law.

- 4.3 Bidder certifies that this Bid is genuine and not made in the interest of or on behalf of any undisclosed individual or entity and is not submitted in conformity with any collusive agreement or rules of any group, association, organization, or corporation;
- 4.4 Bidder certifies that Bidder has not directly or indirectly induced or solicited any other Bidder to submit a false or sham Bid;
- 4.5 Bidder certifies that Bidder has not solicited or induced any individual or entity to refrain from bidding; and
- 4.6 Bidder certifies that Bidder has not engaged in corrupt, fraudulent, collusive, or coercive practices in competing for the Contract. For the purposes of this Paragraph:
  - A. “corrupt practice” means the offering, giving, receiving, or soliciting of any thing of value likely to influence the action of a public official in the bidding process;
  - B. “fraudulent practice” means an intentional misrepresentation of facts made (a) to influence the bidding process to the detriment of the Owner, (b) to establish bid prices at artificial non-competitive levels, or (c) to deprive Owner of the benefits of free and open competition;
  - C. “collusive practice” means a scheme or arrangement between two or more Bidders, with or without the knowledge of Owner, a purpose of which is to establish bid prices at artificial, non-competitive levels; and
  - D. “coercive practice” means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the bidding process or affect the execution of the Contract.

#### ARTICLE 5 - BASIS OF BID

- 5.1 Bidder will complete the Work in accordance with the Contract Documents for the following price(s):

Item Number	Item Name and Unit Bid Prices Written in Words and Figures	Estimated Quantity	Total Amount of Item (in figures)
1	Mobilization and Demobilization, per lump sum, the price of:  _____	lump sum* =	\$ _____
	(\$ _____ ) *Not to exceed 5 percent of the total Base Bid price		
2	Traffic Control, per lump sum, the price of:  _____	lump sum =	\$ _____
	( _____ )		
3	Sediment and erosion control, per lump sum, the price of:  _____	x lump sum =	\$ _____
	(\$ _____ )		
4	Test Pits, per cubic yard, the price of:  _____	x 25 c.y. =	\$ _____
	(\$ _____ )		
5	Excavation Below Normal Grade – Unsuitable Material, per cubic yard, the price of:  _____	x 25 c.y. =	\$ _____
	(\$ _____ )		
6	Rock Excavation, per cubic yard, the price of:  _____	x 25 c.y. =	\$ _____
	(\$ _____ )		
7	Crushed Stone Bedding, per cubic yard, the price of:  _____	x 25 c.y. =	\$ _____
	(\$ _____ )		

8	Ordinary Borrow, per cubic yard, the price of:		
	_____	x 25 c.y. =	\$ _____
	(\$ _____ )		
9	8-inch PVC Gravity Sewer Main, 0-9' deep, per linear foot, the price of:		
	_____	x 2,015 l.f. =	\$ _____
	(\$ _____ )		
9A	8-inch PVC Gravity Sewer Main, 10-15' deep, per linear foot, the price of:		
	_____	x 325 l.f. =	\$ _____
	(\$ _____ )		
9B	8-inch PVC Gravity Sewer Main, >15' deep, per linear foot, the price of:		
	_____	x 475 l.f. =	\$ _____
	(\$ _____ )		
10	Sewer Manholes, 0-9' deep, per each, the price of:		
	_____	x 7 each =	\$ _____
	(\$ _____ )		
10A	Sewer Manholes, 10-15' deep, per each, the price of:		
	_____	x 3 each =	\$ _____
	(\$ _____ )		
10B	Sewer Manholes, >15' deep, per each, the price of:		
	_____	x 3 each =	\$ _____
	(\$ _____ )		

- 11 Modifications to existing manhole, per lump sum,  
the price of:
- \_\_\_\_\_ x lump sum = \$ \_\_\_\_\_
- (\$ \_\_\_\_\_ )
- 12 6-inch PVC sewer laterals, per linear foot, the  
price of:
- \_\_\_\_\_ x 305 l.f. = \$ \_\_\_\_\_
- (\$ \_\_\_\_\_ )
- 13 Temporary Bituminous Concrete Pavement  
Repair, per square yard, the price of:
- \_\_\_\_\_ x 2,200 s.y. = \$ \_\_\_\_\_
- (\$ \_\_\_\_\_ )
- 14 Permanent Bituminous Concrete Trench Repair,  
per square yard, the price of:
- \_\_\_\_\_ x 2,800 s.y. = \$ \_\_\_\_\_
- (\$ \_\_\_\_\_ )
- 15 Permanent Bituminous Concrete Repair: milling  
and 2" overlay, per square yard, the price of:
- \_\_\_\_\_ x 8,000 s.y. = \$ \_\_\_\_\_
- (\$ \_\_\_\_\_ )
- 16 Bituminous Concrete Driveway Repair, per  
square yard, the price of:
- \_\_\_\_\_ x 25 s.y. = \$ \_\_\_\_\_
- (\$ \_\_\_\_\_ )
- 17 Asphalt Curb Replacement, per linear foot, the  
price of:
- \_\_\_\_\_ x 300 l.f. = \$ \_\_\_\_\_
- (\$ \_\_\_\_\_ )

TOTAL AMOUNT OF BID – Items 1 through 17

\_\_\_\_\_ dollars  
(words)

(\$ \_\_\_\_\_)

(figures)

5.2 This Bid includes Addenda numbered \_\_\_\_\_.

#### ARTICLE 6 - TIME OF COMPLETION

- 6.1 Bidder agrees that the Work will be substantially completed and ready for final payment in accordance with paragraph 15.06 of the General Conditions on or before the dates or within the number of calendar days indicated in the Agreement.
- 6.2 Bidder accepts the provisions of the Agreement as to liquidated damages in the event of failure to complete the Work within the times as stated in the Agreement.

#### ARTICLE 7 - ATTACHMENTS TO THIS BID

- 7.1 The following documents are attached to and made a condition of this Bid:
- A. Bid deposit in the amount of \_\_\_\_\_ dollars (\$ \_\_\_\_\_), consisting of a bid bond in the amount of five percent of the total amount of Bid
  - B. Evidence of authority to sign
  - C. List of Project References
  - D. Evidence of authority to do business in the state of the Project; or a written covenant to obtain such license within the time for acceptance of Bids
  - E. A list of adversarial proceedings in which the bidder is or was a party within the past 5 years that relate to the procurement or performance of any public or private construction contract together with a brief statement as to outcome if concluded or status if pending.
  - F. A list of any projects on which the firm was terminated or failed to complete the work within the past 5 years, including a brief explanation for each instance listed.
  - G. Evidence of Bidder's qualifications in accordance with Article 3 of Section 00200

BID SUBMITTAL

BIDDER: *[Indicate correct name of bidding entity]*

By:

*[Signature]*

*[Printed name]*

*(If Bidder is a corporation, a limited liability company, a partnership, or a joint venture, attach evidence of authority to sign.)*

Attest:

*[Signature]*

*[Printed name]*

Title:

Submittal Date:

Address for giving notices:

Telephone Number:

Fax Number:

Contact Name and e-mail address:

Bidder's License No.:

*(where applicable)*

END OF SECTION

J:\V\V0037 Vernon WWTP\014 - Exit 66 Sewer Extension Design\Design\Specifications\Division 0\00410-Bid Proposal.docx



## BID BOND

Any singular reference to Bidder, Surety, Owner or other party shall be considered plural where applicable.

BIDDER (*Name and Address*):

SURETY (*Name, and Address of Principal Place of Business*):

OWNER (*Name and Address*):

### BID

Bid Due Date:

Description (*Project Name— Include Location*):

### BOND

Bond Number:

Date:

Penal sum \_\_\_\_\_ \$

(Words)

(Figures)

Surety and Bidder, intending to be legally bound hereby, subject to the terms set forth below, do each cause this Bid Bond to be duly executed by an authorized officer, agent, or representative.

#### BIDDER

#### SURETY

\_\_\_\_\_  
(Seal)

Bidder's Name and Corporate Seal

\_\_\_\_\_  
(Seal)

Surety's Name and Corporate Seal

By:

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Title

By:

\_\_\_\_\_  
Signature (Attach Power of Attorney)

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Title

Attest:

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Title

Attest:

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Title

*Note: Addresses are to be used for giving any required notice.*

*Provide execution by any additional parties, such as joint venturers, if necessary.*

1. Bidder and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to pay to Owner upon default of Bidder the penal sum set forth on the face of this Bond. Payment of the penal sum is the extent of Bidder's and Surety's liability. Recovery of such penal sum under the terms of this Bond shall be Owner's sole and exclusive remedy upon default of Bidder.
2. Default of Bidder shall occur upon the failure of Bidder to deliver within the time required by the Bidding Documents (or any extension thereof agreed to in writing by Owner) the executed Agreement required by the Bidding Documents and any performance and payment bonds required by the Bidding Documents.
3. This obligation shall be null and void if:
  - 3.1 Owner accepts Bidder's Bid and Bidder delivers within the time required by the Bidding Documents (or any extension thereof agreed to in writing by Owner) the executed Agreement required by the Bidding Documents and any performance and payment bonds required by the Bidding Documents, or
  - 3.2 All Bids are rejected by Owner, or
  - 3.3 Owner fails to issue a Notice of Award to Bidder within the time specified in the Bidding Documents (or any extension thereof agreed to in writing by Bidder and, if applicable, consented to by Surety when required by Paragraph 5 hereof).
4. Payment under this Bond will be due and payable upon default of Bidder and within 30 calendar days after receipt by Bidder and Surety of written notice of default from Owner, which notice will be given with reasonable promptness, identifying this Bond and the Project and including a statement of the amount due.
5. Surety waives notice of any and all defenses based on or arising out of any time extension to issue Notice of Award agreed to in writing by Owner and Bidder, provided that the total time for issuing Notice of Award including extensions shall not in the aggregate exceed 120 days from the Bid due date without Surety's written consent.
6. No suit or action shall be commenced under this Bond prior to 30 calendar days after the notice of default required in Paragraph 4 above is received by Bidder and Surety and in no case later than one year after the Bid due date.
7. Any suit or action under this Bond shall be commenced only in a court of competent jurisdiction located in the state in which the Project is located.
8. Notices required hereunder shall be in writing and sent to Bidder and Surety at their respective addresses shown on the face of this Bond. Such notices may be sent by personal delivery, commercial courier, or by United States Registered or Certified Mail, return receipt requested, postage pre-paid, and shall be deemed to be effective upon receipt by the party concerned.
9. Surety shall cause to be attached to this Bond a current and effective Power of Attorney evidencing the authority of the officer, agent, or representative who executed this Bond on behalf of Surety to execute, seal, and deliver such Bond and bind the Surety thereby.
10. This Bond is intended to conform to all applicable statutory requirements. Any applicable requirement of any applicable statute that has been omitted from this Bond shall be deemed to be included herein as if set forth at length. If any provision of this Bond conflicts with any applicable statute, then the provision of said statute shall govern and the remainder of this Bond that is not in conflict therewith shall continue in full force and effect.
11. The term "Bid" as used herein includes a Bid, offer, or proposal as applicable.

## SECTION 00520

AGREEMENT BETWEEN OWNER AND CONTRACTOR FOR CONSTRUCTION  
CONTRACT

This Agreement is by and between the Town of Vernon, hereinafter called Owner and \_\_\_\_\_ hereinafter called Contractor.

Owner and Contractor hereby agree as follows:

## ARTICLE 1 WORK

- 1.1 Contractor shall complete all Work as specified or indicated in the Contract Documents. The Work is generally described with the following title: "Town of Vernon Exit 66 Sewer Extension - Town Contract #2105".

## ARTICLE 2 ENGINEER

- 2.1 The part of the Project that pertains to the Work has been designed by Tighe & Bond, Inc
- 2.2 The Owner has retained Tighe & Bond ("Engineer") to act as Owner's representative, assuming all duties and responsibilities, rights and authority assigned to Engineer in the Contract Documents in connection with the completion of the Work in accordance with the Contract Documents.

## ARTICLE 3 CONTRACT TIMES

## 3.1 Time of the Essence

- A. All time limits for Milestones, if any, Substantial Completion, and completion and readiness for final payment as stated in the Contract Documents are of the essence of the Contract.

## 3.2 Substantial Completion and Final Payment

- A. The Work will be substantially completed within 150 days from the date of the Notice to Proceed and completed and ready for final payment in accordance with paragraph 15.06 of the General Conditions within 180 days from the date of the Notice to Proceed.

## 3.3 Liquidated Damages

- A. Contractor and Owner recognize that time is of the essence as stated in Paragraph 3.1 above and that Owner will suffer financial and other losses if the Work is not completed within the times specified in Paragraph 3.2 above, plus any extensions thereof allowed in accordance with the Contract. The parties also recognize the delays, expense, and difficulties involved in proving in a legal or arbitration proceeding the actual loss suffered by Owner if the Work is not completed on time. Accordingly, instead of requiring any such proof, Owner and Contractor agree that as liquidated damages for delay (but not as a penalty):
1. Substantial Completion: Contractor shall pay Owner \$2,000 for each day that expires after the time (as duly adjusted pursuant to the Contract) specified in Paragraph 3.2 above for Substantial Completion until the Work is substantially complete.

2. Completion of Remaining Work: After Substantial Completion, if Contractor shall neglect, refuse, or fail to complete the remaining Work within the Contract Time (as duly adjusted pursuant to the Contract), for completion and readiness for final payment, Contractor shall pay Owner \$2,000 for each day that expires after such time until the Work is completed and ready for final payment.
3. Liquidated damages for failing to timely attain Substantial Completion and final completion are not additive and will not be imposed concurrently.

#### ARTICLE 4 CONTRACT PRICE

- 4.1 Owner shall pay Contractor for completion of the Work in accordance with the Contract Documents an amount equal to the prices stated in Contractor's Bid, attached hereto as an exhibit, subject to adjustment under the Contract.
- 4.2 The total amount will be adjusted by measurement of actual installed quantities in strict conformity with the provisions contained herein.

#### ARTICLE 5 PAYMENT PROCEDURES

- 5.1 Applications for Payment shall be processed in accordance with Article 15 of the General Conditions.
- 5.2 Owner shall make progress payments on account of the Contract Price on the basis of processed Applications for Payment monthly during construction, provided that such Applications for Payment have been submitted in a timely manner and otherwise meet the requirements of the Contract. All progress payments will be measured by the Schedule of Values established as provided in the General Conditions, or in the event there is no schedule of values, as provided elsewhere in the Contract.
- 5.3 Owner shall retain from progress payments 5 percent of the value of Work completed.
- 5.4 Substantial Completion
  - A. Upon Substantial Completion, Owner shall pay an amount sufficient to increase total payments to Contractor to ninety-nine percent of the Work completed, less such amounts set off by Owner pursuant to Paragraph 15.01.E of the General Conditions, and less Engineer's estimate of the value of Work to be completed or corrected as shown on the punch list of items to be completed or corrected prior to final payment.
- 5.5 Final Payment
  - A. Upon final completion and acceptance of the Work in accordance with Paragraph 15.06 of the General Conditions, Owner shall pay the remainder of the Contract Price as recommended by Engineer as provided in said Paragraph 15.06.

#### ARTICLE 6 CONTRACTOR'S REPRESENTATIONS

- 6.1 Contractor makes the following representations:
  - A. Contractor has examined and carefully studied the Contract Documents, and any data and reference items identified in the Contract Documents.
  - B. Contractor has visited the Site, conducted a thorough, alert visual examination of the Site and adjacent areas, and become familiar with and is satisfied as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work.

- C. Contractor is familiar with and is satisfied as to all Laws and Regulations that may affect cost, progress, and performance of the Work.
- D. Contractor has carefully studied all: (1) reports of explorations and tests of subsurface conditions at or adjacent to the Site and all drawings of physical conditions relating to existing surface or subsurface structures at the Site that have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings, and (2) reports and drawings relating to Hazardous Environmental Conditions, if any, at or adjacent to the Site that have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings.
- E. Contractor has considered the information known to Contractor itself; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Contract Documents; and the Site-related reports and drawings identified in the Contract Documents, with respect to the effect of such information, observations, and documents on (1) the cost, progress, and performance of the Work; (2) the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor; and (3) Contractor's safety precautions and programs.
- F. Based on the information and observations referred to in the preceding paragraph, Contractor agrees that no further examinations, investigations, explorations, tests, studies, or data are necessary for the performance of the Work at the Contract Price, within the Contract Times, and in accordance with the other terms and conditions of the Contract.
- G. Contractor is aware of the general nature of work to be performed by Owner and others at the Site that relates to the Work as indicated in the Contract Documents.
- H. Contractor has given Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Contractor has discovered in the Contract Documents, and the written resolution thereof by Engineer is acceptable to Contractor.
- I. The Contract Documents are generally sufficient to indicate and convey understanding of all terms and conditions for performance and furnishing of the Work.
- J. Contractor's entry into this Contract constitutes an incontrovertible representation by Contractor that without exception all prices in the Agreement are premised upon performing and furnishing the Work required by the Contract Documents.

## ARTICLE 7 CONTRACT DOCUMENTS

### 7.1 Contents

- A. The Contract Documents consist of the following:
  - 1. This Agreement (pages 00520-1 to 00520-7, inclusive);
  - 2. Performance Bond (pages 1 to 3, inclusive);
  - 3. Payment Bond (pages 1 to 3, inclusive);
  - 4. General Conditions (title pages, table of contents, and pages 1 to 65, inclusive);
  - 5. Supplementary Conditions (pages 00800-1 to 00800-8, inclusive);

6. Specifications (Divisions 1 through 16);
7. Addenda (numbers \_\_\_\_\_ to \_\_\_\_\_, inclusive);
8. Exhibits to this Agreement (enumerated as follows):
  - a. Contractor's Bid (pages 00410-1 to 00410-8, inclusive);
9. The following which may be delivered or issued on or after the Effective Date of the Agreement and are not attached hereto:
  - a. Notice to Proceed;
  - b. Work Change Directives;
  - c. Change Order(s);
  - d. Field Orders
- B. The documents listed in Paragraph 7.1.A are attached to this Agreement (except as expressly noted otherwise above).
- C. There are no Contract Documents other than those listed above in this Article 7.
- D. The Contract Documents may only be amended, modified, or supplemented as provided in the General Conditions.

## ARTICLE 8 MISCELLANEOUS

### 8.1 Terms

- A. Terms used in this Agreement will have the meanings indicated in the General Conditions and the Supplementary Conditions.

### 8.2 Assignment of Contract

- A. Unless expressly agreed to elsewhere in the Contract, no assignment by a party hereto of any rights under or interests in the Contract will be binding on another party hereto without the written consent of the party sought to be bound; and, specifically but without limitation, money that may become due and money that is due may not be assigned without such consent (except to the extent that the effect of this restriction may be limited by law), and unless specifically stated to the contrary in any written consent to an assignment, no assignment will release or discharge the assignor from any duty or responsibility under the Contract Documents.

### 8.3 Successors and Assigns

- A. Owner and Contractor each binds itself, its successors, assigns, and legal representatives to the other party hereto, its successors, assigns, and legal representatives in respect to all covenants, agreements, and obligations contained in the Contract Documents.

#### 8.4 Severability

- A. Any provision or part of the Contract Documents held to be void or unenforceable under any Law or Regulation shall be deemed stricken, and all remaining provisions shall continue to be valid and binding upon Owner and Contractor, who agree that the Contract Documents shall be reformed to replace such stricken provision or part thereof with a valid and enforceable provision that comes as close as possible to expressing the intention of the stricken provision.

#### 8.5 Contractor Certifications

- A. Contractor certifies that it has not engaged in corrupt, fraudulent, collusive, or coercive practices in competing for or in executing the Contract. For the purposes of this Paragraph 8.5:
  - 1. “corrupt practice” means the offering, giving, receiving, or soliciting of any thing of value likely to influence the action of a public official in the bidding process or in the Contract execution;
  - 2. “fraudulent practice” means an intentional misrepresentation of facts made (a) to influence the bidding process or the execution of the Contract to the detriment of Owner, (b) to establish Bid or Contract prices at artificial non-competitive levels, or (c) to deprive Owner of the benefits of free and open competition;
  - 3. “collusive practice” means a scheme or arrangement between two or more Bidders, with or without the knowledge of Owner, a purpose of which is to establish Bid prices at artificial, non-competitive levels; and
  - 4. “coercive practice” means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the bidding process or affect the execution of the Contract.

#### 8.6 Other Provisions

- A. Owner stipulates that the General Conditions that are made a part of this Contract are based on EJCDC® C-700, Standard General Conditions for the Construction Contract, published by the Engineers Joint Contract Documents Committee®, and Owner has plainly shown all modifications to the standard wording of such published document to the Contractor in the Supplementary Conditions.



IN WITNESS WHEREOF, Owner and Contractor have signed this Agreement. This Agreement will be effective on \_\_\_\_\_, \_\_\_\_\_ (which is the Effective Date of the Contract).

OWNER:

CONTRACTOR:

\_\_\_\_\_

\_\_\_\_\_

By: \_\_\_\_\_

By: \_\_\_\_\_

Title: \_\_\_\_\_

Title: \_\_\_\_\_

[CORPORATE SEAL]

[CORPORATE SEAL]

Attest \_\_\_\_\_

Attest \_\_\_\_\_

Title: \_\_\_\_\_

Title: \_\_\_\_\_

Address for giving notices:

Address for giving notices:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

(If Owner is a corporation, attach evidence of authority to sign. If Owner is a public body, attach evidence of authority to sign and resolution of other documents authorizing execution of Owner-Contractor Agreement.)

License No. \_\_\_\_\_  
(Where applicable)

(If Contractor is a corporation or a partnership, attach evidence of authority to sign.)



Certified as to the availability of funds:

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signed

\_\_\_\_\_  
Title

END OF SECTION

J:\V\V0037 Vernon WWTP\014 - Exit 66 Sewer Extension Design\Design\Specifications\Division 0\00520 Agreement.docx

## PERFORMANCE BOND

CONTRACTOR *(name and address):*

SURETY *(name and address of principal place of business):*

OWNER *(name and address):*

### CONSTRUCTION CONTRACT

Effective Date of the Agreement:

Amount:

Description *(name and location):*

### BOND

Bond Number:

Date *(not earlier than the Effective Date of the Agreement of the Construction Contract):*

Amount:

Modifications to this Bond Form: ☐ None ☐ See Paragraph 16

Surety and Contractor, intending to be legally bound hereby, subject to the terms set forth below, do each cause this Performance Bond to be duly executed by an authorized officer, agent, or representative.

### CONTRACTOR AS PRINCIPAL

### SURETY

\_\_\_\_\_  
Contractor's Name and Corporate Seal

\_\_\_\_\_  
Surety's Name and Corporate Seal

By: \_\_\_\_\_  
Signature

By: \_\_\_\_\_  
Signature *(attach power of attorney)*

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Title

Attest: \_\_\_\_\_  
Signature

Attest: \_\_\_\_\_  
Signature

\_\_\_\_\_  
Title

\_\_\_\_\_  
Title

**Notes: (1) Provide supplemental execution by any additional parties, such as joint venturers. (2) Any singular reference to Contractor, Surety, Owner, or other party shall be considered plural where applicable.**

1. The Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to the Owner for the performance of the Construction Contract, which is incorporated herein by reference.

2. If the Contractor performs the Construction Contract, the Surety and the Contractor shall have no obligation under this Bond, except when applicable to participate in a conference as provided in Paragraph 3.

3. If there is no Owner Default under the Construction Contract, the Surety's obligation under this Bond shall arise after:

3.1 The Owner first provides notice to the Contractor and the Surety that the Owner is considering declaring a Contractor Default. Such notice shall indicate whether the Owner is requesting a conference among the Owner, Contractor, and Surety to discuss the Contractor's performance. If the Owner does not request a conference, the Surety may, within five (5) business days after receipt of the Owner's notice, request such a conference. If the Surety timely requests a conference, the Owner shall attend. Unless the Owner agrees otherwise, any conference requested under this Paragraph 3.1 shall be held within ten (10) business days of the Surety's receipt of the Owner's notice. If the Owner, the Contractor, and the Surety agree, the Contractor shall be allowed a reasonable time to perform the Construction Contract, but such an agreement shall not waive the Owner's right, if any, subsequently to declare a Contractor Default;

3.2 The Owner declares a Contractor Default, terminates the Construction Contract and notifies the Surety; and

3.3 The Owner has agreed to pay the Balance of the Contract Price in accordance with the terms of the Construction Contract to the Surety or to a contractor selected to perform the Construction Contract.

4. Failure on the part of the Owner to comply with the notice requirement in Paragraph 3.1 shall not constitute a failure to comply with a condition precedent to the Surety's obligations, or release the Surety from its obligations, except to the extent the Surety demonstrates actual prejudice.

5. When the Owner has satisfied the conditions of Paragraph 3, the Surety shall promptly and at the Surety's expense take one of the following actions:

5.1 Arrange for the Contractor, with the consent of the Owner, to perform and complete the Construction Contract;

5.2 Undertake to perform and complete the Construction Contract itself, through its agents or independent contractors;

5.3 Obtain bids or negotiated proposals from qualified contractors acceptable to the Owner for a contract for performance and completion of the Construction Contract, arrange for a contract to be prepared for execution by the Owner and a contractor selected with the Owners concurrence, to be secured with performance and payment bonds executed by a

qualified surety equivalent to the bonds issued on the Construction Contract, and pay to the Owner the amount of damages as described in Paragraph 7 in excess of the Balance of the Contract Price incurred by the Owner as a result of the Contractor Default; or

5.4 Waive its right to perform and complete, arrange for completion, or obtain a new contractor, and with reasonable promptness under the circumstances:

5.4.1 After investigation, determine the amount for which it may be liable to the Owner and, as soon as practicable after the amount is determined, make payment to the Owner; or

5.4.2 Deny liability in whole or in part and notify the Owner, citing the reasons for denial.

6. If the Surety does not proceed as provided in Paragraph 5 with reasonable promptness, the Surety shall be deemed to be in default on this Bond seven days after receipt of an additional written notice from the Owner to the Surety demanding that the Surety perform its obligations under this Bond, and the Owner shall be entitled to enforce any remedy available to the Owner. If the Surety proceeds as provided in Paragraph 5.4, and the Owner refuses the payment or the Surety has denied liability, in whole or in part, without further notice the Owner shall be entitled to enforce any remedy available to the Owner.

7. If the Surety elects to act under Paragraph 5.1, 5.2, or 5.3, then the responsibilities of the Surety to the Owner shall not be greater than those of the Contractor under the Construction Contract, and the responsibilities of the Owner to the Surety shall not be greater than those of the Owner under the Construction Contract. Subject to the commitment by the Owner to pay the Balance of the Contract Price, the Surety is obligated, without duplication for:

7.1 the responsibilities of the Contractor for correction of defective work and completion of the Construction Contract;

7.2 additional legal, design professional, and delay costs resulting from the Contractor's Default, and resulting from the actions or failure to act of the Surety under Paragraph 5; and

7.3 liquidated damages, or if no liquidated damages are specified in the Construction Contract, actual damages caused by delayed performance or non-performance of the Contractor.

8. If the Surety elects to act under Paragraph 5.1, 5.3, or 5.4, the Surety's liability is limited to the amount of this Bond.

9. The Surety shall not be liable to the Owner or others for obligations of the Contractor that are unrelated to the Construction Contract, and the Balance of the Contract Price shall not be reduced or set off on account of any such unrelated obligations. No right of action shall accrue on this Bond to any person or entity other than the Owner or its heirs, executors, administrators, successors, and assigns.

10. The Surety hereby waives notice of any change, including changes of time, to the Construction Contract or to related subcontracts, purchase orders, and other obligations.

11. Any proceeding, legal or equitable, under this Bond may be instituted in any court of competent jurisdiction in the location in which the work or part of the work is located and shall be instituted within two years after a declaration of Contractor Default or within two years after the Contractor ceased working or within two years after the Surety refuses or fails to perform its obligations under this Bond, whichever occurs first. If the provisions of this paragraph are void or prohibited by law, the minimum periods of limitations available to sureties as a defense in the jurisdiction of the suit shall be applicable.

12. Notice to the Surety, the Owner, or the Contractor shall be mailed or delivered to the address shown on the page on which their signature appears.

13. When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

#### 14. Definitions

14.1 Balance of the Contract Price: The total amount payable by the Owner to the Contractor under the Construction Contract after all proper adjustments have been made including allowance for the Contractor for any amounts received or to be received by the Owner in settlement of insurance or other claims for damages to which the Contractor is entitled, reduced by all valid and proper payments made to or on behalf of the Contractor under the Construction Contract.

14.2 Construction Contract: The agreement between the Owner and Contractor identified on the cover page, including all Contract Documents and changes made to the agreement and the Contract Documents.

14.3 Contractor Default: Failure of the Contractor, which has not been remedied or waived, to perform or otherwise to comply with a material term of the Construction Contract.

14.4 Owner Default: Failure of the Owner, which has not been remedied or waived, to pay the Contractor as required under the Construction Contract or to perform and complete or comply with the other material terms of the Construction Contract.

14.5 Contract Documents: All the documents that comprise the agreement between the Owner and Contractor.

15. If this Bond is issued for an agreement between a contractor and subcontractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

16. Modifications to this Bond are as follows:

## PAYMENT BOND

CONTRACTOR *(name and address)*:

SURETY *(name and address of principal place of business)*:

OWNER *(name and address)*:

### CONSTRUCTION CONTRACT

Effective Date of the Agreement:

Amount:

Description *(name and location)*:

### BOND

Bond Number:

Date *(not earlier than the Effective Date of the Agreement of the Construction Contract)*:

Amount:

Modifications to this Bond Form: ☐ None ☐ See Paragraph 18

Surety and Contractor, intending to be legally bound hereby, subject to the terms set forth below, do each cause this Payment Bond to be duly executed by an authorized officer, agent, or representative.

### CONTRACTOR AS PRINCIPAL

### SURETY

\_\_\_\_\_  
*(seal)*

Contractor's Name and Corporate Seal

\_\_\_\_\_  
*(seal)*

Surety's Name and Corporate Seal

By: \_\_\_\_\_

Signature

By: \_\_\_\_\_

Signature *(attach power of attorney)*

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Title

Attest: \_\_\_\_\_

Signature

Attest: \_\_\_\_\_

Signature

\_\_\_\_\_  
Title

\_\_\_\_\_  
Title

**Notes: (1) Provide supplemental execution by any additional parties, such as joint venturers. (2) Any singular reference to Contractor, Surety, Owner, or other party shall be considered plural where applicable.**

1. The Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to the Owner to pay for labor, materials, and equipment furnished for use in the performance of the Construction Contract, which is incorporated herein by reference, subject to the following terms.
2. If the Contractor promptly makes payment of all sums due to Claimants, and defends, indemnifies, and holds harmless the Owner from claims, demands, liens, or suits by any person or entity seeking payment for labor, materials, or equipment furnished for use in the performance of the Construction Contract, then the Surety and the Contractor shall have no obligation under this Bond.
3. If there is no Owner Default under the Construction Contract, the Surety's obligation to the Owner under this Bond shall arise after the Owner has promptly notified the Contractor and the Surety (at the address described in Paragraph 13) of claims, demands, liens, or suits against the Owner or the Owner's property by any person or entity seeking payment for labor, materials, or equipment furnished for use in the performance of the Construction Contract, and tendered defense of such claims, demands, liens, or suits to the Contractor and the Surety.
4. When the Owner has satisfied the conditions in Paragraph 3, the Surety shall promptly and at the Surety's expense defend, indemnify, and hold harmless the Owner against a duly tendered claim, demand, lien, or suit.
5. The Surety's obligations to a Claimant under this Bond shall arise after the following:
  - 5.1 Claimants who do not have a direct contract with the Contractor,
    - 5.1.1 have furnished a written notice of non-payment to the Contractor, stating with substantial accuracy the amount claimed and the name of the party to whom the materials were, or equipment was, furnished or supplied or for whom the labor was done or performed, within ninety (90) days after having last performed labor or last furnished materials or equipment included in the Claim; and
    - 5.1.2 have sent a Claim to the Surety (at the address described in Paragraph 13).
  - 5.2 Claimants who are employed by or have a direct contract with the Contractor have sent a Claim to the Surety (at the address described in Paragraph 13).
6. If a notice of non-payment required by Paragraph 5.1.1 is given by the Owner to the Contractor, that is sufficient to satisfy a Claimant's obligation to furnish a written notice of non-payment under Paragraph 5.1.1.
7. When a Claimant has satisfied the conditions of Paragraph 5.1 or 5.2, whichever is applicable, the Surety shall promptly and at the Surety's expense take the following actions:
  - 7.1 Send an answer to the Claimant, with a copy to the Owner, within sixty (60) days after receipt of the Claim, stating the amounts that are undisputed and the basis for challenging any amounts that are disputed; and
  - 7.2 Pay or arrange for payment of any undisputed amounts.
  - 7.3 The Surety's failure to discharge its obligations under Paragraph 7.1 or 7.2 shall not be deemed to constitute a waiver of defenses the Surety or Contractor may have or acquire as to a Claim, except as to undisputed amounts for which the Surety and Claimant have reached agreement. If, however, the Surety fails to discharge its obligations under Paragraph 7.1 or 7.2, the Surety shall indemnify the Claimant for the reasonable attorney's fees the Claimant incurs thereafter to recover any sums found to be due and owing to the Claimant.
8. The Surety's total obligation shall not exceed the amount of this Bond, plus the amount of reasonable attorney's fees provided under Paragraph 7.3, and the amount of this Bond shall be credited for any payments made in good faith by the Surety.
9. Amounts owed by the Owner to the Contractor under the Construction Contract shall be used for the performance of the Construction Contract and to satisfy claims, if any, under any construction performance bond. By the Contractor furnishing and the Owner accepting this Bond, they agree that all funds earned by the Contractor in the performance of the Construction Contract are dedicated to satisfy obligations of the Contractor and Surety under this Bond, subject to the Owner's priority to use the funds for the completion of the work.
10. The Surety shall not be liable to the Owner, Claimants, or others for obligations of the Contractor that are unrelated to the Construction Contract. The Owner shall not be liable for the payment of any costs or expenses of any Claimant under this Bond, and shall have under this Bond no obligation to make payments to or give notice on behalf of Claimants, or otherwise have any obligations to Claimants under this Bond.
11. The Surety hereby waives notice of any change, including changes of time, to the Construction Contract or to related subcontracts, purchase orders, and other obligations.
12. No suit or action shall be commenced by a Claimant under this Bond other than in a court of competent jurisdiction in the state in which the project that is the subject of the

Construction Contract is located or after the expiration of one year from the date (1) on which the Claimant sent a Claim to the Surety pursuant to Paragraph 5.1.2 or 5.2, or (2) on which the last labor or service was performed by anyone or the last materials or equipment were furnished by anyone under the Construction Contract, whichever of (1) or (2) first occurs. If the provisions of this paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall be applicable.

13. Notice and Claims to the Surety, the Owner, or the Contractor shall be mailed or delivered to the address shown on the page on which their signature appears. Actual receipt of notice or Claims, however accomplished, shall be sufficient compliance as of the date received.

14. When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

15. Upon requests by any person or entity appearing to be a potential beneficiary of this Bond, the Contractor and Owner shall promptly furnish a copy of this Bond or shall permit a copy to be made.

## 16. Definitions

16.1 **Claim:** A written statement by the Claimant including at a minimum:

1. The name of the Claimant;
2. The name of the person for whom the labor was done, or materials or equipment furnished;
3. A copy of the agreement or purchase order pursuant to which labor, materials, or equipment was furnished for use in the performance of the Construction Contract;
4. A brief description of the labor, materials, or equipment furnished;
5. The date on which the Claimant last performed labor or last furnished materials or equipment for use in the performance of the Construction Contract;
6. The total amount earned by the Claimant for labor, materials, or equipment furnished as of the date of the Claim;
7. The total amount of previous payments received by the Claimant; and
8. The total amount due and unpaid to the Claimant for labor, materials, or equipment furnished as of the date of the Claim.

16.2 **Claimant:** An individual or entity having a direct contract with the Contractor or with a subcontractor of the Contractor to furnish labor, materials, or equipment for use in the performance of the Construction Contract. The term Claimant also includes any individual or entity that has rightfully asserted a claim under an applicable mechanic's lien or similar statute against the real property upon which the Project is located. The intent of this Bond shall be to include without limitation in the terms of "labor, materials, or equipment" that part of the water, gas, power, light, heat, oil, gasoline, telephone service, or rental equipment used in the Construction Contract, architectural and engineering services required for performance of the work of the Contractor and the Contractor's subcontractors, and all other items for which a mechanic's lien may be asserted in the jurisdiction where the labor, materials, or equipment were furnished.

16.3 **Construction Contract:** The agreement between the Owner and Contractor identified on the cover page, including all Contract Documents and all changes made to the agreement and the Contract Documents.

16.4 **Owner Default:** Failure of the Owner, which has not been remedied or waived, to pay the Contractor as required under the Construction Contract or to perform and complete or comply with the other material terms of the Construction Contract.

16.5 **Contract Documents:** All the documents that comprise the agreement between the Owner and Contractor.

17. If this Bond is issued for an agreement between a contractor and subcontractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

18. Modifications to this Bond are as follows:

# STANDARD GENERAL CONDITIONS OF THE CONSTRUCTION CONTRACT

Prepared by



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# STANDARD GENERAL CONDITIONS OF THE CONSTRUCTION CONTRACT

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## ARTICLE 1 – DEFINITIONS AND TERMINOLOGY

### 1.01 *Defined Terms*

- A. Wherever used in the Bidding Requirements or Contract Documents, a term printed with initial capital letters, including the term's singular and plural forms, will have the meaning indicated in the definitions below. In addition to terms specifically defined, terms with initial capital letters in the Contract Documents include references to identified articles and paragraphs, and the titles of other documents or forms.
1. *Addenda*—Written or graphic instruments issued prior to the opening of Bids which clarify, correct, or change the Bidding Requirements or the proposed Contract Documents.
  2. *Agreement*—The written instrument, executed by Owner and Contractor, that sets forth the Contract Price and Contract Times, identifies the parties and the Engineer, and designates the specific items that are Contract Documents.
  3. *Application for Payment*—The form acceptable to Engineer which is to be used by Contractor during the course of the Work in requesting progress or final payments and which is to be accompanied by such supporting documentation as is required by the Contract Documents.
  4. *Bid*—The offer of a Bidder submitted on the prescribed form setting forth the prices for the Work to be performed.
  5. *Bidder*—An individual or entity that submits a Bid to Owner.
  6. *Bidding Documents*—The Bidding Requirements, the proposed Contract Documents, and all Addenda.
  7. *Bidding Requirements*—The advertisement or invitation to bid, Instructions to Bidders, Bid Bond or other Bid security, if any, the Bid Form, and the Bid with any attachments.
  8. *Change Order*—A document which is signed by Contractor and Owner and authorizes an addition, deletion, or revision in the Work or an adjustment in the Contract Price or the Contract Times, or other revision to the Contract, issued on or after the Effective Date of the Contract.
  9. *Change Proposal*—A written request by Contractor, duly submitted in compliance with the procedural requirements set forth herein, seeking an adjustment in Contract Price or Contract Times, or both; contesting an initial decision by Engineer concerning the requirements of the Contract Documents or the acceptability of Work under the Contract Documents; challenging a set-off against payments due; or seeking other relief with respect to the terms of the Contract.
  10. *Claim*—(a) A demand or assertion by Owner directly to Contractor, duly submitted in compliance with the procedural requirements set forth herein: seeking an adjustment of Contract Price or Contract Times, or both; contesting an initial decision by Engineer concerning the requirements of the Contract Documents or the acceptability of Work under the Contract Documents; contesting Engineer's decision regarding a Change Proposal; seeking resolution of a contractual issue that Engineer has declined to address; or seeking other relief with respect to the terms of the Contract; or (b) a demand or assertion by Contractor directly to Owner, duly submitted in compliance with the procedural requirements set forth herein, contesting Engineer's decision regarding a Change Proposal; or seeking resolution of a contractual issue that Engineer

has declined to address. A demand for money or services by a third party is not a Claim.

11. *Constituent of Concern*—Asbestos, petroleum, radioactive materials, polychlorinated biphenyls (PCBs), hazardous waste, and any substance, product, waste, or other material of any nature whatsoever that is or becomes listed, regulated, or addressed pursuant to (a) the Comprehensive Environmental Response, Compensation and Liability Act, 42 U.S.C. §§9601 et seq. (“CERCLA”); (b) the Hazardous Materials Transportation Act, 49 U.S.C. §§5501 et seq.; (c) the Resource Conservation and Recovery Act, 42 U.S.C. §§6901 et seq. (“RCRA”); (d) the Toxic Substances Control Act, 15 U.S.C. §§2601 et seq.; (e) the Clean Water Act, 33 U.S.C. §§1251 et seq.; (f) the Clean Air Act, 42 U.S.C. §§7401 et seq.; or (g) any other federal, state, or local statute, law, rule, regulation, ordinance, resolution, code, order, or decree regulating, relating to, or imposing liability or standards of conduct concerning, any hazardous, toxic, or dangerous waste, substance, or material.
12. *Contract*—The entire and integrated written contract between the Owner and Contractor concerning the Work.
13. *Contract Documents*—Those items so designated in the Agreement, and which together comprise the Contract.
14. *Contract Price*—The money that Owner has agreed to pay Contractor for completion of the Work in accordance with the Contract Documents. .
15. *Contract Times*—The number of days or the dates by which Contractor shall: (a) achieve Milestones, if any; (b) achieve Substantial Completion; and (c) complete the Work.
16. *Contractor*—The individual or entity with which Owner has contracted for performance of the Work.
17. *Cost of the Work*—See Paragraph 13.01 for definition.
18. *Drawings*—The part of the Contract that graphically shows the scope, extent, and character of the Work to be performed by Contractor.
19. *Effective Date of the Contract*—The date, indicated in the Agreement, on which the Contract becomes effective.
20. *Engineer*—The individual or entity named as such in the Agreement.
21. *Field Order*—A written order issued by Engineer which requires minor changes in the Work but does not change the Contract Price or the Contract Times.
22. *Hazardous Environmental Condition*—The presence at the Site of Constituents of Concern in such quantities or circumstances that may present a danger to persons or property exposed thereto. The presence at the Site of materials that are necessary for the execution of the Work, or that are to be incorporated in the Work, and that are controlled and contained pursuant to industry practices, Laws and Regulations, and the requirements of the Contract, does not establish a Hazardous Environmental Condition.
23. *Laws and Regulations; Laws or Regulations*—Any and all applicable laws, statutes, rules, regulations, ordinances, codes, and orders of any and all governmental bodies, agencies, authorities, and courts having jurisdiction.



24. *Liens*—Charges, security interests, or encumbrances upon Contract-related funds, real property, or personal property.
25. *Milestone*—A principal event in the performance of the Work that the Contract requires Contractor to achieve by an intermediate completion date or by a time prior to Substantial Completion of all the Work.
26. *Notice of Award*—The written notice by Owner to a Bidder of Owner's acceptance of the Bid.
27. *Notice to Proceed*—A written notice by Owner to Contractor fixing the date on which the Contract Times will commence to run and on which Contractor shall start to perform the Work.
28. *Owner*—The individual or entity with which Contractor has contracted regarding the Work, and which has agreed to pay Contractor for the performance of the Work, pursuant to the terms of the Contract.
29. *Progress Schedule*—A schedule, prepared and maintained by Contractor, describing the sequence and duration of the activities comprising the Contractor's plan to accomplish the Work within the Contract Times.
30. *Project*—The total undertaking to be accomplished for Owner by engineers, contractors, and others, including planning, study, design, construction, testing, commissioning, and start-up, and of which the Work to be performed under the Contract Documents is a part.
31. *Project Manual*—The written documents prepared for, or made available for, procuring and constructing the Work, including but not limited to the Bidding Documents or other construction procurement documents, geotechnical and existing conditions information, the Agreement, bond forms, General Conditions, Supplementary Conditions, and Specifications. The contents of the Project Manual may be bound in one or more volumes.
32. *Resident Project Representative*—The authorized representative of Engineer assigned to assist Engineer at the Site. As used herein, the term Resident Project Representative or "RPR" includes any assistants or field staff of Resident Project Representative.
33. *Samples*—Physical examples of materials, equipment, or workmanship that are representative of some portion of the Work and that establish the standards by which such portion of the Work will be judged.
34. *Schedule of Submittals*—A schedule, prepared and maintained by Contractor, of required submittals and the time requirements for Engineer's review of the submittals and the performance of related construction activities.
35. *Schedule of Values*—A schedule, prepared and maintained by Contractor, allocating portions of the Contract Price to various portions of the Work and used as the basis for reviewing Contractor's Applications for Payment.
36. *Shop Drawings*—All drawings, diagrams, illustrations, schedules, and other data or information that are specifically prepared or assembled by or for Contractor and submitted by Contractor to illustrate some portion of the Work. Shop Drawings, whether approved or not, are not Drawings and are not Contract Documents.

37. *Site*—Lands or areas indicated in the Contract Documents as being furnished by Owner upon which the Work is to be performed, including rights-of-way and easements, and such other lands furnished by Owner which are designated for the use of Contractor.
38. *Specifications*—The part of the Contract that consists of written requirements for materials, equipment, systems, standards, and workmanship as applied to the Work, and certain administrative requirements and procedural matters applicable to the Work.
39. *Subcontractor*—An individual or entity having a direct contract with Contractor or with any other Subcontractor for the performance of a part of the Work.
40. *Substantial Completion*—The time at which the Work (or a specified part thereof) has progressed to the point where, in the opinion of Engineer, the Work (or a specified part thereof) is sufficiently complete, in accordance with the Contract Documents, so that the Work (or a specified part thereof) can be utilized for the purposes for which it is intended. The terms “substantially complete” and “substantially completed” as applied to all or part of the Work refer to Substantial Completion thereof.
41. *Successful Bidder*—The Bidder whose Bid the Owner accepts, and to which the Owner makes an award of contract, subject to stated conditions.
42. *Supplementary Conditions*—The part of the Contract that amends or supplements these General Conditions.
43. *Supplier*—A manufacturer, fabricator, supplier, distributor, materialman, or vendor having a direct contract with Contractor or with any Subcontractor to furnish materials or equipment to be incorporated in the Work by Contractor or a Subcontractor.
44. *Technical Data*—Those items expressly identified as Technical Data in the Supplementary Conditions, with respect to either (a) subsurface conditions at the Site, or physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities) or (b) Hazardous Environmental Conditions at the Site. If no such express identifications of Technical Data have been made with respect to conditions at the Site, then the data contained in boring logs, recorded measurements of subsurface water levels, laboratory test results, and other factual, objective information regarding conditions at the Site that are set forth in any geotechnical or environmental report prepared for the Project and made available to Contractor are hereby defined as Technical Data with respect to conditions at the Site under Paragraphs 5.03, 5.04, and 5.06.
45. *Underground Facilities*—All underground pipelines, conduits, ducts, cables, wires, manholes, vaults, tanks, tunnels, or other such facilities or attachments, and any encasements containing such facilities, including but not limited to those that convey electricity, gases, steam, liquid petroleum products, telephone or other communications, fiber optic transmissions, cable television, water, wastewater, storm water, other liquids or chemicals, or traffic or other control systems.
46. *Unit Price Work*—Work to be paid for on the basis of unit prices.
47. *Work*—The entire construction or the various separately identifiable parts thereof required to be provided under the Contract Documents. Work includes and is the result of performing or providing all labor, services, and documentation necessary to produce such construction; furnishing, installing, and incorporating all materials and equipment into such construction; and may include related services such as testing, start-up, and commissioning, all as required by the Contract Documents.

48. *Work Change Directive*—A written directive to Contractor issued on or after the Effective Date of the Contract, signed by Owner and recommended by Engineer, ordering an addition, deletion, or revision in the Work.

## 1.02 Terminology

- A. The words and terms discussed in the following paragraphs are not defined but, when used in the Bidding Requirements or Contract Documents, have the indicated meaning.
- B. *Intent of Certain Terms or Adjectives:*
1. The Contract Documents include the terms “as allowed,” “as approved,” “as ordered,” “as directed” or terms of like effect or import to authorize an exercise of professional judgment by Engineer. In addition, the adjectives “reasonable,” “suitable,” “acceptable,” “proper,” “satisfactory,” or adjectives of like effect or import are used to describe an action or determination of Engineer as to the Work. It is intended that such exercise of professional judgment, action, or determination will be solely to evaluate, in general, the Work for compliance with the information in the Contract Documents and with the design concept of the Project as a functioning whole as shown or indicated in the Contract Documents (unless there is a specific statement indicating otherwise). The use of any such term or adjective is not intended to and shall not be effective to assign to Engineer any duty or authority to supervise or direct the performance of the Work, or any duty or authority to undertake responsibility contrary to the provisions of Article 10 or any other provision of the Contract Documents.
- C. *Day:*
1. The word “day” means a calendar day of 24 hours measured from midnight to the next midnight.
- D. *Defective:*
1. The word “defective,” when modifying the word “Work,” refers to Work that is unsatisfactory, faulty, or deficient in that it:
    - a. does not conform to the Contract Documents; or
    - b. does not meet the requirements of any applicable inspection, reference standard, test, or approval referred to in the Contract Documents; or
    - c. has been damaged prior to Engineer’s recommendation of final payment (unless responsibility for the protection thereof has been assumed by Owner at Substantial Completion in accordance with Paragraph 15.03 or 15.04).
- E. *Furnish, Install, Perform, Provide:*
1. The word “furnish,” when used in connection with services, materials, or equipment, shall mean to supply and deliver said services, materials, or equipment to the Site (or some other specified location) ready for use or installation and in usable or operable condition.
  2. The word “install,” when used in connection with services, materials, or equipment, shall mean to put into use or place in final position said services, materials, or equipment complete and ready for intended use.

3. The words “perform” or “provide,” when used in connection with services, materials, or equipment, shall mean to furnish and install said services, materials, or equipment complete and ready for intended use.
  4. If the Contract Documents establish an obligation of Contractor with respect to specific services, materials, or equipment, but do not expressly use any of the four words “furnish,” “install,” “perform,” or “provide,” then Contractor shall furnish and install said services, materials, or equipment complete and ready for intended use.
- F. Unless stated otherwise in the Contract Documents, words or phrases that have a well-known technical or construction industry or trade meaning are used in the Contract Documents in accordance with such recognized meaning.

## **ARTICLE 2 – PRELIMINARY MATTERS**

### **2.01 *Delivery of Bonds and Evidence of Insurance***

- A. *Bonds*: When Contractor delivers the executed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner such bonds as Contractor may be required to furnish.
- B. *Evidence of Contractor’s Insurance*: When Contractor delivers the executed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner, with copies to each named insured and additional insured (as identified in the Supplementary Conditions or elsewhere in the Contract), the certificates and other evidence of insurance required to be provided by Contractor in accordance with Article 6.
- C. *Evidence of Owner’s Insurance*: After receipt of the executed counterparts of the Agreement and all required bonds and insurance documentation, Owner shall promptly deliver to Contractor, with copies to each named insured and additional insured (as identified in the Supplementary Conditions or otherwise), the certificates and other evidence of insurance required to be provided by Owner under Article 6.

### **2.02 *Copies of Documents***

- A. Owner shall furnish to Contractor four printed copies of the Contract (including one fully executed counterpart of the Agreement), and one copy in electronic portable document format (PDF). Additional printed copies will be furnished upon request at the cost of reproduction.
- B. Owner shall maintain and safeguard at least one original printed record version of the Contract, including Drawings and Specifications signed and sealed by Engineer and other design professionals. Owner shall make such original printed record version of the Contract available to Contractor for review. Owner may delegate the responsibilities under this provision to Engineer.

### **2.03 *Before Starting Construction***

- A. *Preliminary Schedules*: Within 10 days after the Effective Date of the Contract (or as otherwise specifically required by the Contract Documents), Contractor shall submit to Engineer for timely review:
  1. a preliminary Progress Schedule indicating the times (numbers of days or dates) for starting and completing the various stages of the Work, including any Milestones specified in the Contract;
  2. a preliminary Schedule of Submittals; and

3. a preliminary Schedule of Values for all of the Work which includes quantities and prices of items which when added together equal the Contract Price and subdivides the Work into component parts in sufficient detail to serve as the basis for progress payments during performance of the Work. Such prices will include an appropriate amount of overhead and profit applicable to each item of Work.

#### 2.04 *Preconstruction Conference; Designation of Authorized Representatives*

- A. Before any Work at the Site is started, a conference attended by Owner, Contractor, Engineer, and others as appropriate will be held to establish a working understanding among the parties as to the Work and to discuss the schedules referred to in Paragraph 2.03.A, procedures for handling Shop Drawings, Samples, and other submittals, processing Applications for Payment, electronic or digital transmittals, and maintaining required records.
- B. At this conference Owner and Contractor each shall designate, in writing, a specific individual to act as its authorized representative with respect to the services and responsibilities under the Contract. Such individuals shall have the authority to transmit and receive information, render decisions relative to the Contract, and otherwise act on behalf of each respective party.

#### 2.05 *Initial Acceptance of Schedules*

- A. At least 10 days before submission of the first Application for Payment a conference, attended by Contractor, Engineer, and others as appropriate, will be held to review for acceptability to Engineer as provided below the schedules submitted in accordance with Paragraph 2.03.A. Contractor shall have an additional 10 days to make corrections and adjustments and to complete and resubmit the schedules. No progress payment shall be made to Contractor until acceptable schedules are submitted to Engineer.
  1. The Progress Schedule will be acceptable to Engineer if it provides an orderly progression of the Work to completion within the Contract Times. Such acceptance will not impose on Engineer responsibility for the Progress Schedule, for sequencing, scheduling, or progress of the Work, nor interfere with or relieve Contractor from Contractor's full responsibility therefor.
  2. Contractor's Schedule of Submittals will be acceptable to Engineer if it provides a workable arrangement for reviewing and processing the required submittals.
  3. Contractor's Schedule of Values will be acceptable to Engineer as to form and substance if it provides a reasonable allocation of the Contract Price to the component parts of the Work.

#### 2.06 *Electronic Transmittals*

- A. Except as otherwise stated elsewhere in the Contract, the Owner, Engineer, and Contractor may transmit, and shall accept, Project-related correspondence, text, data, documents, drawings, information, and graphics, including but not limited to Shop Drawings and other submittals, in electronic media or digital format, either directly, or through access to a secure Project website.
- B. If the Contract does not establish protocols for electronic or digital transmittals, then Owner, Engineer, and Contractor shall jointly develop such protocols.
- C. When transmitting items in electronic media or digital format, the transmitting party makes no representations as to long term compatibility, usability, or readability of the items resulting from the recipient's use of software application packages, operating systems, or

computer hardware differing from those used in the drafting or transmittal of the items, or from those established in applicable transmittal protocols.

### **ARTICLE 3 – DOCUMENTS: INTENT, REQUIREMENTS, REUSE**

#### **3.01 *Intent***

- A. The Contract Documents are complementary; what is required by one is as binding as if required by all.
- B. It is the intent of the Contract Documents to describe a functionally complete project (or part thereof) to be constructed in accordance with the Contract Documents.
- C. Unless otherwise stated in the Contract Documents, if there is a discrepancy between the electronic or digital versions of the Contract Documents (including any printed copies derived from such electronic or digital versions) and the printed record version, the printed record version shall govern.
- D. The Contract supersedes prior negotiations, representations, and agreements, whether written or oral.
- E. Engineer will issue clarifications and interpretations of the Contract Documents as provided herein.

#### **3.02 *Reference Standards***

- A. Standards Specifications, Codes, Laws and Regulations
  - 1. Reference in the Contract Documents to standard specifications, manuals, reference standards, or codes of any technical society, organization, or association, or to Laws or Regulations, whether such reference be specific or by implication, shall mean the standard specification, manual, reference standard, code, or Laws or Regulations in effect at the time of opening of Bids (or on the Effective Date of the Contract if there were no Bids), except as may be otherwise specifically stated in the Contract Documents.
  - 2. No provision of any such standard specification, manual, reference standard, or code, or any instruction of a Supplier, shall be effective to change the duties or responsibilities of Owner, Contractor, or Engineer, or any of their subcontractors, consultants, agents, or employees, from those set forth in the part of the Contract Documents prepared by or for Engineer. No such provision or instruction shall be effective to assign to Owner, Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, any duty or authority to supervise or direct the performance of the Work or any duty or authority to undertake responsibility inconsistent with the provisions of the part of the Contract Documents prepared by or for Engineer.

#### **3.03 *Reporting and Resolving Discrepancies***

- A. *Reporting Discrepancies:*
  - 1. *Contractor's Verification of Figures and Field Measurements:* Before undertaking each part of the Work, Contractor shall carefully study the Contract Documents, and check and verify pertinent figures and dimensions therein, particularly with respect to applicable field measurements. Contractor shall promptly report in writing to Engineer any conflict, error, ambiguity, or discrepancy that Contractor discovers, or has actual knowledge of, and shall not proceed with any Work affected thereby until the conflict,

error, ambiguity, or discrepancy is resolved, by a clarification or interpretation by Engineer, or by an amendment or supplement to the Contract Documents issued pursuant to Paragraph 11.01.

2. *Contractor's Review of Contract Documents:* If, before or during the performance of the Work, Contractor discovers any conflict, error, ambiguity, or discrepancy within the Contract Documents, or between the Contract Documents and (a) any applicable Law or Regulation, (b) actual field conditions, (c) any standard specification, manual, reference standard, or code, or (d) any instruction of any Supplier, then Contractor shall promptly report it to Engineer in writing. Contractor shall not proceed with the Work affected thereby (except in an emergency as required by Paragraph 7.15) until the conflict, error, ambiguity, or discrepancy is resolved, by a clarification or interpretation by Engineer, or by an amendment or supplement to the Contract Documents issued pursuant to Paragraph 11.01.
3. Contractor shall not be liable to Owner or Engineer for failure to report any conflict, error, ambiguity, or discrepancy in the Contract Documents unless Contractor had actual knowledge thereof.

B. *Resolving Discrepancies:*

1. Except as may be otherwise specifically stated in the Contract Documents, the provisions of the part of the Contract Documents prepared by or for Engineer shall take precedence in resolving any conflict, error, ambiguity, or discrepancy between such provisions of the Contract Documents and:
  - a. the provisions of any standard specification, manual, reference standard, or code, or the instruction of any Supplier (whether or not specifically incorporated by reference as a Contract Document); or
  - b. the provisions of any Laws or Regulations applicable to the performance of the Work (unless such an interpretation of the provisions of the Contract Documents would result in violation of such Law or Regulation).

3.04 *Requirements of the Contract Documents*

- A. During the performance of the Work and until final payment, Contractor and Owner shall submit to the Engineer all matters in question concerning the requirements of the Contract Documents (sometimes referred to as requests for information or interpretation—RFIs), or relating to the acceptability of the Work under the Contract Documents, as soon as possible after such matters arise. Engineer will be the initial interpreter of the requirements of the Contract Documents, and judge of the acceptability of the Work thereunder.
- B. Engineer will, with reasonable promptness, render a written clarification, interpretation, or decision on the issue submitted, or initiate an amendment or supplement to the Contract Documents. Engineer's written clarification, interpretation, or decision will be final and binding on Contractor, unless it appeals by submitting a Change Proposal, and on Owner, unless it appeals by filing a Claim.
- C. If a submitted matter in question concerns terms and conditions of the Contract Documents that do not involve (1) the performance or acceptability of the Work under the Contract Documents, (2) the design (as set forth in the Drawings, Specifications, or otherwise), or (3) other engineering or technical matters, then Engineer will promptly give written notice to Owner and Contractor that Engineer is unable to provide a decision or interpretation. If Owner and Contractor are unable to agree on resolution of such a matter in question, either party may pursue resolution as provided in Article 12.

### 3.05 *Reuse of Documents*

- A. Contractor and its Subcontractors and Suppliers shall not:
  - 1. have or acquire any title to or ownership rights in any of the Drawings, Specifications, or other documents (or copies of any thereof) prepared by or bearing the seal of Engineer or its consultants, including electronic media editions, or reuse any such Drawings, Specifications, other documents, or copies thereof on extensions of the Project or any other project without written consent of Owner and Engineer and specific written verification or adaptation by Engineer; or
  - 2. have or acquire any title or ownership rights in any other Contract Documents, reuse any such Contract Documents for any purpose without Owner's express written consent, or violate any copyrights pertaining to such Contract Documents.
- B. The prohibitions of this Paragraph 3.05 will survive final payment, or termination of the Contract. Nothing herein shall preclude Contractor from retaining copies of the Contract Documents for record purposes.

## **ARTICLE 4 – COMMENCEMENT AND PROGRESS OF THE WORK**

### 4.01 *Commencement of Contract Times; Notice to Proceed*

- A. The Contract Times will commence to run on the thirtieth day after the Effective Date of the Contract or, if a Notice to Proceed is given, on the day indicated in the Notice to Proceed. A Notice to Proceed may be given at any time within 30 days after the Effective Date of the Contract. In no event will the Contract Times commence to run later than the sixtieth day after the day of Bid opening or the thirtieth day after the Effective Date of the Contract, whichever date is earlier.

### 4.02 *Starting the Work*

- A. Contractor shall start to perform the Work on the date when the Contract Times commence to run. No Work shall be done at the Site prior to such date.

### 4.03 *Reference Points*

- A. Owner shall provide engineering surveys to establish reference points for construction which in Engineer's judgment are necessary to enable Contractor to proceed with the Work. Contractor shall be responsible for laying out the Work, shall protect and preserve the established reference points and property monuments, and shall make no changes or relocations without the prior written approval of Owner. Contractor shall report to Engineer whenever any reference point or property monument is lost or destroyed or requires relocation because of necessary changes in grades or locations, and shall be responsible for the accurate replacement or relocation of such reference points or property monuments by professionally qualified personnel.

### 4.04 *Progress Schedule*

- A. Contractor shall adhere to the Progress Schedule established in accordance with Paragraph 2.05 as it may be adjusted from time to time as provided below.
  - 1. Contractor shall submit to Engineer for acceptance (to the extent indicated in Paragraph 2.05) proposed adjustments in the Progress Schedule that will not result in changing the Contract Times.



2. Proposed adjustments in the Progress Schedule that will change the Contract Times shall be submitted in accordance with the requirements of Article 11.
- B. Contractor shall carry on the Work and adhere to the Progress Schedule during all disputes or disagreements with Owner. No Work shall be delayed or postponed pending resolution of any disputes or disagreements, or during any appeal process, except as permitted by Paragraph 16.04, or as Owner and Contractor may otherwise agree in writing.

#### 4.05 *Delays in Contractor's Progress*

- A. If Owner, Engineer, or anyone for whom Owner is responsible, delays, disrupts, or interferes with the performance or progress of the Work, then Contractor shall be entitled to an equitable adjustment in the Contract Times and Contract Price. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times.
- B. Contractor shall not be entitled to an adjustment in Contract Price or Contract Times for delay, disruption, or interference caused by or within the control of Contractor. Delay, disruption, and interference attributable to and within the control of a Subcontractor or Supplier shall be deemed to be within the control of Contractor.
- C. If Contractor's performance or progress is delayed, disrupted, or interfered with by unanticipated causes not the fault of and beyond the control of Owner, Contractor, and those for which they are responsible, then Contractor shall be entitled to an equitable adjustment in Contract Times. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times. Such an adjustment shall be Contractor's sole and exclusive remedy for the delays, disruption, and interference described in this paragraph. Causes of delay, disruption, or interference that may give rise to an adjustment in Contract Times under this paragraph include but are not limited to the following:
  1. severe and unavoidable natural catastrophes such as fires, floods, epidemics, and earthquakes;
  2. abnormal weather conditions;
  3. acts or failures to act of utility owners (other than those performing other work at or adjacent to the Site by arrangement with the Owner, as contemplated in Article 8); and
  4. acts of war or terrorism.
- D. Delays, disruption, and interference to the performance or progress of the Work resulting from the existence of a differing subsurface or physical condition, an Underground Facility that was not shown or indicated by the Contract Documents, or not shown or indicated with reasonable accuracy, and those resulting from Hazardous Environmental Conditions, are governed by Article 5.
- E. Paragraph 8.03 governs delays, disruption, and interference to the performance or progress of the Work resulting from the performance of certain other work at or adjacent to the Site.
- F. Contractor shall not be entitled to an adjustment in Contract Price or Contract Times for any delay, disruption, or interference if such delay is concurrent with a delay, disruption, or interference caused by or within the control of Contractor.

- G. Contractor must submit any Change Proposal seeking an adjustment in Contract Price or Contract Times under this paragraph within 30 days of the commencement of the delaying, disrupting, or interfering event.

## **ARTICLE 5 – AVAILABILITY OF LANDS; SUBSURFACE AND PHYSICAL CONDITIONS; HAZARDOUS ENVIRONMENTAL CONDITIONS**

### **5.01 *Availability of Lands***

- A. Owner shall furnish the Site. Owner shall notify Contractor of any encumbrances or restrictions not of general application but specifically related to use of the Site with which Contractor must comply in performing the Work.
- B. Upon reasonable written request, Owner shall furnish Contractor with a current statement of record legal title and legal description of the lands upon which permanent improvements are to be made and Owner's interest therein as necessary for giving notice of or filing a mechanic's or construction lien against such lands in accordance with applicable Laws and Regulations.
- C. Contractor shall provide for all additional lands and access thereto that may be required for temporary construction facilities or storage of materials and equipment.

### **5.02 *Use of Site and Other Areas***

#### **A. *Limitation on Use of Site and Other Areas:***

- 1. Contractor shall confine construction equipment, temporary construction facilities, the storage of materials and equipment, and the operations of workers to the Site, adjacent areas that Contractor has arranged to use through construction easements or otherwise, and other adjacent areas permitted by Laws and Regulations, and shall not unreasonably encumber the Site and such other adjacent areas with construction equipment or other materials or equipment. Contractor shall assume full responsibility for (a) damage to the Site; (b) damage to any such other adjacent areas used for Contractor's operations; (c) damage to any other adjacent land or areas; and (d) for injuries and losses sustained by the owners or occupants of any such land or areas; provided that such damage or injuries result from the performance of the Work or from other actions or conduct of the Contractor or those for which Contractor is responsible.
- 2. If a damage or injury claim is made by the owner or occupant of any such land or area because of the performance of the Work, or because of other actions or conduct of the Contractor or those for which Contractor is responsible, Contractor shall (a) take immediate corrective or remedial action as required by Paragraph 7.12, or otherwise; (b) promptly attempt to settle the claim as to all parties through negotiations with such owner or occupant, or otherwise resolve the claim by arbitration or other dispute resolution proceeding, or at law; and (c) to the fullest extent permitted by Laws and Regulations, indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against any such claim, and against all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any claim or action, legal or equitable, brought by any such owner or occupant against Owner, Engineer, or any other party indemnified hereunder to the extent caused directly or indirectly, in whole or in part

by, or based upon, Contractor's performance of the Work, or because of other actions or conduct of the Contractor or those for which Contractor is responsible.

- B. *Removal of Debris During Performance of the Work:* During the progress of the Work the Contractor shall keep the Site and other adjacent areas free from accumulations of waste materials, rubbish, and other debris. Removal and disposal of such waste materials, rubbish, and other debris shall conform to applicable Laws and Regulations.
- C. *Cleaning:* Prior to Substantial Completion of the Work Contractor shall clean the Site and the Work and make it ready for utilization by Owner. At the completion of the Work Contractor shall remove from the Site and adjacent areas all tools, appliances, construction equipment and machinery, and surplus materials and shall restore to original condition all property not designated for alteration by the Contract Documents.
- D. *Loading of Structures:* Contractor shall not load nor permit any part of any structure to be loaded in any manner that will endanger the structure, nor shall Contractor subject any part of the Work or adjacent structures or land to stresses or pressures that will endanger them.

### 5.03 *Subsurface and Physical Conditions*

- A. *Reports and Drawings:* The Supplementary Conditions identify:
  - 1. those reports known to Owner of explorations and tests of subsurface conditions at or adjacent to the Site;
  - 2. those drawings known to Owner of physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities); and
  - 3. Technical Data contained in such reports and drawings.
- B. *Reliance by Contractor on Technical Data Authorized:* Contractor may rely upon the accuracy of the Technical Data expressly identified in the Supplementary Conditions with respect to such reports and drawings, but such reports and drawings are not Contract Documents. If no such express identification has been made, then Contractor may rely upon the accuracy of the Technical Data (as defined in Article 1) contained in any geotechnical or environmental report prepared for the Project and made available to Contractor. Except for such reliance on Technical Data, Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, with respect to:
  - 1. the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor, and safety precautions and programs incident thereto; or
  - 2. other data, interpretations, opinions, and information contained in such reports or shown or indicated in such drawings; or
  - 3. any Contractor interpretation of or conclusion drawn from any Technical Data or any such other data, interpretations, opinions, or information.

#### 5.04 *Differing Subsurface or Physical Conditions*

- A. *Notice by Contractor:* If Contractor believes that any subsurface or physical condition that is uncovered or revealed at the Site either:
1. is of such a nature as to establish that any Technical Data on which Contractor is entitled to rely as provided in Paragraph 5.03 is materially inaccurate; or
  2. is of such a nature as to require a change in the Drawings or Specifications; or
  3. differs materially from that shown or indicated in the Contract Documents; or
  4. is of an unusual nature, and differs materially from conditions ordinarily encountered and generally recognized as inherent in work of the character provided for in the Contract Documents;

then Contractor shall, promptly after becoming aware thereof and before further disturbing the subsurface or physical conditions or performing any Work in connection therewith (except in an emergency as required by Paragraph 7.15), notify Owner and Engineer in writing about such condition. Contractor shall not further disturb such condition or perform any Work in connection therewith (except with respect to an emergency) until receipt of a written statement permitting Contractor to do so.

- B. *Engineer's Review:* After receipt of written notice as required by the preceding paragraph, Engineer will promptly review the subsurface or physical condition in question; determine the necessity of Owner's obtaining additional exploration or tests with respect to the condition; conclude whether the condition falls within any one or more of the differing site condition categories in Paragraph 5.04.A above; obtain any pertinent cost or schedule information from Contractor; prepare recommendations to Owner regarding the Contractor's resumption of Work in connection with the subsurface or physical condition in question and the need for any change in the Drawings or Specifications; and advise Owner in writing of Engineer's findings, conclusions, and recommendations.
- C. *Owner's Statement to Contractor Regarding Site Condition:* After receipt of Engineer's written findings, conclusions, and recommendations, Owner shall issue a written statement to Contractor (with a copy to Engineer) regarding the subsurface or physical condition in question, addressing the resumption of Work in connection with such condition, indicating whether any change in the Drawings or Specifications will be made, and adopting or rejecting Engineer's written findings, conclusions, and recommendations, in whole or in part.
- D. *Possible Price and Times Adjustments:*
1. Contractor shall be entitled to an equitable adjustment in Contract Price or Contract Times, or both, to the extent that the existence of a differing subsurface or physical condition, or any related delay, disruption, or interference, causes an increase or decrease in Contractor's cost of, or time required for, performance of the Work; subject, however, to the following:
    - a. such condition must fall within any one or more of the categories described in Paragraph 5.04.A;
    - b. with respect to Work that is paid for on a unit price basis, any adjustment in Contract Price will be subject to the provisions of Paragraph 13.03; and,

- c. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times.
- 2. Contractor shall not be entitled to any adjustment in the Contract Price or Contract Times with respect to a subsurface or physical condition if:
  - a. Contractor knew of the existence of such condition at the time Contractor made a commitment to Owner with respect to Contract Price and Contract Times by the submission of a Bid or becoming bound under a negotiated contract, or otherwise; or
  - b. the existence of such condition reasonably could have been discovered or revealed as a result of any examination, investigation, exploration, test, or study of the Site and contiguous areas expressly required by the Bidding Requirements or Contract Documents to be conducted by or for Contractor prior to Contractor's making such commitment; or
  - c. Contractor failed to give the written notice as required by Paragraph 5.04.A.
- 3. If Owner and Contractor agree regarding Contractor's entitlement to and the amount or extent of any adjustment in the Contract Price or Contract Times, or both, then any such adjustment shall be set forth in a Change Order.
- 4. Contractor may submit a Change Proposal regarding its entitlement to or the amount or extent of any adjustment in the Contract Price or Contract Times, or both, no later than 30 days after Owner's issuance of the Owner's written statement to Contractor regarding the subsurface or physical condition in question.

#### 5.05 *Underground Facilities*

- A. *Contractor's Responsibilities:* The information and data shown or indicated in the Contract Documents with respect to existing Underground Facilities at or adjacent to the Site is based on information and data furnished to Owner or Engineer by the owners of such Underground Facilities, including Owner, or by others. Unless it is otherwise expressly provided in the Supplementary Conditions:
  - 1. Owner and Engineer do not warrant or guarantee the accuracy or completeness of any such information or data provided by others; and
  - 2. the cost of all of the following will be included in the Contract Price, and Contractor shall have full responsibility for:
    - a. reviewing and checking all information and data regarding existing Underground Facilities at the Site;
    - b. locating all Underground Facilities shown or indicated in the Contract Documents as being at the Site;
    - c. coordination of the Work with the owners (including Owner) of such Underground Facilities, during construction; and
    - d. the safety and protection of all existing Underground Facilities at the Site, and repairing any damage thereto resulting from the Work.
- B. *Notice by Contractor:* If Contractor believes that an Underground Facility that is uncovered or revealed at the Site was not shown or indicated in the Contract Documents, or was not shown or indicated with reasonable accuracy, then Contractor shall, promptly after

becoming aware thereof and before further disturbing conditions affected thereby or performing any Work in connection therewith (except in an emergency as required by Paragraph 7.15), identify the owner of such Underground Facility and give written notice to that owner and to Owner and Engineer.

- C. *Engineer's Review:* Engineer will promptly review the Underground Facility and conclude whether such Underground Facility was not shown or indicated in the Contract Documents, or was not shown or indicated with reasonable accuracy; obtain any pertinent cost or schedule information from Contractor; prepare recommendations to Owner regarding the Contractor's resumption of Work in connection with the Underground Facility in question; determine the extent, if any, to which a change is required in the Drawings or Specifications to reflect and document the consequences of the existence or location of the Underground Facility; and advise Owner in writing of Engineer's findings, conclusions, and recommendations. During such time, Contractor shall be responsible for the safety and protection of such Underground Facility.
- D. *Owner's Statement to Contractor Regarding Underground Facility:* After receipt of Engineer's written findings, conclusions, and recommendations, Owner shall issue a written statement to Contractor (with a copy to Engineer) regarding the Underground Facility in question, addressing the resumption of Work in connection with such Underground Facility, indicating whether any change in the Drawings or Specifications will be made, and adopting or rejecting Engineer's written findings, conclusions, and recommendations in whole or in part.
- E. *Possible Price and Times Adjustments:*
  - 1. Contractor shall be entitled to an equitable adjustment in the Contract Price or Contract Times, or both, to the extent that any existing Underground Facility at the Site that was not shown or indicated in the Contract Documents, or was not shown or indicated with reasonable accuracy, or any related delay, disruption, or interference, causes an increase or decrease in Contractor's cost of, or time required for, performance of the Work; subject, however, to the following:
    - a. Contractor did not know of and could not reasonably have been expected to be aware of or to have anticipated the existence or actual location of the Underground Facility in question;
    - b. With respect to Work that is paid for on a unit price basis, any adjustment in Contract Price will be subject to the provisions of Paragraph 13.03;
    - c. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times; and
    - d. Contractor gave the notice required in Paragraph 5.05.B.
  - 2. If Owner and Contractor agree regarding Contractor's entitlement to and the amount or extent of any adjustment in the Contract Price or Contract Times, or both, then any such adjustment shall be set forth in a Change Order.
  - 3. Contractor may submit a Change Proposal regarding its entitlement to or the amount or extent of any adjustment in the Contract Price or Contract Times, or both, no later than 30 days after Owner's issuance of the Owner's written statement to Contractor regarding the Underground Facility in question.

5.06 *Hazardous Environmental Conditions at Site*

- A. *Reports and Drawings:* The Supplementary Conditions identify:
1. those reports and drawings known to Owner relating to Hazardous Environmental Conditions that have been identified at or adjacent to the Site; and
  2. Technical Data contained in such reports and drawings.
- B. *Reliance by Contractor on Technical Data Authorized:* Contractor may rely upon the accuracy of the Technical Data expressly identified in the Supplementary Conditions with respect to such reports and drawings, but such reports and drawings are not Contract Documents. If no such express identification has been made, then Contractor may rely on the accuracy of the Technical Data (as defined in Article 1) contained in any geotechnical or environmental report prepared for the Project and made available to Contractor. Except for such reliance on Technical Data, Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors with respect to:
1. the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences and procedures of construction to be employed by Contractor and safety precautions and programs incident thereto; or
  2. other data, interpretations, opinions and information contained in such reports or shown or indicated in such drawings; or
  3. any Contractor interpretation of or conclusion drawn from any Technical Data or any such other data, interpretations, opinions or information.
- C. Contractor shall not be responsible for removing or remediating any Hazardous Environmental Condition encountered, uncovered, or revealed at the Site unless such removal or remediation is expressly identified in the Contract Documents to be within the scope of the Work.
- D. Contractor shall be responsible for controlling, containing, and duly removing all Constituents of Concern brought to the Site by Contractor, Subcontractors, Suppliers, or anyone else for whom Contractor is responsible, and for any associated costs; and for the costs of removing and remediating any Hazardous Environmental Condition created by the presence of any such Constituents of Concern.
- E. If Contractor encounters, uncovers, or reveals a Hazardous Environmental Condition whose removal or remediation is not expressly identified in the Contract Documents as being within the scope of the Work, or if Contractor or anyone for whom Contractor is responsible creates a Hazardous Environmental Condition, then Contractor shall immediately: (1) secure or otherwise isolate such condition; (2) stop all Work in connection with such condition and in any area affected thereby (except in an emergency as required by Paragraph 7.15); and (3) notify Owner and Engineer (and promptly thereafter confirm such notice in writing). Owner shall promptly consult with Engineer concerning the necessity for Owner to retain a qualified expert to evaluate such condition or take corrective action, if any. Promptly after consulting with Engineer, Owner shall take such actions as are necessary to permit Owner to timely obtain required permits and provide Contractor the written notice required by Paragraph 5.06.F. If Contractor or anyone for whom Contractor is responsible created the Hazardous Environmental Condition in question, then Owner may remove and remediate the Hazardous Environmental Condition, and impose a set-off against payments to account for the associated costs.

- F. Contractor shall not resume Work in connection with such Hazardous Environmental Condition or in any affected area until after Owner has obtained any required permits related thereto, and delivered written notice to Contractor either (1) specifying that such condition and any affected area is or has been rendered safe for the resumption of Work, or (2) specifying any special conditions under which such Work may be resumed safely.
- G. If Owner and Contractor cannot agree as to entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times, or both, as a result of such Work stoppage or such special conditions under which Work is agreed to be resumed by Contractor, then within 30 days of Owner's written notice regarding the resumption of Work, Contractor may submit a Change Proposal, or Owner may impose a set-off.
- H. If after receipt of such written notice Contractor does not agree to resume such Work based on a reasonable belief it is unsafe, or does not agree to resume such Work under such special conditions, then Owner may order the portion of the Work that is in the area affected by such condition to be deleted from the Work, following the contractual change procedures in Article 11. Owner may have such deleted portion of the Work performed by Owner's own forces or others in accordance with Article 8.
- I. To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, Subcontractors, and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to a Hazardous Environmental Condition, provided that such Hazardous Environmental Condition (1) was not shown or indicated in the Drawings, Specifications, or other Contract Documents, identified as Technical Data entitled to limited reliance pursuant to Paragraph 5.06.B, or identified in the Contract Documents to be included within the scope of the Work, and (2) was not created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 5.06.H shall obligate Owner to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.
- J. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to the failure to control, contain, or remove a Constituent of Concern brought to the Site by Contractor or by anyone for whom Contractor is responsible, or to a Hazardous Environmental Condition created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 5.06.J shall obligate Contractor to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.
- K. The provisions of Paragraphs 5.03, 5.04, and 5.05 do not apply to the presence of Constituents of Concern or to a Hazardous Environmental Condition uncovered or revealed at the Site.



## ARTICLE 6 – BONDS AND INSURANCE

### 6.01 *Performance, Payment, and Other Bonds*

- A. Contractor shall furnish a performance bond and a payment bond, each in an amount at least equal to the Contract Price, as security for the faithful performance and payment of all of Contractor's obligations under the Contract. These bonds shall remain in effect until one year after the date when final payment becomes due or until completion of the correction period specified in Paragraph 15.08, whichever is later, except as provided otherwise by Laws or Regulations, the Supplementary Conditions, or other specific provisions of the Contract. Contractor shall also furnish such other bonds as are required by the Supplementary Conditions or other specific provisions of the Contract.
- B. All bonds shall be in the form prescribed by the Contract except as provided otherwise by Laws or Regulations, and shall be executed by such sureties as are named in "Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds and as Acceptable Reinsuring Companies" as published in Circular 570 (as amended and supplemented) by the Financial Management Service, Surety Bond Branch, U.S. Department of the Treasury. A bond signed by an agent or attorney-in-fact must be accompanied by a certified copy of that individual's authority to bind the surety. The evidence of authority shall show that it is effective on the date the agent or attorney-in-fact signed the accompanying bond.
- C. Contractor shall obtain the required bonds from surety companies that are duly licensed or authorized in the jurisdiction in which the Project is located to issue bonds in the required amounts.
- D. If the surety on a bond furnished by Contractor is declared bankrupt or becomes insolvent, or its right to do business is terminated in any state or jurisdiction where any part of the Project is located, or the surety ceases to meet the requirements above, then Contractor shall promptly notify Owner and Engineer and shall, within 20 days after the event giving rise to such notification, provide another bond and surety, both of which shall comply with the bond and surety requirements above.
- E. If Contractor has failed to obtain a required bond, Owner may exclude the Contractor from the Site and exercise Owner's termination rights under Article 16.
- F. Upon request, Owner shall provide a copy of the payment bond to any Subcontractor, Supplier, or other person or entity claiming to have furnished labor or materials used in the performance of the Work.

### 6.02 *Insurance—General Provisions*

- A. Owner and Contractor shall obtain and maintain insurance as required in this Article and in the Supplementary Conditions.
- B. All insurance required by the Contract to be purchased and maintained by Owner or Contractor shall be obtained from insurance companies that are duly licensed or authorized, in the state or jurisdiction in which the Project is located, to issue insurance policies for the required limits and coverages. Unless a different standard is indicated in the Supplementary Conditions, all companies that provide insurance policies required under this Contract shall have an A.M. Best rating of A-VII or better.
- C. Contractor shall deliver to Owner, with copies to each named insured and additional insured (as identified in this Article, in the Supplementary Conditions, or elsewhere in the Contract), certificates of insurance establishing that Contractor has obtained and is

maintaining the policies, coverages, and endorsements required by the Contract. Upon request by Owner or any other insured, Contractor shall also furnish other evidence of such required insurance, including but not limited to copies of policies and endorsements, and documentation of applicable self-insured retentions and deductibles. Contractor may block out (redact) any confidential premium or pricing information contained in any policy or endorsement furnished under this provision.

- D. Owner shall deliver to Contractor, with copies to each named insured and additional insured (as identified in this Article, the Supplementary Conditions, or elsewhere in the Contract), certificates of insurance establishing that Owner has obtained and is maintaining the policies, coverages, and endorsements required of Owner by the Contract (if any). Upon request by Contractor or any other insured, Owner shall also provide other evidence of such required insurance (if any), including but not limited to copies of policies and endorsements, and documentation of applicable self-insured retentions and deductibles. Owner may block out (redact) any confidential premium or pricing information contained in any policy or endorsement furnished under this provision.
- E. Failure of Owner or Contractor to demand such certificates or other evidence of the other party's full compliance with these insurance requirements, or failure of Owner or Contractor to identify a deficiency in compliance from the evidence provided, shall not be construed as a waiver of the other party's obligation to obtain and maintain such insurance.
- F. If either party does not purchase or maintain all of the insurance required of such party by the Contract, such party shall notify the other party in writing of such failure to purchase prior to the start of the Work, or of such failure to maintain prior to any change in the required coverage.
- G. If Contractor has failed to obtain and maintain required insurance, Owner may exclude the Contractor from the Site, impose an appropriate set-off against payment, and exercise Owner's termination rights under Article 16.
- H. Without prejudice to any other right or remedy, if a party has failed to obtain required insurance, the other party may elect to obtain equivalent insurance to protect such other party's interests at the expense of the party who was required to provide such coverage, and the Contract Price shall be adjusted accordingly.
- I. Owner does not represent that insurance coverage and limits established in this Contract necessarily will be adequate to protect Contractor or Contractor's interests.
- J. The insurance and insurance limits required herein shall not be deemed as a limitation on Contractor's liability under the indemnities granted to Owner and other individuals and entities in the Contract.

#### 6.03 *Contractor's Insurance*

- A. *Workers' Compensation:* Contractor shall purchase and maintain workers' compensation and employer's liability insurance for:
  - 1. claims under workers' compensation, disability benefits, and other similar employee benefit acts.
  - 2. United States Longshoreman and Harbor Workers' Compensation Act and Jones Act coverage (if applicable).
  - 3. claims for damages because of bodily injury, occupational sickness or disease, or death of Contractor's employees (by stop-gap endorsement in monopolist worker's compensation states).

4. Foreign voluntary worker compensation (if applicable).
- B. *Commercial General Liability—Claims Covered:* Contractor shall purchase and maintain commercial general liability insurance, covering all operations by or on behalf of Contractor, on an occurrence basis, against:
1. claims for damages because of bodily injury, sickness or disease, or death of any person other than Contractor's employees.
  2. claims for damages insured by reasonably available personal injury liability coverage.
  3. claims for damages, other than to the Work itself, because of injury to or destruction of tangible property wherever located, including loss of use resulting therefrom.
- C. *Commercial General Liability—Form and Content:* Contractor's commercial liability policy shall be written on a 1996 (or later) ISO commercial general liability form (occurrence form) and include the following coverages and endorsements:
1. Products and completed operations coverage:
    - a. Such insurance shall be maintained for three years after final payment.
    - b. Contractor shall furnish Owner and each other additional insured (as identified in the Supplementary Conditions or elsewhere in the Contract) evidence of continuation of such insurance at final payment and three years thereafter.
  2. Blanket contractual liability coverage, to the extent permitted by law, including but not limited to coverage of Contractor's contractual indemnity obligations in Paragraph 7.18.
  3. Broad form property damage coverage.
  4. Severability of interest.
  5. Underground, explosion, and collapse coverage.
  6. Personal injury coverage.
  7. Additional insured endorsements that include both ongoing operations and products and completed operations coverage through ISO Endorsements CG 20 10 10 01 and CG 20 37 10 01 (together); or CG 20 10 07 04 and CG 20 37 07 04 (together); or their equivalent.
  8. For design professional additional insureds, ISO Endorsement CG 20 32 07 04, "Additional Insured—Engineers, Architects or Surveyors Not Engaged by the Named Insured" or its equivalent.
- D. *Automobile liability:* Contractor shall purchase and maintain automobile liability insurance against claims for damages because of bodily injury or death of any person or property damage arising out of the ownership, maintenance, or use of any motor vehicle. The automobile liability policy shall be written on an occurrence basis.
- E. *Umbrella or excess liability:* Contractor shall purchase and maintain umbrella or excess liability insurance written over the underlying employer's liability, commercial general liability, and automobile liability insurance described in the paragraphs above. Subject to industry-standard exclusions, the coverage afforded shall follow form as to each and every one of the underlying policies.
- F. *Contractor's pollution liability insurance:* Contractor shall purchase and maintain a policy covering third-party injury and property damage claims, including clean-up costs, as a result

of pollution conditions arising from Contractor's operations and completed operations. This insurance shall be maintained for no less than three years after final completion.

- G. *Additional insureds*: The Contractor's commercial general liability, automobile liability, umbrella or excess, and pollution liability policies shall include and list as additional insureds Owner and Engineer, and any individuals or entities identified in the Supplementary Conditions; include coverage for the respective officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of all such additional insureds; and the insurance afforded to these additional insureds shall provide primary coverage for all claims covered thereby (including as applicable those arising from both ongoing and completed operations) on a non-contributory basis. Contractor shall obtain all necessary endorsements to support these requirements.
- H. *Contractor's professional liability insurance*: If Contractor will provide or furnish professional services under this Contract, through a delegation of professional design services or otherwise, then Contractor shall be responsible for purchasing and maintaining applicable professional liability insurance. This insurance shall provide protection against claims arising out of performance of professional design or related services, and caused by a negligent error, omission, or act for which the insured party is legally liable. It shall be maintained throughout the duration of the Contract and for a minimum of two years after Substantial Completion. If such professional design services are performed by a Subcontractor, and not by Contractor itself, then the requirements of this paragraph may be satisfied through the purchasing and maintenance of such insurance by such Subcontractor.
- I. *General provisions*: The policies of insurance required by this Paragraph 6.03 shall:
  - 1. include at least the specific coverages provided in this Article.
  - 2. be written for not less than the limits of liability provided in this Article and in the Supplementary Conditions, or required by Laws or Regulations, whichever is greater.
  - 3. contain a provision or endorsement that the coverage afforded will not be canceled, materially changed, or renewal refused until at least 10 days prior written notice has been given to Contractor. Within three days of receipt of any such written notice, Contractor shall provide a copy of the notice to Owner, Engineer, and each other insured under the policy.
  - 4. remain in effect at least until final payment (and longer if expressly required in this Article) and at all times thereafter when Contractor may be correcting, removing, or replacing defective Work as a warranty or correction obligation, or otherwise, or returning to the Site to conduct other tasks arising from the Contract Documents.
  - 5. be appropriate for the Work being performed and provide protection from claims that may arise out of or result from Contractor's performance of the Work and Contractor's other obligations under the Contract Documents, whether it is to be performed by Contractor, any Subcontractor or Supplier, or by anyone directly or indirectly employed by any of them to perform any of the Work, or by anyone for whose acts any of them may be liable.
- J. The coverage requirements for specific policies of insurance must be met by such policies, and not by reference to excess or umbrella insurance provided in other policies.

#### 6.04 *Owner's Liability Insurance*

- A. In addition to the insurance required to be provided by Contractor under Paragraph 6.03, Owner, at Owner's option, may purchase and maintain at Owner's expense Owner's own liability insurance as will protect Owner against claims which may arise from operations under the Contract Documents.
- B. Owner's liability policies, if any, operate separately and independently from policies required to be provided by Contractor, and Contractor cannot rely upon Owner's liability policies for any of Contractor's obligations to the Owner, Engineer, or third parties.

#### 6.05 *Property Insurance*

- A. *Builder's Risk:* Unless otherwise provided in the Supplementary Conditions, Contractor shall purchase and maintain builder's risk insurance upon the Work on a completed value basis, in the amount of the full insurable replacement cost thereof (subject to such deductible amounts as may be provided in the Supplementary Conditions or required by Laws and Regulations). This insurance shall:
  - 1. include the Owner and Contractor as named insureds, and all Subcontractors, and any individuals or entities required by the Supplementary Conditions to be insured under such builder's risk policy, as insureds or named insureds. For purposes of the remainder of this Paragraph 6.05, Paragraphs 6.06 and 6.07, and any corresponding Supplementary Conditions, the parties required to be insured shall collectively be referred to as "insureds."
  - 2. be written on a builder's risk "all risk" policy form that shall at least include insurance for physical loss or damage to the Work, temporary buildings, falsework, and materials and equipment in transit, and shall insure against at least the following perils or causes of loss: fire; lightning; windstorm; riot; civil commotion; terrorism; vehicle impact; aircraft; smoke; theft; vandalism and malicious mischief; mechanical breakdown, boiler explosion, and artificially generated electric current; earthquake; volcanic activity, and other earth movement; flood; collapse; explosion; debris removal; demolition occasioned by enforcement of Laws and Regulations; water damage (other than that caused by flood); and such other perils or causes of loss as may be specifically required by the Supplementary Conditions. If insurance against mechanical breakdown, boiler explosion, and artificially generated electric current; earthquake; volcanic activity, and other earth movement; or flood, are not commercially available under builder's risk policies, by endorsement or otherwise, such insurance may be provided through other insurance policies acceptable to Owner and Contractor.
  - 3. cover, as insured property, at least the following: (a) the Work and all materials, supplies, machinery, apparatus, equipment, fixtures, and other property of a similar nature that are to be incorporated into or used in the preparation, fabrication, construction, erection, or completion of the Work, including Owner-furnished or assigned property; (b) spare parts inventory required within the scope of the Contract; and (c) temporary works which are not intended to form part of the permanent constructed Work but which are intended to provide working access to the Site, or to the Work under construction, or which are intended to provide temporary support for the Work under construction, including scaffolding, form work, fences, shoring, falsework, and temporary structures.
  - 4. cover expenses incurred in the repair or replacement of any insured property (including but not limited to fees and charges of engineers and architects).

5. extend to cover damage or loss to insured property while in temporary storage at the Site or in a storage location outside the Site (but not including property stored at the premises of a manufacturer or Supplier).
  6. extend to cover damage or loss to insured property while in transit.
  7. allow for partial occupation or use of the Work by Owner, such that those portions of the Work that are not yet occupied or used by Owner shall remain covered by the builder's risk insurance.
  8. allow for the waiver of the insurer's subrogation rights, as set forth below.
  9. provide primary coverage for all losses and damages caused by the perils or causes of loss covered.
  10. not include a co-insurance clause.
  11. include an exception for ensuing losses from physical damage or loss with respect to any defective workmanship, design, or materials exclusions.
  12. include performance/hot testing and start-up.
  13. be maintained in effect, subject to the provisions herein regarding Substantial Completion and partial occupancy or use of the Work by Owner, until the Work is complete.
- B. *Notice of Cancellation or Change:* All the policies of insurance (and the certificates or other evidence thereof) required to be purchased and maintained in accordance with this Paragraph 6.05 will contain a provision or endorsement that the coverage afforded will not be canceled or materially changed or renewal refused until at least 10 days prior written notice has been given to the purchasing policyholder. Within three days of receipt of any such written notice, the purchasing policyholder shall provide a copy of the notice to each other insured.
- C. *Deductibles:* The purchaser of any required builder's risk or property insurance shall pay for costs not covered because of the application of a policy deductible.
- D. *Partial Occupancy or Use by Owner:* If Owner will occupy or use a portion or portions of the Work prior to Substantial Completion of all the Work as provided in Paragraph 15.04, then Owner (directly, if it is the purchaser of the builder's risk policy, or through Contractor) will provide notice of such occupancy or use to the builder's risk insurer. The builder's risk insurance shall not be canceled or permitted to lapse on account of any such partial use or occupancy; rather, those portions of the Work that are occupied or used by Owner may come off the builder's risk policy, while those portions of the Work not yet occupied or used by Owner shall remain covered by the builder's risk insurance.
- E. *Additional Insurance:* If Contractor elects to obtain other special insurance to be included in or supplement the builder's risk or property insurance policies provided under this Paragraph 6.05, it may do so at Contractor's expense.
- F. *Insurance of Other Property:* If the express insurance provisions of the Contract do not require or address the insurance of a property item or interest, such as tools, construction equipment, or other personal property owned by Contractor, a Subcontractor, or an employee of Contractor or a Subcontractor, then the entity or individual owning such property item will be responsible for deciding whether to insure it, and if so in what amount.

## 6.06 *Waiver of Rights*

- A. All policies purchased in accordance with Paragraph 6.05, expressly including the builder's risk policy, shall contain provisions to the effect that in the event of payment of any loss or damage the insurers will have no rights of recovery against any insureds thereunder, or against Engineer or its consultants, or their officers, directors, members, partners, employees, agents, consultants, or subcontractors. Owner and Contractor waive all rights against each other and the respective officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, for all losses and damages caused by, arising out of, or resulting from any of the perils or causes of loss covered by such policies and any other property insurance applicable to the Work; and, in addition, waive all such rights against Engineer, its consultants, all Subcontractors, all individuals or entities identified in the Supplementary Conditions as insureds, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, under such policies for losses and damages so caused. None of the above waivers shall extend to the rights that any party making such waiver may have to the proceeds of insurance held by Owner or Contractor as trustee or fiduciary, or otherwise payable under any policy so issued.
- B. Owner waives all rights against Contractor, Subcontractors, and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them, for:
  - 1. loss due to business interruption, loss of use, or other consequential loss extending beyond direct physical loss or damage to Owner's property or the Work caused by, arising out of, or resulting from fire or other perils whether or not insured by Owner; and
  - 2. loss or damage to the completed Project or part thereof caused by, arising out of, or resulting from fire or other insured peril or cause of loss covered by any property insurance maintained on the completed Project or part thereof by Owner during partial occupancy or use pursuant to Paragraph 15.04, after Substantial Completion pursuant to Paragraph 15.03, or after final payment pursuant to Paragraph 15.06.
- C. Any insurance policy maintained by Owner covering any loss, damage or consequential loss referred to in Paragraph 6.06.B shall contain provisions to the effect that in the event of payment of any such loss, damage, or consequential loss, the insurers will have no rights of recovery against Contractor, Subcontractors, or Engineer, or the officers, directors, members, partners, employees, agents, consultants, or subcontractors of each and any of them.
- D. Contractor shall be responsible for assuring that the agreement under which a Subcontractor performs a portion of the Work contains provisions whereby the Subcontractor waives all rights against Owner, Contractor, all individuals or entities identified in the Supplementary Conditions as insureds, the Engineer and its consultants, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, for all losses and damages caused by, arising out of, relating to, or resulting from any of the perils or causes of loss covered by builder's risk insurance and any other property insurance applicable to the Work.

## 6.07 *Receipt and Application of Property Insurance Proceeds*

- A. Any insured loss under the builder's risk and other policies of insurance required by Paragraph 6.05 will be adjusted and settled with the named insured that purchased the

policy. Such named insured shall act as fiduciary for the other insureds, and give notice to such other insureds that adjustment and settlement of a claim is in progress. Any other insured may state its position regarding a claim for insured loss in writing within 15 days after notice of such claim.

- B. Proceeds for such insured losses may be made payable by the insurer either jointly to multiple insureds, or to the named insured that purchased the policy in its own right and as fiduciary for other insureds, subject to the requirements of any applicable mortgage clause. A named insured receiving insurance proceeds under the builder's risk and other policies of insurance required by Paragraph 6.05 shall distribute such proceeds in accordance with such agreement as the parties in interest may reach, or as otherwise required under the dispute resolution provisions of this Contract or applicable Laws and Regulations.
- C. If no other special agreement is reached, the damaged Work shall be repaired or replaced, the money so received applied on account thereof, and the Work and the cost thereof covered by Change Order, if needed.

## **ARTICLE 7 – CONTRACTOR'S RESPONSIBILITIES**

### **7.01   *Supervision and Superintendence***

- A. Contractor shall supervise, inspect, and direct the Work competently and efficiently, devoting such attention thereto and applying such skills and expertise as may be necessary to perform the Work in accordance with the Contract Documents. Contractor shall be solely responsible for the means, methods, techniques, sequences, and procedures of construction.
- B. At all times during the progress of the Work, Contractor shall assign a competent resident superintendent who shall not be replaced without written notice to Owner and Engineer except under extraordinary circumstances.

### **7.02   *Labor; Working Hours***

- A. Contractor shall provide competent, suitably qualified personnel to survey and lay out the Work and perform construction as required by the Contract Documents. Contractor shall at all times maintain good discipline and order at the Site.
- B. Except as otherwise required for the safety or protection of persons or the Work or property at the Site or adjacent thereto, and except as otherwise stated in the Contract Documents, all Work at the Site shall be performed during regular working hours, Monday through Friday. Contractor will not perform Work on a Saturday, Sunday, or any legal holiday. Contractor may perform Work outside regular working hours or on Saturdays, Sundays, or legal holidays only with Owner's written consent, which will not be unreasonably withheld.

### **7.03   *Services, Materials, and Equipment***

- A. Unless otherwise specified in the Contract Documents, Contractor shall provide and assume full responsibility for all services, materials, equipment, labor, transportation, construction equipment and machinery, tools, appliances, fuel, power, light, heat, telephone, water, sanitary facilities, temporary facilities, and all other facilities and incidentals necessary for the performance, testing, start up, and completion of the Work, whether or not such items are specifically called for in the Contract Documents.
- B. All materials and equipment incorporated into the Work shall be of good quality and new, except as otherwise provided in the Contract Documents. All special warranties and



guarantees required by the Specifications shall expressly run to the benefit of Owner. If required by Engineer, Contractor shall furnish satisfactory evidence (including reports of required tests) as to the source, kind, and quality of materials and equipment.

- C. All materials and equipment shall be stored, applied, installed, connected, erected, protected, used, cleaned, and conditioned in accordance with instructions of the applicable Supplier, except as otherwise may be provided in the Contract Documents.

#### 7.04 "Or Equals"

- A. Whenever an item of material or equipment is specified or described in the Contract Documents by using the name of a proprietary item or the name of a particular Supplier, the Contract Price has been based upon Contractor furnishing such item as specified. The specification or description of such an item is intended to establish the type, function, appearance, and quality required. Unless the specification or description contains or is followed by words reading that no like, equivalent, or "or equal" item is permitted, Contractor may request that Engineer authorize the use of other items of material or equipment, or items from other proposed suppliers under the circumstances described below.
  - 1. If Engineer in its sole discretion determines that an item of material or equipment proposed by Contractor is functionally equal to that named and sufficiently similar so that no change in related Work will be required, Engineer shall deem it an "or equal" item. For the purposes of this paragraph, a proposed item of material or equipment will be considered functionally equal to an item so named if:
    - a. in the exercise of reasonable judgment Engineer determines that:
      - 1) it is at least equal in materials of construction, quality, durability, appearance, strength, and design characteristics;
      - 2) it will reliably perform at least equally well the function and achieve the results imposed by the design concept of the completed Project as a functioning whole;
      - 3) it has a proven record of performance and availability of responsive service; and
      - 4) it is not objectionable to Owner.
    - b. Contractor certifies that, if approved and incorporated into the Work:
      - 1) there will be no increase in cost to the Owner or increase in Contract Times; and
      - 2) it will conform substantially to the detailed requirements of the item named in the Contract Documents.
- B. *Contractor's Expense:* Contractor shall provide all data in support of any proposed "or equal" item at Contractor's expense.
- C. *Engineer's Evaluation and Determination:* Engineer will be allowed a reasonable time to evaluate each "or-equal" request. Engineer may require Contractor to furnish additional data about the proposed "or-equal" item. Engineer will be the sole judge of acceptability. No "or-equal" item will be ordered, furnished, installed, or utilized until Engineer's review is complete and Engineer determines that the proposed item is an "or-equal", which will be evidenced by an approved Shop Drawing or other written communication. Engineer will advise Contractor in writing of any negative determination.

- D. *Effect of Engineer's Determination:* Neither approval nor denial of an "or-equal" request shall result in any change in Contract Price. The Engineer's denial of an "or-equal" request shall be final and binding, and may not be reversed through an appeal under any provision of the Contract Documents.
- E. *Treatment as a Substitution Request:* If Engineer determines that an item of material or equipment proposed by Contractor does not qualify as an "or-equal" item, Contractor may request that Engineer consider the proposed item as a substitute pursuant to Paragraph 7.05.

#### 7.05 Substitutes

- A. Unless the specification or description of an item of material or equipment required to be furnished under the Contract Documents contains or is followed by words reading that no substitution is permitted, Contractor may request that Engineer authorize the use of other items of material or equipment under the circumstances described below. To the extent possible such requests shall be made before commencement of related construction at the Site.
  - 1. Contractor shall submit sufficient information as provided below to allow Engineer to determine if the item of material or equipment proposed is functionally equivalent to that named and an acceptable substitute therefor. Engineer will not accept requests for review of proposed substitute items of material or equipment from anyone other than Contractor.
  - 2. The requirements for review by Engineer will be as set forth in Paragraph 7.05.B, as supplemented by the Specifications, and as Engineer may decide is appropriate under the circumstances.
  - 3. Contractor shall make written application to Engineer for review of a proposed substitute item of material or equipment that Contractor seeks to furnish or use. The application:
    - a. shall certify that the proposed substitute item will:
      - 1) perform adequately the functions and achieve the results called for by the general design,
      - 2) be similar in substance to that specified, and
      - 3) be suited to the same use as that specified.
    - b. will state:
      - 1) the extent, if any, to which the use of the proposed substitute item will necessitate a change in Contract Times,
      - 2) whether use of the proposed substitute item in the Work will require a change in any of the Contract Documents (or in the provisions of any other direct contract with Owner for other work on the Project) to adapt the design to the proposed substitute item, and
      - 3) whether incorporation or use of the proposed substitute item in connection with the Work is subject to payment of any license fee or royalty.
    - c. will identify:
      - 1) all variations of the proposed substitute item from that specified, and

- 2) available engineering, sales, maintenance, repair, and replacement services.
- d. shall contain an itemized estimate of all costs or credits that will result directly or indirectly from use of such substitute item, including but not limited to changes in Contract Price, shared savings, costs of redesign, and claims of other contractors affected by any resulting change.
- B. *Engineer's Evaluation and Determination:* Engineer will be allowed a reasonable time to evaluate each substitute request, and to obtain comments and direction from Owner. Engineer may require Contractor to furnish additional data about the proposed substitute item. Engineer will be the sole judge of acceptability. No substitute will be ordered, furnished, installed, or utilized until Engineer's review is complete and Engineer determines that the proposed item is an acceptable substitute. Engineer's determination will be evidenced by a Field Order or a proposed Change Order accounting for the substitution itself and all related impacts, including changes in Contract Price or Contract Times. Engineer will advise Contractor in writing of any negative determination.
- C. *Special Guarantee:* Owner may require Contractor to furnish at Contractor's expense a special performance guarantee or other surety with respect to any substitute.
- D. *Reimbursement of Engineer's Cost:* Engineer will record Engineer's costs in evaluating a substitute proposed or submitted by Contractor. Whether or not Engineer approves a substitute so proposed or submitted by Contractor, Contractor shall reimburse Owner for the reasonable charges of Engineer for evaluating each such proposed substitute. Contractor shall also reimburse Owner for the reasonable charges of Engineer for making changes in the Contract Documents (or in the provisions of any other direct contract with Owner) resulting from the acceptance of each proposed substitute.
- E. *Contractor's Expense:* Contractor shall provide all data in support of any proposed substitute at Contractor's expense.
- F. *Effect of Engineer's Determination:* If Engineer approves the substitution request, Contractor shall execute the proposed Change Order and proceed with the substitution. The Engineer's denial of a substitution request shall be final and binding, and may not be reversed through an appeal under any provision of the Contract Documents. Contractor may challenge the scope of reimbursement costs imposed under Paragraph 7.05.D, by timely submittal of a Change Proposal.

#### 7.06 *Concerning Subcontractors, Suppliers, and Others*

- A. Contractor may retain Subcontractors and Suppliers for the performance of parts of the Work. Such Subcontractors and Suppliers must be acceptable to Owner.
- B. Contractor shall retain specific Subcontractors, Suppliers, or other individuals or entities for the performance of designated parts of the Work if required by the Contract to do so.
- C. Subsequent to the submittal of Contractor's Bid or final negotiation of the terms of the Contract, Owner may not require Contractor to retain any Subcontractor, Supplier, or other individual or entity to furnish or perform any of the Work against which Contractor has reasonable objection.
- D. Prior to entry into any binding subcontract or purchase order, Contractor shall submit to Owner the identity of the proposed Subcontractor or Supplier (unless Owner has already deemed such proposed Subcontractor or Supplier acceptable, during the bidding process or otherwise). Such proposed Subcontractor or Supplier shall be deemed acceptable to Owner unless Owner raises a substantive, reasonable objection within five days.

- E. Owner may require the replacement of any Subcontractor, Supplier, or other individual or entity retained by Contractor to perform any part of the Work. Owner also may require Contractor to retain specific replacements; provided, however, that Owner may not require a replacement to which Contractor has a reasonable objection. If Contractor has submitted the identity of certain Subcontractors, Suppliers, or other individuals or entities for acceptance by Owner, and Owner has accepted it (either in writing or by failing to make written objection thereto), then Owner may subsequently revoke the acceptance of any such Subcontractor, Supplier, or other individual or entity so identified solely on the basis of substantive, reasonable objection after due investigation. Contractor shall submit an acceptable replacement for the rejected Subcontractor, Supplier, or other individual or entity.
- F. If Owner requires the replacement of any Subcontractor, Supplier, or other individual or entity retained by Contractor to perform any part of the Work, then Contractor shall be entitled to an adjustment in Contract Price or Contract Times, or both, with respect to the replacement; and Contractor shall initiate a Change Proposal for such adjustment within 30 days of Owner's requirement of replacement.
- G. No acceptance by Owner of any such Subcontractor, Supplier, or other individual or entity, whether initially or as a replacement, shall constitute a waiver of the right of Owner to the completion of the Work in accordance with the Contract Documents.
- H. On a monthly basis Contractor shall submit to Engineer a complete list of all Subcontractors and Suppliers having a direct contract with Contractor, and of all other Subcontractors and Suppliers known to Contractor at the time of submittal.
- I. Contractor shall be fully responsible to Owner and Engineer for all acts and omissions of the Subcontractors, Suppliers, and other individuals or entities performing or furnishing any of the Work just as Contractor is responsible for Contractor's own acts and omissions.
- J. Contractor shall be solely responsible for scheduling and coordinating the work of Subcontractors, Suppliers, and all other individuals or entities performing or furnishing any of the Work.
- K. Contractor shall restrict all Subcontractors, Suppliers, and such other individuals or entities performing or furnishing any of the Work from communicating with Engineer or Owner, except through Contractor or in case of an emergency, or as otherwise expressly allowed herein.
- L. The divisions and sections of the Specifications and the identifications of any Drawings shall not control Contractor in dividing the Work among Subcontractors or Suppliers or delineating the Work to be performed by any specific trade.
- M. All Work performed for Contractor by a Subcontractor or Supplier shall be pursuant to an appropriate contractual agreement that specifically binds the Subcontractor or Supplier to the applicable terms and conditions of the Contract Documents for the benefit of Owner and Engineer.
- N. Owner may furnish to any Subcontractor or Supplier, to the extent practicable, information about amounts paid to Contractor on account of Work performed for Contractor by the particular Subcontractor or Supplier.

O. Nothing in the Contract Documents:

1. shall create for the benefit of any such Subcontractor, Supplier, or other individual or entity any contractual relationship between Owner or Engineer and any such Subcontractor, Supplier, or other individual or entity; nor
2. shall create any obligation on the part of Owner or Engineer to pay or to see to the payment of any money due any such Subcontractor, Supplier, or other individual or entity except as may otherwise be required by Laws and Regulations.

7.07 *Patent Fees and Royalties*

- A. Contractor shall pay all license fees and royalties and assume all costs incident to the use in the performance of the Work or the incorporation in the Work of any invention, design, process, product, or device which is the subject of patent rights or copyrights held by others. If a particular invention, design, process, product, or device is specified in the Contract Documents for use in the performance of the Work and if, to the actual knowledge of Owner or Engineer, its use is subject to patent rights or copyrights calling for the payment of any license fee or royalty to others, the existence of such rights shall be disclosed by Owner in the Contract Documents.
- B. To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, and its officers, directors, members, partners, employees, agents, consultants, and subcontractors from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals, and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device specified in the Contract Documents, but not identified as being subject to payment of any license fee or royalty to others required by patent rights or copyrights.
- C. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device not specified in the Contract Documents.

7.08 *Permits*

- A. Unless otherwise provided in the Contract Documents, Contractor shall obtain and pay for all construction permits and licenses. Owner shall assist Contractor, when necessary, in obtaining such permits and licenses. Contractor shall pay all governmental charges and inspection fees necessary for the prosecution of the Work which are applicable at the time of the submission of Contractor's Bid (or when Contractor became bound under a negotiated contract). Owner shall pay all charges of utility owners for connections for providing permanent service to the Work

#### 7.09 *Taxes*

- A. Contractor shall pay all sales, consumer, use, and other similar taxes required to be paid by Contractor in accordance with the Laws and Regulations of the place of the Project which are applicable during the performance of the Work.

#### 7.10 *Laws and Regulations*

- A. Contractor shall give all notices required by and shall comply with all Laws and Regulations applicable to the performance of the Work. Except where otherwise expressly required by applicable Laws and Regulations, neither Owner nor Engineer shall be responsible for monitoring Contractor's compliance with any Laws or Regulations.
- B. If Contractor performs any Work or takes any other action knowing or having reason to know that it is contrary to Laws or Regulations, Contractor shall bear all resulting costs and losses, and shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such Work or other action. It shall not be Contractor's responsibility to make certain that the Work described in the Contract Documents is in accordance with Laws and Regulations, but this shall not relieve Contractor of Contractor's obligations under Paragraph 3.03.
- C. Owner or Contractor may give notice to the other party of any changes after the submission of Contractor's Bid (or after the date when Contractor became bound under a negotiated contract) in Laws or Regulations having an effect on the cost or time of performance of the Work, including but not limited to changes in Laws or Regulations having an effect on procuring permits and on sales, use, value-added, consumption, and other similar taxes. If Owner and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times resulting from such changes, then within 30 days of such notice Contractor may submit a Change Proposal, or Owner may initiate a Claim.

#### 7.11 *Record Documents*

- A. Contractor shall maintain in a safe place at the Site one printed record copy of all Drawings, Specifications, Addenda, Change Orders, Work Change Directives, Field Orders, written interpretations and clarifications, and approved Shop Drawings. Contractor shall keep such record documents in good order and annotate them to show changes made during construction. These record documents, together with all approved Samples, will be available to Engineer for reference. Upon completion of the Work, Contractor shall deliver these record documents to Engineer.

#### 7.12 *Safety and Protection*

- A. Contractor shall be solely responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the Work. Such responsibility does not relieve Subcontractors of their responsibility for the safety of persons or property in the performance of their work, nor for compliance with applicable safety Laws and Regulations. Contractor shall take all necessary precautions for the safety of, and shall provide the necessary protection to prevent damage, injury, or loss to:
  - 1. all persons on the Site or who may be affected by the Work;

2. all the Work and materials and equipment to be incorporated therein, whether in storage on or off the Site; and
  3. other property at the Site or adjacent thereto, including trees, shrubs, lawns, walks, pavements, roadways, structures, other work in progress, utilities, and Underground Facilities not designated for removal, relocation, or replacement in the course of construction.
- B. Contractor shall comply with all applicable Laws and Regulations relating to the safety of persons or property, or to the protection of persons or property from damage, injury, or loss; and shall erect and maintain all necessary safeguards for such safety and protection. Contractor shall notify Owner; the owners of adjacent property, Underground Facilities, and other utilities; and other contractors and utility owners performing work at or adjacent to the Site, when prosecution of the Work may affect them, and shall cooperate with them in the protection, removal, relocation, and replacement of their property or work in progress.
  - C. Contractor shall comply with the applicable requirements of Owner's safety programs, if any. The Supplementary Conditions identify any Owner's safety programs that are applicable to the Work.
  - D. Contractor shall inform Owner and Engineer of the specific requirements of Contractor's safety program with which Owner's and Engineer's employees and representatives must comply while at the Site.
  - E. All damage, injury, or loss to any property referred to in Paragraph 7.12.A.2 or 7.12.A.3 caused, directly or indirectly, in whole or in part, by Contractor, any Subcontractor, Supplier, or any other individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, shall be remedied by Contractor at its expense (except damage or loss attributable to the fault of Drawings or Specifications or to the acts or omissions of Owner or Engineer or anyone employed by any of them, or anyone for whose acts any of them may be liable, and not attributable, directly or indirectly, in whole or in part, to the fault or negligence of Contractor or any Subcontractor, Supplier, or other individual or entity directly or indirectly employed by any of them).
  - F. Contractor's duties and responsibilities for safety and protection shall continue until such time as all the Work is completed and Engineer has issued a notice to Owner and Contractor in accordance with Paragraph 15.06.B that the Work is acceptable (except as otherwise expressly provided in connection with Substantial Completion).
  - G. Contractor's duties and responsibilities for safety and protection shall resume whenever Contractor or any Subcontractor or Supplier returns to the Site to fulfill warranty or correction obligations, or to conduct other tasks arising from the Contract Documents.

#### 7.13 *Safety Representative*

- A. Contractor shall designate a qualified and experienced safety representative at the Site whose duties and responsibilities shall be the prevention of accidents and the maintaining and supervising of safety precautions and programs.

#### 7.14 *Hazard Communication Programs*

- A. Contractor shall be responsible for coordinating any exchange of material safety data sheets or other hazard communication information required to be made available to or

exchanged between or among employers at the Site in accordance with Laws or Regulations.

#### 7.15 *Emergencies*

- A. In emergencies affecting the safety or protection of persons or the Work or property at the Site or adjacent thereto, Contractor is obligated to act to prevent threatened damage, injury, or loss. Contractor shall give Engineer prompt written notice if Contractor believes that any significant changes in the Work or variations from the Contract Documents have been caused thereby or are required as a result thereof. If Engineer determines that a change in the Contract Documents is required because of the action taken by Contractor in response to such an emergency, a Work Change Directive or Change Order will be issued.

#### 7.16 *Shop Drawings, Samples, and Other Submittals*

##### A. *Shop Drawing and Sample Submittal Requirements:*

- 1. Before submitting a Shop Drawing or Sample, Contractor shall have:
  - a. reviewed and coordinated the Shop Drawing or Sample with other Shop Drawings and Samples and with the requirements of the Work and the Contract Documents;
  - b. determined and verified all field measurements, quantities, dimensions, specified performance and design criteria, installation requirements, materials, catalog numbers, and similar information with respect thereto;
  - c. determined and verified the suitability of all materials and equipment offered with respect to the indicated application, fabrication, shipping, handling, storage, assembly, and installation pertaining to the performance of the Work; and
  - d. determined and verified all information relative to Contractor's responsibilities for means, methods, techniques, sequences, and procedures of construction, and safety precautions and programs incident thereto.
- 2. Each submittal shall bear a stamp or specific written certification that Contractor has satisfied Contractor's obligations under the Contract Documents with respect to Contractor's review of that submittal, and that Contractor approves the submittal.
- 3. With each submittal, Contractor shall give Engineer specific written notice of any variations that the Shop Drawing or Sample may have from the requirements of the Contract Documents. This notice shall be set forth in a written communication separate from the Shop Drawings or Sample submittal; and, in addition, in the case of Shop Drawings by a specific notation made on each Shop Drawing submitted to Engineer for review and approval of each such variation.

- B. *Submittal Procedures for Shop Drawings and Samples:* Contractor shall submit Shop Drawings and Samples to Engineer for review and approval in accordance with the accepted Schedule of Submittals. Each submittal will be identified as Engineer may require.

##### 1. *Shop Drawings:*

- a. Contractor shall submit the number of copies required in the Specifications.
- b. Data shown on the Shop Drawings will be complete with respect to quantities, dimensions, specified performance and design criteria, materials, and similar data to show Engineer the services, materials, and equipment Contractor proposes to



provide and to enable Engineer to review the information for the limited purposes required by Paragraph 7.16.D.

2. *Samples:*

- a. Contractor shall submit the number of Samples required in the Specifications.
- b. Contractor shall clearly identify each Sample as to material, Supplier, pertinent data such as catalog numbers, the use for which intended and other data as Engineer may require to enable Engineer to review the submittal for the limited purposes required by Paragraph 7.16.D.

3. Where a Shop Drawing or Sample is required by the Contract Documents or the Schedule of Submittals, any related Work performed prior to Engineer's review and approval of the pertinent submittal will be at the sole expense and responsibility of Contractor.

C. *Other Submittals:* Contractor shall submit other submittals to Engineer in accordance with the accepted Schedule of Submittals, and pursuant to the applicable terms of the Specifications.

D. *Engineer's Review:*

1. Engineer will provide timely review of Shop Drawings and Samples in accordance with the Schedule of Submittals acceptable to Engineer. Engineer's review and approval will be only to determine if the items covered by the submittals will, after installation or incorporation in the Work, conform to the information given in the Contract Documents and be compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents.
2. Engineer's review and approval will not extend to means, methods, techniques, sequences, or procedures of construction or to safety precautions or programs incident thereto.
3. Engineer's review and approval of a separate item as such will not indicate approval of the assembly in which the item functions.
4. Engineer's review and approval of a Shop Drawing or Sample shall not relieve Contractor from responsibility for any variation from the requirements of the Contract Documents unless Contractor has complied with the requirements of Paragraph 7.16.A.3 and Engineer has given written approval of each such variation by specific written notation thereof incorporated in or accompanying the Shop Drawing or Sample. Engineer will document any such approved variation from the requirements of the Contract Documents in a Field Order.
5. Engineer's review and approval of a Shop Drawing or Sample shall not relieve Contractor from responsibility for complying with the requirements of Paragraph 7.16.A and B.
6. Engineer's review and approval of a Shop Drawing or Sample, or of a variation from the requirements of the Contract Documents, shall not, under any circumstances, change the Contract Times or Contract Price, unless such changes are included in a Change Order.
7. Neither Engineer's receipt, review, acceptance or approval of a Shop Drawing, Sample, or other submittal shall result in such item becoming a Contract Document.

8. Contractor shall perform the Work in compliance with the requirements and commitments set forth in approved Shop Drawings and Samples, subject to the provisions of Paragraph 7.16.D.4.

E. *Resubmittal Procedures:*

1. Contractor shall make corrections required by Engineer and shall return the required number of corrected copies of Shop Drawings and submit, as required, new Samples for review and approval. Contractor shall direct specific attention in writing to revisions other than the corrections called for by Engineer on previous submittals.
2. Contractor shall furnish required submittals with sufficient information and accuracy to obtain required approval of an item with no more than three submittals. Engineer will record Engineer's time for reviewing a fourth or subsequent submittal of a Shop Drawings, sample, or other item requiring approval, and Contractor shall be responsible for Engineer's charges to Owner for such time. Owner may impose a set-off against payments due to Contractor to secure reimbursement for such charges.
3. If Contractor requests a change of a previously approved submittal item, Contractor shall be responsible for Engineer's charges to Owner for its review time, and Owner may impose a set-off against payments due to Contractor to secure reimbursement for such charges, unless the need for such change is beyond the control of Contractor.

7.17 *Contractor's General Warranty and Guarantee*

- A. Contractor warrants and guarantees to Owner that all Work will be in accordance with the Contract Documents and will not be defective. Engineer and its officers, directors, members, partners, employees, agents, consultants, and subcontractors shall be entitled to rely on Contractor's warranty and guarantee.
- B. Contractor's warranty and guarantee hereunder excludes defects or damage caused by:
  1. abuse, modification, or improper maintenance or operation by persons other than Contractor, Subcontractors, Suppliers, or any other individual or entity for whom Contractor is responsible; or
  2. normal wear and tear under normal usage.
- C. Contractor's obligation to perform and complete the Work in accordance with the Contract Documents shall be absolute. None of the following will constitute an acceptance of Work that is not in accordance with the Contract Documents or a release of Contractor's obligation to perform the Work in accordance with the Contract Documents:
  1. observations by Engineer;
  2. recommendation by Engineer or payment by Owner of any progress or final payment;
  3. the issuance of a certificate of Substantial Completion by Engineer or any payment related thereto by Owner;
  4. use or occupancy of the Work or any part thereof by Owner;
  5. any review and approval of a Shop Drawing or Sample submittal;
  6. the issuance of a notice of acceptability by Engineer;
  7. any inspection, test, or approval by others; or
  8. any correction of defective Work by Owner.

- D. If the Contract requires the Contractor to accept the assignment of a contract entered into by Owner, then the specific warranties, guarantees, and correction obligations contained in the assigned contract shall govern with respect to Contractor's performance obligations to Owner for the Work described in the assigned contract.

#### 7.18 *Indemnification*

- A. To the fullest extent permitted by Laws and Regulations, and in addition to any other obligations of Contractor under the Contract or otherwise, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to the performance of the Work, provided that any such claim, cost, loss, or damage is attributable to bodily injury, sickness, disease, or death, or to injury to or destruction of tangible property (other than the Work itself), including the loss of use resulting therefrom but only to the extent caused by any negligent act or omission of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work or anyone for whose acts any of them may be liable.
- B. In any and all claims against Owner or Engineer or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors by any employee (or the survivor or personal representative of such employee) of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, the indemnification obligation under Paragraph 7.18.A shall not be limited in any way by any limitation on the amount or type of damages, compensation, or benefits payable by or for Contractor or any such Subcontractor, Supplier, or other individual or entity under workers' compensation acts, disability benefit acts, or other employee benefit acts.
- C. The indemnification obligations of Contractor under Paragraph 7.18.A shall not extend to the liability of Engineer and Engineer's officers, directors, members, partners, employees, agents, consultants and subcontractors arising out of:
  - 1. the preparation or approval of, or the failure to prepare or approve maps, Drawings, opinions, reports, surveys, Change Orders, designs, or Specifications; or
  - 2. giving directions or instructions, or failing to give them, if that is the primary cause of the injury or damage.

#### 7.19 *Delegation of Professional Design Services*

- A. Contractor will not be required to provide professional design services unless such services are specifically required by the Contract Documents for a portion of the Work or unless such services are required to carry out Contractor's responsibilities for construction means, methods, techniques, sequences and procedures. Contractor shall not be required to provide professional services in violation of applicable Laws and Regulations.
- B. If professional design services or certifications by a design professional related to systems, materials, or equipment are specifically required of Contractor by the Contract Documents, Owner and Engineer will specify all performance and design criteria that such services must satisfy. Contractor shall cause such services or certifications to be provided by a properly licensed professional, whose signature and seal shall appear on all drawings, calculations, specifications, certifications, and other submittals prepared by such professional. Shop

Drawings and other submittals related to the Work designed or certified by such professional, if prepared by others, shall bear such professional's written approval when submitted to Engineer.

- C. Owner and Engineer shall be entitled to rely upon the adequacy, accuracy, and completeness of the services, certifications, or approvals performed by such design professionals, provided Owner and Engineer have specified to Contractor all performance and design criteria that such services must satisfy.
- D. Pursuant to this paragraph, Engineer's review and approval of design calculations and design drawings will be only for the limited purpose of checking for conformance with performance and design criteria given and the design concept expressed in the Contract Documents. Engineer's review and approval of Shop Drawings and other submittals (except design calculations and design drawings) will be only for the purpose stated in Paragraph 7.16.D.1.
- E. Contractor shall not be responsible for the adequacy of the performance or design criteria specified by Owner or Engineer.

## **ARTICLE 8 – OTHER WORK AT THE SITE**

### **8.01 *Other Work***

- A. In addition to and apart from the Work under the Contract Documents, the Owner may perform other work at or adjacent to the Site. Such other work may be performed by Owner's employees, or through contracts between the Owner and third parties. Owner may also arrange to have third-party utility owners perform work on their utilities and facilities at or adjacent to the Site.
- B. If Owner performs other work at or adjacent to the Site with Owner's employees, or through contracts for such other work, then Owner shall give Contractor written notice thereof prior to starting any such other work. If Owner has advance information regarding the start of any utility work at or adjacent to the Site, Owner shall provide such information to Contractor.
- C. Contractor shall afford each other contractor that performs such other work, each utility owner performing other work, and Owner, if Owner is performing other work with Owner's employees, proper and safe access to the Site, and provide a reasonable opportunity for the introduction and storage of materials and equipment and the execution of such other work. Contractor shall do all cutting, fitting, and patching of the Work that may be required to properly connect or otherwise make its several parts come together and properly integrate with such other work. Contractor shall not endanger any work of others by cutting, excavating, or otherwise altering such work; provided, however, that Contractor may cut or alter others' work with the written consent of Engineer and the others whose work will be affected.
- D. If the proper execution or results of any part of Contractor's Work depends upon work performed by others under this Article 8, Contractor shall inspect such other work and promptly report to Engineer in writing any delays, defects, or deficiencies in such other work that render it unavailable or unsuitable for the proper execution and results of Contractor's Work. Contractor's failure to so report will constitute an acceptance of such other work as fit and proper for integration with Contractor's Work except for latent defects and deficiencies in such other work.

## 8.02 *Coordination*

- A. If Owner intends to contract with others for the performance of other work at or adjacent to the Site, to perform other work at or adjacent to the Site with Owner's employees, or to arrange to have utility owners perform work at or adjacent to the Site, the following will be set forth in the Supplementary Conditions or provided to Contractor prior to the start of any such other work:
  - 1. the identity of the individual or entity that will have authority and responsibility for coordination of the activities among the various contractors;
  - 2. an itemization of the specific matters to be covered by such authority and responsibility; and
  - 3. the extent of such authority and responsibilities.
- B. Unless otherwise provided in the Supplementary Conditions, Owner shall have sole authority and responsibility for such coordination.

## 8.03 *Legal Relationships*

- A. If, in the course of performing other work at or adjacent to the Site for Owner, the Owner's employees, any other contractor working for Owner, or any utility owner causes damage to the Work or to the property of Contractor or its Subcontractors, or delays, disrupts, interferes with, or increases the scope or cost of the performance of the Work, through actions or inaction, then Contractor shall be entitled to an equitable adjustment in the Contract Price or the Contract Times, or both. Contractor must submit any Change Proposal seeking an equitable adjustment in the Contract Price or the Contract Times under this paragraph within 30 days of the damaging, delaying, disrupting, or interfering event. The entitlement to, and extent of, any such equitable adjustment shall take into account information (if any) regarding such other work that was provided to Contractor in the Contract Documents prior to the submittal of the Bid or the final negotiation of the terms of the Contract. When applicable, any such equitable adjustment in Contract Price shall be conditioned on Contractor assigning to Owner all Contractor's rights against such other contractor or utility owner with respect to the damage, delay, disruption, or interference that is the subject of the adjustment. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times.
- B. Contractor shall take reasonable and customary measures to avoid damaging, delaying, disrupting, or interfering with the work of Owner, any other contractor, or any utility owner performing other work at or adjacent to the Site. If Contractor fails to take such measures and as a result damages, delays, disrupts, or interferes with the work of any such other contractor or utility owner, then Owner may impose a set-off against payments due to Contractor, and assign to such other contractor or utility owner the Owner's contractual rights against Contractor with respect to the breach of the obligations set forth in this paragraph.
- C. When Owner is performing other work at or adjacent to the Site with Owner's employees, Contractor shall be liable to Owner for damage to such other work, and for the reasonable direct delay, disruption, and interference costs incurred by Owner as a result of Contractor's failure to take reasonable and customary measures with respect to Owner's other work. In response to such damage, delay, disruption, or interference, Owner may impose a set-off against payments due to Contractor.

- D. If Contractor damages, delays, disrupts, or interferes with the work of any other contractor, or any utility owner performing other work at or adjacent to the Site, through Contractor's failure to take reasonable and customary measures to avoid such impacts, or if any claim arising out of Contractor's actions, inactions, or negligence in performance of the Work at or adjacent to the Site is made by any such other contractor or utility owner against Contractor, Owner, or Engineer, then Contractor shall (1) promptly attempt to settle the claim as to all parties through negotiations with such other contractor or utility owner, or otherwise resolve the claim by arbitration or other dispute resolution proceeding or at law, and (2) indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against any such claims, and against all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such damage, delay, disruption, or interference.

## **ARTICLE 9 – OWNER'S RESPONSIBILITIES**

### **9.01    *Communications to Contractor***

- A. Except as otherwise provided in these General Conditions, Owner shall issue all communications to Contractor through Engineer.

### **9.02    *Replacement of Engineer***

- A. Owner may at its discretion appoint an engineer to replace Engineer, provided Contractor makes no reasonable objection to the replacement engineer. The replacement engineer's status under the Contract Documents shall be that of the former Engineer.

### **9.03    *Furnish Data***

- A. Owner shall promptly furnish the data required of Owner under the Contract Documents.

### **9.04    *Pay When Due***

- A. Owner shall make payments to Contractor when they are due as provided in the Agreement.

### **9.05    *Lands and Easements; Reports, Tests, and Drawings***

- A. Owner's duties with respect to providing lands and easements are set forth in Paragraph 5.01.
- B. Owner's duties with respect to providing engineering surveys to establish reference points are set forth in Paragraph 4.03.
- C. Article 5 refers to Owner's identifying and making available to Contractor copies of reports of explorations and tests of conditions at the Site, and drawings of physical conditions relating to existing surface or subsurface structures at the Site.

### **9.06    *Insurance***

- A. Owner's responsibilities, if any, with respect to purchasing and maintaining liability and property insurance are set forth in Article 6.

### **9.07    *Change Orders***

- A. Owner's responsibilities with respect to Change Orders are set forth in Article 11.

9.08 *Inspections, Tests, and Approvals*

- A. Owner's responsibility with respect to certain inspections, tests, and approvals is set forth in Paragraph 14.02.B.

9.09 *Limitations on Owner's Responsibilities*

- A. The Owner shall not supervise, direct, or have control or authority over, nor be responsible for, Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Owner will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.

9.10 *Undisclosed Hazardous Environmental Condition*

- A. Owner's responsibility in respect to an undisclosed Hazardous Environmental Condition is set forth in Paragraph 5.06.

9.11 *Evidence of Financial Arrangements*

- A. Upon request of Contractor, Owner shall furnish Contractor reasonable evidence that financial arrangements have been made to satisfy Owner's obligations under the Contract Documents (including obligations under proposed changes in the Work).

9.12 *Safety Programs*

- A. While at the Site, Owner's employees and representatives shall comply with the specific applicable requirements of Contractor's safety programs of which Owner has been informed.
- B. Owner shall furnish copies of any applicable Owner safety programs to Contractor.

**ARTICLE 10 – ENGINEER'S STATUS DURING CONSTRUCTION**

10.01 *Owner's Representative*

- A. Engineer will be Owner's representative during the construction period. The duties and responsibilities and the limitations of authority of Engineer as Owner's representative during construction are set forth in the Contract.

10.02 *Visits to Site*

- A. Engineer will make visits to the Site at intervals appropriate to the various stages of construction as Engineer deems necessary in order to observe as an experienced and qualified design professional the progress that has been made and the quality of the various aspects of Contractor's executed Work. Based on information obtained during such visits and observations, Engineer, for the benefit of Owner, will determine, in general, if the Work is proceeding in accordance with the Contract Documents. Engineer will not be required to make exhaustive or continuous inspections on the Site to check the quality or quantity of the Work. Engineer's efforts will be directed toward providing for Owner a greater degree of confidence that the completed Work will conform generally to the Contract Documents. On the basis of such visits and observations, Engineer will keep Owner informed of the progress of the Work and will endeavor to guard Owner against defective Work.
- B. Engineer's visits and observations are subject to all the limitations on Engineer's authority and responsibility set forth in Paragraph 10.08. Particularly, but without limitation, during

or as a result of Engineer's visits or observations of Contractor's Work, Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work.

10.03 *Project Representative*

- A. If Owner and Engineer have agreed that Engineer will furnish a Resident Project Representative to represent Engineer at the Site and assist Engineer in observing the progress and quality of the Work, then the authority and responsibilities of any such Resident Project Representative will be as provided in the Supplementary Conditions, and limitations on the responsibilities thereof will be as provided in Paragraph 10.08. If Owner designates another representative or agent to represent Owner at the Site who is not Engineer's consultant, agent, or employee, the responsibilities and authority and limitations thereon of such other individual or entity will be as provided in the Supplementary Conditions.

10.04 *Rejecting Defective Work*

- A. Engineer has the authority to reject Work in accordance with Article 14.

10.05 *Shop Drawings, Change Orders and Payments*

- A. Engineer's authority, and limitations thereof, as to Shop Drawings and Samples, are set forth in Paragraph 7.16.
- B. Engineer's authority, and limitations thereof, as to design calculations and design drawings submitted in response to a delegation of professional design services, if any, are set forth in Paragraph 7.19.
- C. Engineer's authority as to Change Orders is set forth in Article 11.
- D. Engineer's authority as to Applications for Payment is set forth in Article 15.

10.06 *Determinations for Unit Price Work*

- A. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor as set forth in Paragraph 13.03.

10.07 *Decisions on Requirements of Contract Documents and Acceptability of Work*

- A. Engineer will render decisions regarding the requirements of the Contract Documents, and judge the acceptability of the Work, pursuant to the specific procedures set forth herein for initial interpretations, Change Proposals, and acceptance of the Work. In rendering such decisions and judgments, Engineer will not show partiality to Owner or Contractor, and will not be liable to Owner, Contractor, or others in connection with any proceedings, interpretations, decisions, or judgments conducted or rendered in good faith.

10.08 *Limitations on Engineer's Authority and Responsibilities*

- A. Neither Engineer's authority or responsibility under this Article 10 or under any other provision of the Contract, nor any decision made by Engineer in good faith either to exercise or not exercise such authority or responsibility or the undertaking, exercise, or performance of any authority or responsibility by Engineer, shall create, impose, or give rise to any duty in contract, tort, or otherwise owed by Engineer to Contractor, any Subcontractor, any Supplier, any other individual or entity, or to any surety for or employee or agent of any of them.



- B. Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Engineer will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.
- C. Engineer will not be responsible for the acts or omissions of Contractor or of any Subcontractor, any Supplier, or of any other individual or entity performing any of the Work.
- D. Engineer's review of the final Application for Payment and accompanying documentation and all maintenance and operating instructions, schedules, guarantees, bonds, certificates of inspection, tests and approvals, and other documentation required to be delivered by Paragraph 15.06.A will only be to determine generally that their content complies with the requirements of, and in the case of certificates of inspections, tests, and approvals, that the results certified indicate compliance with the Contract Documents.
- E. The limitations upon authority and responsibility set forth in this Paragraph 10.08 shall also apply to the Resident Project Representative, if any.

#### 10.09 *Compliance with Safety Program*

- A. While at the Site, Engineer's employees and representatives will comply with the specific applicable requirements of Owner's and Contractor's safety programs (if any) of which Engineer has been informed.

### **ARTICLE 11 – AMENDING THE CONTRACT DOCUMENTS; CHANGES IN THE WORK**

#### 11.01 *Amending and Supplementing Contract Documents*

- A. The Contract Documents may be amended or supplemented by a Change Order, a Work Change Directive, or a Field Order.
  - 1. *Change Orders:*
    - a. If an amendment or supplement to the Contract Documents includes a change in the Contract Price or the Contract Times, such amendment or supplement must be set forth in a Change Order. A Change Order also may be used to establish amendments and supplements of the Contract Documents that do not affect the Contract Price or Contract Times.
    - b. Owner and Contractor may amend those terms and conditions of the Contract Documents that do not involve (1) the performance or acceptability of the Work, (2) the design (as set forth in the Drawings, Specifications, or otherwise), or (3) other engineering or technical matters, without the recommendation of the Engineer. Such an amendment shall be set forth in a Change Order.
  - 2. *Work Change Directives:* A Work Change Directive will not change the Contract Price or the Contract Times but is evidence that the parties expect that the modification ordered or documented by a Work Change Directive will be incorporated in a subsequently issued Change Order, following negotiations by the parties as to the Work Change Directive's effect, if any, on the Contract Price and Contract Times; or, if negotiations are unsuccessful, by a determination under the terms of the Contract Documents governing adjustments, expressly including Paragraph 11.04 regarding change of Contract Price. Contractor must submit any Change Proposal seeking an

adjustment of the Contract Price or the Contract Times, or both, no later than 30 days after the completion of the Work set out in the Work Change Directive. Owner must submit any Claim seeking an adjustment of the Contract Price or the Contract Times, or both, no later than 60 days after issuance of the Work Change Directive.

3. *Field Orders*: Engineer may authorize minor changes in the Work if the changes do not involve an adjustment in the Contract Price or the Contract Times and are compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents. Such changes will be accomplished by a Field Order and will be binding on Owner and also on Contractor, which shall perform the Work involved promptly. If Contractor believes that a Field Order justifies an adjustment in the Contract Price or Contract Times, or both, then before proceeding with the Work at issue, Contractor shall submit a Change Proposal as provided herein.

#### 11.02 *Owner-Authorized Changes in the Work*

- A. Without invalidating the Contract and without notice to any surety, Owner may, at any time or from time to time, order additions, deletions, or revisions in the Work. Such changes shall be supported by Engineer's recommendation, to the extent the change involves the design (as set forth in the Drawings, Specifications, or otherwise), or other engineering or technical matters. Such changes may be accomplished by a Change Order, if Owner and Contractor have agreed as to the effect, if any, of the changes on Contract Times or Contract Price; or by a Work Change Directive. Upon receipt of any such document, Contractor shall promptly proceed with the Work involved; or, in the case of a deletion in the Work, promptly cease construction activities with respect to such deleted Work. Added or revised Work shall be performed under the applicable conditions of the Contract Documents. Nothing in this paragraph shall obligate Contractor to undertake work that Contractor reasonably concludes cannot be performed in a manner consistent with Contractor's safety obligations under the Contract Documents or Laws and Regulations.

#### 11.03 *Unauthorized Changes in the Work*

- A. Contractor shall not be entitled to an increase in the Contract Price or an extension of the Contract Times with respect to any work performed that is not required by the Contract Documents, as amended, modified, or supplemented, except in the case of an emergency as provided in Paragraph 7.15 or in the case of uncovering Work as provided in Paragraph 14.05.

#### 11.04 *Change of Contract Price*

- A. The Contract Price may only be changed by a Change Order. Any Change Proposal for an adjustment in the Contract Price shall comply with the provisions of Paragraph 11.06. Any Claim for an adjustment of Contract Price shall comply with the provisions of Article 12.
- B. An adjustment in the Contract Price will be determined as follows:
  1. where the Work involved is covered by unit prices contained in the Contract Documents, then by application of such unit prices to the quantities of the items involved (subject to the provisions of Paragraph 13.03); or
  2. where the Work involved is not covered by unit prices contained in the Contract Documents, then by a mutually agreed lump sum (which may include an allowance for overhead and profit not necessarily in accordance with Paragraph 11.04.C.2); or
  3. where the Work involved is not covered by unit prices contained in the Contract Documents and the parties do not reach mutual agreement to a lump sum, then on

the basis of the Cost of the Work (determined as provided in Paragraph 13.01) plus a Contractor's fee for overhead and profit (determined as provided in Paragraph 11.04.C).

- C. *Contractor's Fee*: When applicable, the Contractor's fee for overhead and profit shall be determined as follows:
1. a mutually acceptable fixed fee; or
  2. if a fixed fee is not agreed upon, then a fee based on the following percentages of the various portions of the Cost of the Work:
    - a. for costs incurred under Paragraphs 13.01.B.1 and 13.01.B.2, the Contractor's fee shall be 15 percent;
    - b. for costs incurred under Paragraph 13.01.B.3, the Contractor's fee shall be five percent;
    - c. where one or more tiers of subcontracts are on the basis of Cost of the Work plus a fee and no fixed fee is agreed upon, the intent of Paragraphs 11.01.C.2.a and 11.01.C.2.b is that the Contractor's fee shall be based on: (1) a fee of 15 percent of the costs incurred under Paragraphs 13.01.A.1 and 13.01.A.2 by the Subcontractor that actually performs the Work, at whatever tier, and (2) with respect to Contractor itself and to any Subcontractors of a tier higher than that of the Subcontractor that actually performs the Work, a fee of five percent of the amount (fee plus underlying costs incurred) attributable to the next lower tier Subcontractor; provided, however, that for any such subcontracted work the maximum total fee to be paid by Owner shall be no greater than 27 percent of the costs incurred by the Subcontractor that actually performs the work;
    - d. no fee shall be payable on the basis of costs itemized under Paragraphs 13.01.B.4, 13.01.B.5, and 13.01.C;
    - e. the amount of credit to be allowed by Contractor to Owner for any change which results in a net decrease in cost will be the amount of the actual net decrease in cost plus a deduction in Contractor's fee by an amount equal to five percent of such net decrease; and
    - f. when both additions and credits are involved in any one change, the adjustment in Contractor's fee shall be computed on the basis of the net change in accordance with Paragraphs 11.04.C.2.a through 11.04.C.2.e, inclusive.

#### 11.05 *Change of Contract Times*

- A. The Contract Times may only be changed by a Change Order. Any Change Proposal for an adjustment in the Contract Times shall comply with the provisions of Paragraph 11.06. Any Claim for an adjustment in the Contract Times shall comply with the provisions of Article 12.
- B. An adjustment of the Contract Times shall be subject to the limitations set forth in Paragraph 4.05, concerning delays in Contractor's progress.

#### 11.06 *Change Proposals*

- A. Contractor shall submit a Change Proposal to Engineer to request an adjustment in the Contract Times or Contract Price; appeal an initial decision by Engineer concerning the requirements of the Contract Documents or relating to the acceptability of the Work under the Contract Documents; contest a set-off against payment due; or seek other relief under

the Contract. The Change Proposal shall specify any proposed change in Contract Times or Contract Price, or both, or other proposed relief, and explain the reason for the proposed change, with citations to any governing or applicable provisions of the Contract Documents.

1. *Procedures:* Contractor shall submit each Change Proposal to Engineer promptly (but in no event later than 30 days) after the start of the event giving rise thereto, or after such initial decision. The Contractor shall submit supporting data, including the proposed change in Contract Price or Contract Time (if any), to the Engineer and Owner within 15 days after the submittal of the Change Proposal. The supporting data shall be accompanied by a written statement that the supporting data are accurate and complete, and that any requested time or price adjustment is the entire adjustment to which Contractor believes it is entitled as a result of said event. Engineer will advise Owner regarding the Change Proposal, and consider any comments or response from Owner regarding the Change Proposal.
  2. *Engineer's Action:* Engineer will review each Change Proposal and, within 30 days after receipt of the Contractor's supporting data, either deny the Change Proposal in whole, approve it in whole, or deny it in part and approve it in part. Such actions shall be in writing, with a copy provided to Owner and Contractor. If Engineer does not take action on the Change Proposal within 30 days, then either Owner or Contractor may at any time thereafter submit a letter to the other party indicating that as a result of Engineer's inaction the Change Proposal is deemed denied, thereby commencing the time for appeal of the denial under Article 12.
  3. *Binding Decision:* Engineer's decision will be final and binding upon Owner and Contractor, unless Owner or Contractor appeals the decision by filing a Claim under Article 12.
- B. *Resolution of Certain Change Proposals:* If the Change Proposal does not involve the design (as set forth in the Drawings, Specifications, or otherwise), the acceptability of the Work, or other engineering or technical matters, then Engineer will notify the parties that the Engineer is unable to resolve the Change Proposal. For purposes of further resolution of such a Change Proposal, such notice shall be deemed a denial, and Contractor may choose to seek resolution under the terms of Article 12.

#### 11.07 *Execution of Change Orders*

- A. Owner and Contractor shall execute appropriate Change Orders covering:
1. changes in the Contract Price or Contract Times which are agreed to by the parties, including any undisputed sum or amount of time for Work actually performed in accordance with a Work Change Directive;
  2. changes in Contract Price resulting from an Owner set-off, unless Contractor has duly contested such set-off;
  3. changes in the Work which are: (a) ordered by Owner pursuant to Paragraph 11.02, (b) required because of Owner's acceptance of defective Work under Paragraph 14.04 or Owner's correction of defective Work under Paragraph 14.07, or (c) agreed to by the parties, subject to the need for Engineer's recommendation if the change in the Work involves the design (as set forth in the Drawings, Specifications, or otherwise), or other engineering or technical matters; and
  4. changes in the Contract Price or Contract Times, or other changes, which embody the substance of any final and binding results under Paragraph 11.06, or Article 12.

- B. If Owner or Contractor refuses to execute a Change Order that is required to be executed under the terms of this Paragraph 11.07, it shall be deemed to be of full force and effect, as if fully executed.

#### 11.08 *Notification to Surety*

- A. If the provisions of any bond require notice to be given to a surety of any change affecting the general scope of the Work or the provisions of the Contract Documents (including, but not limited to, Contract Price or Contract Times), the giving of any such notice will be Contractor's responsibility. The amount of each applicable bond will be adjusted to reflect the effect of any such change.

### ARTICLE 12 – CLAIMS

#### 12.01 *Claims*

- A. *Claims Process:* The following disputes between Owner and Contractor shall be submitted to the Claims process set forth in this Article:
  - 1. Appeals by Owner or Contractor of Engineer's decisions regarding Change Proposals;
  - 2. Owner demands for adjustments in the Contract Price or Contract Times, or other relief under the Contract Documents; and
  - 3. Disputes that Engineer has been unable to address because they do not involve the design (as set forth in the Drawings, Specifications, or otherwise), the acceptability of the Work, or other engineering or technical matters.
- B. *Submittal of Claim:* The party submitting a Claim shall deliver it directly to the other party to the Contract promptly (but in no event later than 30 days) after the start of the event giving rise thereto; in the case of appeals regarding Change Proposals within 30 days of the decision under appeal. The party submitting the Claim shall also furnish a copy to the Engineer, for its information only. The responsibility to substantiate a Claim shall rest with the party making the Claim. In the case of a Claim by Contractor seeking an increase in the Contract Times or Contract Price, or both, Contractor shall certify that the Claim is made in good faith, that the supporting data are accurate and complete, and that to the best of Contractor's knowledge and belief the amount of time or money requested accurately reflects the full amount to which Contractor is entitled.
- C. *Review and Resolution:* The party receiving a Claim shall review it thoroughly, giving full consideration to its merits. The two parties shall seek to resolve the Claim through the exchange of information and direct negotiations. The parties may extend the time for resolving the Claim by mutual agreement. All actions taken on a Claim shall be stated in writing and submitted to the other party, with a copy to Engineer.
- D. *Mediation:*
  - 1. At any time after initiation of a Claim, Owner and Contractor may mutually agree to mediation of the underlying dispute. The agreement to mediate shall stay the Claim submittal and response process.
  - 2. If Owner and Contractor agree to mediation, then after 60 days from such agreement, either Owner or Contractor may unilaterally terminate the mediation process, and the Claim submittal and decision process shall resume as of the date of the termination. If the mediation proceeds but is unsuccessful in resolving the dispute, the Claim

submittal and decision process shall resume as of the date of the conclusion of the mediation, as determined by the mediator.

3. Owner and Contractor shall each pay one-half of the mediator's fees and costs.
- E. *Partial Approval*: If the party receiving a Claim approves the Claim in part and denies it in part, such action shall be final and binding unless within 30 days of such action the other party invokes the procedure set forth in Article 17 for final resolution of disputes.
- F. *Denial of Claim*: If efforts to resolve a Claim are not successful, the party receiving the Claim may deny it by giving written notice of denial to the other party. If the receiving party does not take action on the Claim within 90 days, then either Owner or Contractor may at any time thereafter submit a letter to the other party indicating that as a result of the inaction, the Claim is deemed denied, thereby commencing the time for appeal of the denial. A denial of the Claim shall be final and binding unless within 30 days of the denial the other party invokes the procedure set forth in Article 17 for the final resolution of disputes.
- G. *Final and Binding Results*: If the parties reach a mutual agreement regarding a Claim, whether through approval of the Claim, direct negotiations, mediation, or otherwise; or if a Claim is approved in part and denied in part, or denied in full, and such actions become final and binding; then the results of the agreement or action on the Claim shall be incorporated in a Change Order to the extent they affect the Contract, including the Work, the Contract Times, or the Contract Price.

## **ARTICLE 13 – COST OF THE WORK; ALLOWANCES; UNIT PRICE WORK**

### **13.01 Cost of the Work**

- A. *Purposes for Determination of Cost of the Work*: The term Cost of the Work means the sum of all costs necessary for the proper performance of the Work at issue, as further defined below. The provisions of this Paragraph 13.01 are used for two distinct purposes:
  1. To determine Cost of the Work when Cost of the Work is a component of the Contract Price, under cost-plus-fee, time-and-materials, or other cost-based terms; or
  2. To determine the value of a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price. When the value of any such adjustment is determined on the basis of Cost of the Work, Contractor is entitled only to those additional or incremental costs required because of the change in the Work or because of the event giving rise to the adjustment.
- B. *Costs Included*: Except as otherwise may be agreed to in writing by Owner, costs included in the Cost of the Work shall be in amounts no higher than those prevailing in the locality of the Project, shall not include any of the costs itemized in Paragraph 13.01.C, and shall include only the following items:
  1. Payroll costs for employees in the direct employ of Contractor in the performance of the Work under schedules of job classifications agreed upon by Owner and Contractor. Such employees shall include, without limitation, superintendents, foremen, and other personnel employed full time on the Work. Payroll costs for employees not employed full time on the Work shall be apportioned on the basis of their time spent on the Work. Payroll costs shall include, but not be limited to, salaries and wages plus the cost of fringe benefits, which shall include social security contributions, unemployment, excise, and payroll taxes, workers' compensation, health and retirement benefits, bonuses, sick leave, and vacation and holiday pay applicable

thereto. The expenses of performing Work outside of regular working hours, on Saturday, Sunday, or legal holidays, shall be included in the above to the extent authorized by Owner.

2. Cost of all materials and equipment furnished and incorporated in the Work, including costs of transportation and storage thereof, and Suppliers' field services required in connection therewith. All cash discounts shall accrue to Contractor unless Owner deposits funds with Contractor with which to make payments, in which case the cash discounts shall accrue to Owner. All trade discounts, rebates, and refunds and returns from sale of surplus materials and equipment shall accrue to Owner, and Contractor shall make provisions so that they may be obtained.
3. Payments made by Contractor to Subcontractors for Work performed by Subcontractors. If required by Owner, Contractor shall obtain competitive bids from subcontractors acceptable to Owner and Contractor and shall deliver such bids to Owner, who will then determine, with the advice of Engineer, which bids, if any, will be acceptable. If any subcontract provides that the Subcontractor is to be paid on the basis of Cost of the Work plus a fee, the Subcontractor's Cost of the Work and fee shall be determined in the same manner as Contractor's Cost of the Work and fee as provided in this Paragraph 13.01.
4. Costs of special consultants (including but not limited to engineers, architects, testing laboratories, surveyors, attorneys, and accountants) employed for services specifically related to the Work.
5. Supplemental costs including the following:
  - a. The proportion of necessary transportation, travel, and subsistence expenses of Contractor's employees incurred in discharge of duties connected with the Work.
  - b. Cost, including transportation and maintenance, of all materials, supplies, equipment, machinery, appliances, office, and temporary facilities at the Site, and hand tools not owned by the workers, which are consumed in the performance of the Work, and cost, less market value, of such items used but not consumed which remain the property of Contractor.
  - c. Rentals of all construction equipment and machinery, and the parts thereof, whether rented from Contractor or others in accordance with rental agreements approved by Owner with the advice of Engineer, and the costs of transportation, loading, unloading, assembly, dismantling, and removal thereof. All such costs shall be in accordance with the terms of said rental agreements. The rental of any such equipment, machinery, or parts shall cease when the use thereof is no longer necessary for the Work.
  - d. Sales, consumer, use, and other similar taxes related to the Work, and for which Contractor is liable, as imposed by Laws and Regulations.
  - e. Deposits lost for causes other than negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, and royalty payments and fees for permits and licenses.
  - f. Losses and damages (and related expenses) caused by damage to the Work, not compensated by insurance or otherwise, sustained by Contractor in connection with the performance of the Work (except losses and damages within the deductible amounts of property insurance established in accordance with Paragraph 6.05), provided such losses and damages have resulted from causes

other than the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable. Such losses shall include settlements made with the written consent and approval of Owner. No such losses, damages, and expenses shall be included in the Cost of the Work for the purpose of determining Contractor's fee.

- g. The cost of utilities, fuel, and sanitary facilities at the Site.
- h. Minor expenses such as communication service at the Site, express and courier services, and similar petty cash items in connection with the Work.
- i. The costs of premiums for all bonds and insurance that Contractor is required by the Contract Documents to purchase and maintain.

C. *Costs Excluded:* The term Cost of the Work shall not include any of the following items:

- 1. Payroll costs and other compensation of Contractor's officers, executives, principals (of partnerships and sole proprietorships), general managers, safety managers, engineers, architects, estimators, attorneys, auditors, accountants, purchasing and contracting agents, expeditors, timekeepers, clerks, and other personnel employed by Contractor, whether at the Site or in Contractor's principal or branch office for general administration of the Work and not specifically included in the agreed upon schedule of job classifications referred to in Paragraph 13.01.B.1 or specifically covered by Paragraph 13.01.B.4. The payroll costs and other compensation excluded here are to be considered administrative costs covered by the Contractor's fee.
- 2. Expenses of Contractor's principal and branch offices other than Contractor's office at the Site.
- 3. Any part of Contractor's capital expenses, including interest on Contractor's capital employed for the Work and charges against Contractor for delinquent payments.
- 4. Costs due to the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, including but not limited to, the correction of defective Work, disposal of materials or equipment wrongly supplied, and making good any damage to property.
- 5. Other overhead or general expense costs of any kind and the costs of any item not specifically and expressly included in Paragraph 13.01.B.

D. *Contractor's Fee:* When the Work as a whole is performed on the basis of cost-plus, Contractor's fee shall be determined as set forth in the Agreement. When the value of any Work covered by a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price is determined on the basis of Cost of the Work, Contractor's fee shall be determined as set forth in Paragraph 11.04.C.

E. *Documentation:* Whenever the Cost of the Work for any purpose is to be determined pursuant to this Article 13, Contractor will establish and maintain records thereof in accordance with generally accepted accounting practices and submit in a form acceptable to Engineer an itemized cost breakdown together with supporting data.

## 13.02 Allowances

- A. It is understood that Contractor has included in the Contract Price all allowances so named in the Contract Documents and shall cause the Work so covered to be performed for such sums and by such persons or entities as may be acceptable to Owner and Engineer.



- B. *Cash Allowances*: Contractor agrees that:
  - 1. the cash allowances include the cost to Contractor (less any applicable trade discounts) of materials and equipment required by the allowances to be delivered at the Site, and all applicable taxes; and
  - 2. Contractor's costs for unloading and handling on the Site, labor, installation, overhead, profit, and other expenses contemplated for the cash allowances have been included in the Contract Price and not in the allowances, and no demand for additional payment on account of any of the foregoing will be valid.
- C. *Contingency Allowance*: Contractor agrees that a contingency allowance, if any, is for the sole use of Owner to cover unanticipated costs.
- D. Prior to final payment, an appropriate Change Order will be issued as recommended by Engineer to reflect actual amounts due Contractor on account of Work covered by allowances, and the Contract Price shall be correspondingly adjusted.

### 13.03 *Unit Price Work*

- A. Where the Contract Documents provide that all or part of the Work is to be Unit Price Work, initially the Contract Price will be deemed to include for all Unit Price Work an amount equal to the sum of the unit price for each separately identified item of Unit Price Work times the estimated quantity of each item as indicated in the Agreement.
- B. The estimated quantities of items of Unit Price Work are not guaranteed and are solely for the purpose of comparison of Bids and determining an initial Contract Price. Payments to Contractor for Unit Price Work will be based on actual quantities.
- C. Each unit price will be deemed to include an amount considered by Contractor to be adequate to cover Contractor's overhead and profit for each separately identified item.
- D. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor. Engineer will review with Contractor the Engineer's preliminary determinations on such matters before rendering a written decision thereon (by recommendation of an Application for Payment or otherwise). Engineer's written decision thereon will be final and binding (except as modified by Engineer to reflect changed factual conditions or more accurate data) upon Owner and Contractor, subject to the provisions of the following paragraph.
- E. Within 30 days of Engineer's written decision under the preceding paragraph, Contractor may submit a Change Proposal, or Owner may file a Claim, seeking an adjustment in the Contract Price if:
  - 1. the quantity of any item of Unit Price Work performed by Contractor differs materially and significantly from the estimated quantity of such item indicated in the Agreement;
  - 2. there is no corresponding adjustment with respect to any other item of Work; and
  - 3. Contractor believes that it is entitled to an increase in Contract Price as a result of having incurred additional expense or Owner believes that Owner is entitled to a decrease in Contract Price, and the parties are unable to agree as to the amount of any such increase or decrease.

## ARTICLE 14 – TESTS AND INSPECTIONS; CORRECTION, REMOVAL OR ACCEPTANCE OF DEFECTIVE WORK

### 14.01 *Access to Work*

- A. Owner, Engineer, their consultants and other representatives and personnel of Owner, independent testing laboratories, and authorities having jurisdiction will have access to the Site and the Work at reasonable times for their observation, inspection, and testing. Contractor shall provide them proper and safe conditions for such access and advise them of Contractor's safety procedures and programs so that they may comply therewith as applicable.

### 14.02 *Tests, Inspections, and Approvals*

- A. Contractor shall give Engineer timely notice of readiness of the Work (or specific parts thereof) for all required inspections and tests, and shall cooperate with inspection and testing personnel to facilitate required inspections and tests.
- B. Owner shall retain and pay for the services of an independent inspector, testing laboratory, or other qualified individual or entity to perform all inspections and tests expressly required by the Contract Documents to be furnished and paid for by Owner, except that costs incurred in connection with tests or inspections of covered Work shall be governed by the provisions of Paragraph 14.05.
- C. If Laws or Regulations of any public body having jurisdiction require any Work (or part thereof) specifically to be inspected, tested, or approved by an employee or other representative of such public body, Contractor shall assume full responsibility for arranging and obtaining such inspections, tests, or approvals, pay all costs in connection therewith, and furnish Engineer the required certificates of inspection or approval.
- D. Contractor shall be responsible for arranging, obtaining, and paying for all inspections and tests required:
  - 1. by the Contract Documents, unless the Contract Documents expressly allocate responsibility for a specific inspection or test to Owner;
  - 2. to attain Owner's and Engineer's acceptance of materials or equipment to be incorporated in the Work;
  - 3. by manufacturers of equipment furnished under the Contract Documents;
  - 4. for testing, adjusting, and balancing of mechanical, electrical, and other equipment to be incorporated into the Work; and
  - 5. for acceptance of materials, mix designs, or equipment submitted for approval prior to Contractor's purchase thereof for incorporation in the Work.

Such inspections and tests shall be performed by independent inspectors, testing laboratories, or other qualified individuals or entities acceptable to Owner and Engineer.

- E. If the Contract Documents require the Work (or part thereof) to be approved by Owner, Engineer, or another designated individual or entity, then Contractor shall assume full responsibility for arranging and obtaining such approvals.
- F. If any Work (or the work of others) that is to be inspected, tested, or approved is covered by Contractor without written concurrence of Engineer, Contractor shall, if requested by Engineer, uncover such Work for observation. Such uncovering shall be at Contractor's expense unless Contractor had given Engineer timely notice of Contractor's intention to

cover the same and Engineer had not acted with reasonable promptness in response to such notice.

#### 14.03 *Defective Work*

- A. *Contractor's Obligation:* It is Contractor's obligation to assure that the Work is not defective.
- B. *Engineer's Authority:* Engineer has the authority to determine whether Work is defective, and to reject defective Work.
- C. *Notice of Defects:* Prompt notice of all defective Work of which Owner or Engineer has actual knowledge will be given to Contractor.
- D. *Correction, or Removal and Replacement:* Promptly after receipt of written notice of defective Work, Contractor shall correct all such defective Work, whether or not fabricated, installed, or completed, or, if Engineer has rejected the defective Work, remove it from the Project and replace it with Work that is not defective.
- E. *Preservation of Warranties:* When correcting defective Work, Contractor shall take no action that would void or otherwise impair Owner's special warranty and guarantee, if any, on said Work.
- F. *Costs and Damages:* In addition to its correction, removal, and replacement obligations with respect to defective Work, Contractor shall pay all claims, costs, losses, and damages arising out of or relating to defective Work, including but not limited to the cost of the inspection, testing, correction, removal, replacement, or reconstruction of such defective Work, fines levied against Owner by governmental authorities because the Work is defective, and the costs of repair or replacement of work of others resulting from defective Work. Prior to final payment, if Owner and Contractor are unable to agree as to the measure of such claims, costs, losses, and damages resulting from defective Work, then Owner may impose a reasonable set-off against payments due under Article 15.

#### 14.04 *Acceptance of Defective Work*

- A. If, instead of requiring correction or removal and replacement of defective Work, Owner prefers to accept it, Owner may do so (subject, if such acceptance occurs prior to final payment, to Engineer's confirmation that such acceptance is in general accord with the design intent and applicable engineering principles, and will not endanger public safety). Contractor shall pay all claims, costs, losses, and damages attributable to Owner's evaluation of and determination to accept such defective Work (such costs to be approved by Engineer as to reasonableness), and for the diminished value of the Work to the extent not otherwise paid by Contractor. If any such acceptance occurs prior to final payment, the necessary revisions in the Contract Documents with respect to the Work shall be incorporated in a Change Order. If the parties are unable to agree as to the decrease in the Contract Price, reflecting the diminished value of Work so accepted, then Owner may impose a reasonable set-off against payments due under Article 15. If the acceptance of defective Work occurs after final payment, Contractor shall pay an appropriate amount to Owner.

#### 14.05 *Uncovering Work*

- A. Engineer has the authority to require special inspection or testing of the Work, whether or not the Work is fabricated, installed, or completed.

- B. If any Work is covered contrary to the written request of Engineer, then Contractor shall, if requested by Engineer, uncover such Work for Engineer's observation, and then replace the covering, all at Contractor's expense.
- C. If Engineer considers it necessary or advisable that covered Work be observed by Engineer or inspected or tested by others, then Contractor, at Engineer's request, shall uncover, expose, or otherwise make available for observation, inspection, or testing as Engineer may require, that portion of the Work in question, and provide all necessary labor, material, and equipment.
  - 1. If it is found that the uncovered Work is defective, Contractor shall be responsible for all claims, costs, losses, and damages arising out of or relating to such uncovering, exposure, observation, inspection, and testing, and of satisfactory replacement or reconstruction (including but not limited to all costs of repair or replacement of work of others); and pending Contractor's full discharge of this responsibility the Owner shall be entitled to impose a reasonable set-off against payments due under Article 15.
  - 2. If the uncovered Work is not found to be defective, Contractor shall be allowed an increase in the Contract Price or an extension of the Contract Times, or both, directly attributable to such uncovering, exposure, observation, inspection, testing, replacement, and reconstruction. If the parties are unable to agree as to the amount or extent thereof, then Contractor may submit a Change Proposal within 30 days of the determination that the Work is not defective.

#### 14.06 *Owner May Stop the Work*

- A. If the Work is defective, or Contractor fails to supply sufficient skilled workers or suitable materials or equipment, or fails to perform the Work in such a way that the completed Work will conform to the Contract Documents, then Owner may order Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, this right of Owner to stop the Work shall not give rise to any duty on the part of Owner to exercise this right for the benefit of Contractor, any Subcontractor, any Supplier, any other individual or entity, or any surety for, or employee or agent of any of them.

#### 14.07 *Owner May Correct Defective Work*

- A. If Contractor fails within a reasonable time after written notice from Engineer to correct defective Work, or to remove and replace rejected Work as required by Engineer, or if Contractor fails to perform the Work in accordance with the Contract Documents, or if Contractor fails to comply with any other provision of the Contract Documents, then Owner may, after seven days written notice to Contractor, correct or remedy any such deficiency.
- B. In exercising the rights and remedies under this Paragraph 14.07, Owner shall proceed expeditiously. In connection with such corrective or remedial action, Owner may exclude Contractor from all or part of the Site, take possession of all or part of the Work and suspend Contractor's services related thereto, and incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere. Contractor shall allow Owner, Owner's representatives, agents and employees, Owner's other contractors, and Engineer and Engineer's consultants access to the Site to enable Owner to exercise the rights and remedies under this paragraph.
- C. All claims, costs, losses, and damages incurred or sustained by Owner in exercising the rights and remedies under this Paragraph 14.07 will be charged against Contractor as set-offs against payments due under Article 15. Such claims, costs, losses and damages will

include but not be limited to all costs of repair, or replacement of work of others destroyed or damaged by correction, removal, or replacement of Contractor's defective Work.

- D. Contractor shall not be allowed an extension of the Contract Times because of any delay in the performance of the Work attributable to the exercise by Owner of Owner's rights and remedies under this Paragraph 14.07.

## **ARTICLE 15 – PAYMENTS TO CONTRACTOR; SET-OFFS; COMPLETION; CORRECTION PERIOD**

### **15.01 Progress Payments**

- A. *Basis for Progress Payments:* The Schedule of Values established as provided in Article 2 will serve as the basis for progress payments and will be incorporated into a form of Application for Payment acceptable to Engineer. Progress payments on account of Unit Price Work will be based on the number of units completed during the pay period, as determined under the provisions of Paragraph 13.03. Progress payments for cost-based Work will be based on Cost of the Work completed by Contractor during the pay period.
- B. *Applications for Payments:*
  - 1. At least 20 days before the date established in the Agreement for each progress payment (but not more often than once a month), Contractor shall submit to Engineer for review an Application for Payment filled out and signed by Contractor covering the Work completed as of the date of the Application and accompanied by such supporting documentation as is required by the Contract Documents. If payment is requested on the basis of materials and equipment not incorporated in the Work but delivered and suitably stored at the Site or at another location agreed to in writing, the Application for Payment shall also be accompanied by a bill of sale, invoice, or other documentation warranting that Owner has received the materials and equipment free and clear of all Liens, and evidence that the materials and equipment are covered by appropriate property insurance, a warehouse bond, or other arrangements to protect Owner's interest therein, all of which must be satisfactory to Owner.
  - 2. Beginning with the second Application for Payment, each Application shall include an affidavit of Contractor stating that all previous progress payments received on account of the Work have been applied on account to discharge Contractor's legitimate obligations associated with prior Applications for Payment.
  - 3. The amount of retainage with respect to progress payments will be as stipulated in the Agreement.
- C. *Review of Applications:*
  - 1. Engineer will, within 10 days after receipt of each Application for Payment, including each resubmittal, either indicate in writing a recommendation of payment and present the Application to Owner, or return the Application to Contractor indicating in writing Engineer's reasons for refusing to recommend payment. In the latter case, Contractor may make the necessary corrections and resubmit the Application.
  - 2. Engineer's recommendation of any payment requested in an Application for Payment will constitute a representation by Engineer to Owner, based on Engineer's observations of the executed Work as an experienced and qualified design professional, and on Engineer's review of the Application for Payment and the accompanying data and schedules, that to the best of Engineer's knowledge, information and belief:

- a. the Work has progressed to the point indicated;
  - b. the quality of the Work is generally in accordance with the Contract Documents (subject to an evaluation of the Work as a functioning whole prior to or upon Substantial Completion, the results of any subsequent tests called for in the Contract Documents, a final determination of quantities and classifications for Unit Price Work under Paragraph 13.03, and any other qualifications stated in the recommendation); and
  - c. the conditions precedent to Contractor's being entitled to such payment appear to have been fulfilled in so far as it is Engineer's responsibility to observe the Work.
3. By recommending any such payment Engineer will not thereby be deemed to have represented that:
- a. inspections made to check the quality or the quantity of the Work as it has been performed have been exhaustive, extended to every aspect of the Work in progress, or involved detailed inspections of the Work beyond the responsibilities specifically assigned to Engineer in the Contract; or
  - b. there may not be other matters or issues between the parties that might entitle Contractor to be paid additionally by Owner or entitle Owner to withhold payment to Contractor.
4. Neither Engineer's review of Contractor's Work for the purposes of recommending payments nor Engineer's recommendation of any payment, including final payment, will impose responsibility on Engineer:
- a. to supervise, direct, or control the Work, or
  - b. for the means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or
  - c. for Contractor's failure to comply with Laws and Regulations applicable to Contractor's performance of the Work, or
  - d. to make any examination to ascertain how or for what purposes Contractor has used the money paid on account of the Contract Price, or
  - e. to determine that title to any of the Work, materials, or equipment has passed to Owner free and clear of any Liens.
5. Engineer may refuse to recommend the whole or any part of any payment if, in Engineer's opinion, it would be incorrect to make the representations to Owner stated in Paragraph 15.01.C.2.
6. Engineer will recommend reductions in payment (set-offs) necessary in Engineer's opinion to protect Owner from loss because:
- a. the Work is defective, requiring correction or replacement;
  - b. the Contract Price has been reduced by Change Orders;
  - c. Owner has been required to correct defective Work in accordance with Paragraph 14.07, or has accepted defective Work pursuant to Paragraph 14.04;
  - d. Owner has been required to remove or remediate a Hazardous Environmental Condition for which Contractor is responsible; or

- e. Engineer has actual knowledge of the occurrence of any of the events that would constitute a default by Contractor and therefore justify termination for cause under the Contract Documents.

D. *Payment Becomes Due:*

- 1. Ten days after presentation of the Application for Payment to Owner with Engineer's recommendation, the amount recommended (subject to any Owner set-offs) will become due, and when due will be paid by Owner to Contractor.

E. *Reductions in Payment by Owner:*

- 1. In addition to any reductions in payment (set-offs) recommended by Engineer, Owner is entitled to impose a set-off against payment based on any of the following:
  - a. claims have been made against Owner on account of Contractor's conduct in the performance or furnishing of the Work, or Owner has incurred costs, losses, or damages on account of Contractor's conduct in the performance or furnishing of the Work, including but not limited to claims, costs, losses, or damages from workplace injuries, adjacent property damage, non-compliance with Laws and Regulations, and patent infringement;
  - b. Contractor has failed to take reasonable and customary measures to avoid damage, delay, disruption, and interference with other work at or adjacent to the Site;
  - c. Contractor has failed to provide and maintain required bonds or insurance;
  - d. Owner has been required to remove or remediate a Hazardous Environmental Condition for which Contractor is responsible;
  - e. Owner has incurred extra charges or engineering costs related to submittal reviews, evaluations of proposed substitutes, tests and inspections, or return visits to manufacturing or assembly facilities;
  - f. the Work is defective, requiring correction or replacement;
  - g. Owner has been required to correct defective Work in accordance with Paragraph 14.07, or has accepted defective Work pursuant to Paragraph 14.04;
  - h. the Contract Price has been reduced by Change Orders;
  - i. an event that would constitute a default by Contractor and therefore justify a termination for cause has occurred;
  - j. liquidated damages have accrued as a result of Contractor's failure to achieve Milestones, Substantial Completion, or final completion of the Work;
  - k. Liens have been filed in connection with the Work, except where Contractor has delivered a specific bond satisfactory to Owner to secure the satisfaction and discharge of such Liens;
  - l. there are other items entitling Owner to a set off against the amount recommended.
- 2. If Owner imposes any set-off against payment, whether based on its own knowledge or on the written recommendations of Engineer, Owner will give Contractor immediate written notice (with a copy to Engineer) stating the reasons for such action and the specific amount of the reduction, and promptly pay Contractor any amount

remaining after deduction of the amount so withheld. Owner shall promptly pay Contractor the amount so withheld, or any adjustment thereto agreed to by Owner and Contractor, if Contractor remedies the reasons for such action. The reduction imposed shall be binding on Contractor unless it duly submits a Change Proposal contesting the reduction.

3. Upon a subsequent determination that Owner's refusal of payment was not justified, the amount wrongfully withheld shall be treated as an amount due as determined by Paragraph 15.01.C.1 and subject to interest as provided in the Agreement.

#### 15.02 *Contractor's Warranty of Title*

- A. Contractor warrants and guarantees that title to all Work, materials, and equipment furnished under the Contract will pass to Owner free and clear of (1) all Liens and other title defects, and (2) all patent, licensing, copyright, or royalty obligations, no later than seven days after the time of payment by Owner.

#### 15.03 *Substantial Completion*

- A. When Contractor considers the entire Work ready for its intended use Contractor shall notify Owner and Engineer in writing that the entire Work is substantially complete and request that Engineer issue a certificate of Substantial Completion. Contractor shall at the same time submit to Owner and Engineer an initial draft of punch list items to be completed or corrected before final payment.
- B. Promptly after Contractor's notification, Owner, Contractor, and Engineer shall make an inspection of the Work to determine the status of completion. If Engineer does not consider the Work substantially complete, Engineer will notify Contractor in writing giving the reasons therefor.
- C. If Engineer considers the Work substantially complete, Engineer will deliver to Owner a preliminary certificate of Substantial Completion which shall fix the date of Substantial Completion. Engineer shall attach to the certificate a punch list of items to be completed or corrected before final payment. Owner shall have seven days after receipt of the preliminary certificate during which to make written objection to Engineer as to any provisions of the certificate or attached punch list. If, after considering the objections to the provisions of the preliminary certificate, Engineer concludes that the Work is not substantially complete, Engineer will, within 14 days after submission of the preliminary certificate to Owner, notify Contractor in writing that the Work is not substantially complete, stating the reasons therefor. If Owner does not object to the provisions of the certificate, or if despite consideration of Owner's objections Engineer concludes that the Work is substantially complete, then Engineer will, within said 14 days, execute and deliver to Owner and Contractor a final certificate of Substantial Completion (with a revised punch list of items to be completed or corrected) reflecting such changes from the preliminary certificate as Engineer believes justified after consideration of any objections from Owner.
- D. At the time of receipt of the preliminary certificate of Substantial Completion, Owner and Contractor will confer regarding Owner's use or occupancy of the Work following Substantial Completion, review the builder's risk insurance policy with respect to the end of the builder's risk coverage, and confirm the transition to coverage of the Work under a permanent property insurance policy held by Owner. Unless Owner and Contractor agree otherwise in writing, Owner shall bear responsibility for security, operation, protection of the Work, property insurance, maintenance, heat, and utilities upon Owner's use or occupancy of the Work.



- E. After Substantial Completion the Contractor shall promptly begin work on the punch list of items to be completed or corrected prior to final payment. In appropriate cases Contractor may submit monthly Applications for Payment for completed punch list items, following the progress payment procedures set forth above.
- F. Owner shall have the right to exclude Contractor from the Site after the date of Substantial Completion subject to allowing Contractor reasonable access to remove its property and complete or correct items on the punch list.

#### 15.04 *Partial Use or Occupancy*

- A. Prior to Substantial Completion of all the Work, Owner may use or occupy any substantially completed part of the Work which has specifically been identified in the Contract Documents, or which Owner, Engineer, and Contractor agree constitutes a separately functioning and usable part of the Work that can be used by Owner for its intended purpose without significant interference with Contractor's performance of the remainder of the Work, subject to the following conditions:
  - 1. At any time Owner may request in writing that Contractor permit Owner to use or occupy any such part of the Work that Owner believes to be substantially complete. If and when Contractor agrees that such part of the Work is substantially complete, Contractor, Owner, and Engineer will follow the procedures of Paragraph 15.03.A through E for that part of the Work.
  - 2. At any time Contractor may notify Owner and Engineer in writing that Contractor considers any such part of the Work substantially complete and request Engineer to issue a certificate of Substantial Completion for that part of the Work.
  - 3. Within a reasonable time after either such request, Owner, Contractor, and Engineer shall make an inspection of that part of the Work to determine its status of completion. If Engineer does not consider that part of the Work to be substantially complete, Engineer will notify Owner and Contractor in writing giving the reasons therefor. If Engineer considers that part of the Work to be substantially complete, the provisions of Paragraph 15.03 will apply with respect to certification of Substantial Completion of that part of the Work and the division of responsibility in respect thereof and access thereto.
  - 4. No use or occupancy or separate operation of part of the Work may occur prior to compliance with the requirements of Paragraph 6.05 regarding builder's risk or other property insurance.

#### 15.05 *Final Inspection*

- A. Upon written notice from Contractor that the entire Work or an agreed portion thereof is complete, Engineer will promptly make a final inspection with Owner and Contractor and will notify Contractor in writing of all particulars in which this inspection reveals that the Work, or agreed portion thereof, is incomplete or defective. Contractor shall immediately take such measures as are necessary to complete such Work or remedy such deficiencies.

#### 15.06 *Final Payment*

- A. *Application for Payment:*
  - 1. After Contractor has, in the opinion of Engineer, satisfactorily completed all corrections identified during the final inspection and has delivered, in accordance with the Contract Documents, all maintenance and operating instructions, schedules, guarantees, bonds, certificates or other evidence of insurance, certificates of

inspection, annotated record documents (as provided in Paragraph 7.11), and other documents, Contractor may make application for final payment.

2. The final Application for Payment shall be accompanied (except as previously delivered) by:
  - a. all documentation called for in the Contract Documents;
  - b. consent of the surety, if any, to final payment;
  - c. satisfactory evidence that all title issues have been resolved such that title to all Work, materials, and equipment has passed to Owner free and clear of any Liens or other title defects, or will so pass upon final payment.
  - d. a list of all disputes that Contractor believes are unsettled; and
  - e. complete and legally effective releases or waivers (satisfactory to Owner) of all Lien rights arising out of the Work, and of Liens filed in connection with the Work.
3. In lieu of the releases or waivers of Liens specified in Paragraph 15.06.A.2 and as approved by Owner, Contractor may furnish receipts or releases in full and an affidavit of Contractor that: (a) the releases and receipts include all labor, services, material, and equipment for which a Lien could be filed; and (b) all payrolls, material and equipment bills, and other indebtedness connected with the Work for which Owner might in any way be responsible, or which might in any way result in liens or other burdens on Owner's property, have been paid or otherwise satisfied. If any Subcontractor or Supplier fails to furnish such a release or receipt in full, Contractor may furnish a bond or other collateral satisfactory to Owner to indemnify Owner against any Lien, or Owner at its option may issue joint checks payable to Contractor and specified Subcontractors and Suppliers.

**B. *Engineer's Review of Application and Acceptance:***

1. If, on the basis of Engineer's observation of the Work during construction and final inspection, and Engineer's review of the final Application for Payment and accompanying documentation as required by the Contract Documents, Engineer is satisfied that the Work has been completed and Contractor's other obligations under the Contract have been fulfilled, Engineer will, within ten days after receipt of the final Application for Payment, indicate in writing Engineer's recommendation of final payment and present the Application for Payment to Owner for payment. Such recommendation shall account for any set-offs against payment that are necessary in Engineer's opinion to protect Owner from loss for the reasons stated above with respect to progress payments. At the same time Engineer will also give written notice to Owner and Contractor that the Work is acceptable, subject to the provisions of Paragraph 15.07. Otherwise, Engineer will return the Application for Payment to Contractor, indicating in writing the reasons for refusing to recommend final payment, in which case Contractor shall make the necessary corrections and resubmit the Application for Payment.

- C. *Completion of Work:* The Work is complete (subject to surviving obligations) when it is ready for final payment as established by the Engineer's written recommendation of final payment.
- D. *Payment Becomes Due:* Thirty days after the presentation to Owner of the final Application for Payment and accompanying documentation, the amount recommended by Engineer (less any further sum Owner is entitled to set off against Engineer's recommendation,

including but not limited to set-offs for liquidated damages and set-offs allowed under the provisions above with respect to progress payments) will become due and shall be paid by Owner to Contractor.

#### 15.07 *Waiver of Claims*

- A. The making of final payment will not constitute a waiver by Owner of claims or rights against Contractor. Owner expressly reserves claims and rights arising from unsettled Liens, from defective Work appearing after final inspection pursuant to Paragraph 15.05, from Contractor's failure to comply with the Contract Documents or the terms of any special guarantees specified therein, from outstanding Claims by Owner, or from Contractor's continuing obligations under the Contract Documents.
- B. The acceptance of final payment by Contractor will constitute a waiver by Contractor of all claims and rights against Owner other than those pending matters that have been duly submitted or appealed under the provisions of Article 17.

#### 15.08 *Correction Period*

- A. If within one year after the date of Substantial Completion (or such longer period of time as may be prescribed by the terms of any applicable special guarantee required by the Contract Documents, or by any specific provision of the Contract Documents), any Work is found to be defective, or if the repair of any damages to the Site, adjacent areas that Contractor has arranged to use through construction easements or otherwise, and other adjacent areas used by Contractor as permitted by Laws and Regulations, is found to be defective, then Contractor shall promptly, without cost to Owner and in accordance with Owner's written instructions:
  - 1. correct the defective repairs to the Site or such other adjacent areas;
  - 2. correct such defective Work;
  - 3. if the defective Work has been rejected by Owner, remove it from the Project and replace it with Work that is not defective, and
  - 4. satisfactorily correct or repair or remove and replace any damage to other Work, to the work of others, or to other land or areas resulting therefrom.
- B. If Contractor does not promptly comply with the terms of Owner's written instructions, or in an emergency where delay would cause serious risk of loss or damage, Owner may have the defective Work corrected or repaired or may have the rejected Work removed and replaced. Contractor shall pay all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such correction or repair or such removal and replacement (including but not limited to all costs of repair or replacement of work of others).
- C. In special circumstances where a particular item of equipment is placed in continuous service before Substantial Completion of all the Work, the correction period for that item may start to run from an earlier date if so provided in the Specifications.
- D. Where defective Work (and damage to other Work resulting therefrom) has been corrected or removed and replaced under this paragraph, the correction period hereunder with respect to such Work will be extended for an additional period of one year after such correction or removal and replacement has been satisfactorily completed.

- E. Contractor's obligations under this paragraph are in addition to all other obligations and warranties. The provisions of this paragraph shall not be construed as a substitute for, or a waiver of, the provisions of any applicable statute of limitation or repose.

## **ARTICLE 16 – SUSPENSION OF WORK AND TERMINATION**

### **16.01 *Owner May Suspend Work***

- A. At any time and without cause, Owner may suspend the Work or any portion thereof for a period of not more than 90 consecutive days by written notice to Contractor and Engineer. Such notice will fix the date on which Work will be resumed. Contractor shall resume the Work on the date so fixed. Contractor shall be entitled to an adjustment in the Contract Price or an extension of the Contract Times, or both, directly attributable to any such suspension. Any Change Proposal seeking such adjustments shall be submitted no later than 30 days after the date fixed for resumption of Work.

### **16.02 *Owner May Terminate for Cause***

- A. The occurrence of any one or more of the following events will constitute a default by Contractor and justify termination for cause:
  - 1. Contractor's persistent failure to perform the Work in accordance with the Contract Documents (including, but not limited to, failure to supply sufficient skilled workers or suitable materials or equipment or failure to adhere to the Progress Schedule);
  - 2. Failure of Contractor to perform or otherwise to comply with a material term of the Contract Documents;
  - 3. Contractor's disregard of Laws or Regulations of any public body having jurisdiction; or
  - 4. Contractor's repeated disregard of the authority of Owner or Engineer.
- B. If one or more of the events identified in Paragraph 16.02.A occurs, then after giving Contractor (and any surety) ten days written notice that Owner is considering a declaration that Contractor is in default and termination of the contract, Owner may proceed to:
  - 1. declare Contractor to be in default, and give Contractor (and any surety) notice that the Contract is terminated; and
  - 2. enforce the rights available to Owner under any applicable performance bond.
- C. Subject to the terms and operation of any applicable performance bond, if Owner has terminated the Contract for cause, Owner may exclude Contractor from the Site, take possession of the Work, incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere, and complete the Work as Owner may deem expedient.
- D. Owner may not proceed with termination of the Contract under Paragraph 16.02.B if Contractor within seven days of receipt of notice of intent to terminate begins to correct its failure to perform and proceeds diligently to cure such failure.
- E. If Owner proceeds as provided in Paragraph 16.02.B, Contractor shall not be entitled to receive any further payment until the Work is completed. If the unpaid balance of the Contract Price exceeds the cost to complete the Work, including all related claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals) sustained by Owner, such excess will be paid to Contractor. If the cost to complete the Work including such related claims, costs, losses,

and damages exceeds such unpaid balance, Contractor shall pay the difference to Owner. Such claims, costs, losses, and damages incurred by Owner will be reviewed by Engineer as to their reasonableness and, when so approved by Engineer, incorporated in a Change Order. When exercising any rights or remedies under this paragraph, Owner shall not be required to obtain the lowest price for the Work performed.

- F. Where Contractor's services have been so terminated by Owner, the termination will not affect any rights or remedies of Owner against Contractor then existing or which may thereafter accrue, or any rights or remedies of Owner against Contractor or any surety under any payment bond or performance bond. Any retention or payment of money due Contractor by Owner will not release Contractor from liability.
- G. If and to the extent that Contractor has provided a performance bond under the provisions of Paragraph 6.01.A, the provisions of that bond shall govern over any inconsistent provisions of Paragraphs 16.02.B and 16.02.D.

#### 16.03 *Owner May Terminate For Convenience*

- A. Upon seven days written notice to Contractor and Engineer, Owner may, without cause and without prejudice to any other right or remedy of Owner, terminate the Contract. In such case, Contractor shall be paid for (without duplication of any items):
  - 1. completed and acceptable Work executed in accordance with the Contract Documents prior to the effective date of termination, including fair and reasonable sums for overhead and profit on such Work;
  - 2. expenses sustained prior to the effective date of termination in performing services and furnishing labor, materials, or equipment as required by the Contract Documents in connection with uncompleted Work, plus fair and reasonable sums for overhead and profit on such expenses; and
  - 3. other reasonable expenses directly attributable to termination, including costs incurred to prepare a termination for convenience cost proposal.
- B. Contractor shall not be paid on account of loss of anticipated overhead, profits, or revenue, or other economic loss arising out of or resulting from such termination.

#### 16.04 *Contractor May Stop Work or Terminate*

- A. If, through no act or fault of Contractor, (1) the Work is suspended for more than 90 consecutive days by Owner or under an order of court or other public authority, or (2) Engineer fails to act on any Application for Payment within 30 days after it is submitted, or (3) Owner fails for 30 days to pay Contractor any sum finally determined to be due, then Contractor may, upon seven days written notice to Owner and Engineer, and provided Owner or Engineer do not remedy such suspension or failure within that time, terminate the contract and recover from Owner payment on the same terms as provided in Paragraph 16.03.
- B. In lieu of terminating the Contract and without prejudice to any other right or remedy, if Engineer has failed to act on an Application for Payment within 30 days after it is submitted, or Owner has failed for 30 days to pay Contractor any sum finally determined to be due, Contractor may, seven days after written notice to Owner and Engineer, stop the Work until payment is made of all such amounts due Contractor, including interest thereon. The provisions of this paragraph are not intended to preclude Contractor from submitting a Change Proposal for an adjustment in Contract Price or Contract Times or otherwise for

expenses or damage directly attributable to Contractor's stopping the Work as permitted by this paragraph.

## **ARTICLE 17 – FINAL RESOLUTION OF DISPUTES**

### **17.01 *Methods and Procedures***

- A. *Disputes Subject to Final Resolution:* The following disputed matters are subject to final resolution under the provisions of this Article:
  - 1. A timely appeal of an approval in part and denial in part of a Claim, or of a denial in full; and
  - 2. Disputes between Owner and Contractor concerning the Work or obligations under the Contract Documents, and arising after final payment has been made.
- B. *Final Resolution of Disputes:* For any dispute subject to resolution under this Article, Owner or Contractor may:
  - 1. elect in writing to invoke the dispute resolution process provided for in the Supplementary Conditions; or
  - 2. agree with the other party to submit the dispute to another dispute resolution process; or
  - 3. if no dispute resolution process is provided for in the Supplementary Conditions or mutually agreed to, give written notice to the other party of the intent to submit the dispute to a court of competent jurisdiction.

## **ARTICLE 18 – MISCELLANEOUS**

### **18.01 *Giving Notice***

- A. Whenever any provision of the Contract Documents requires the giving of written notice, it will be deemed to have been validly given if:
  - 1. delivered in person, by a commercial courier service or otherwise, to the individual or to a member of the firm or to an officer of the corporation for which it is intended; or
  - 2. delivered at or sent by registered or certified mail, postage prepaid, to the last business address known to the sender of the notice.

### **18.02 *Computation of Times***

- A. When any period of time is referred to in the Contract by days, it will be computed to exclude the first and include the last day of such period. If the last day of any such period falls on a Saturday or Sunday or on a day made a legal holiday by the law of the applicable jurisdiction, such day will be omitted from the computation.

### **18.03 *Cumulative Remedies***

- A. The duties and obligations imposed by these General Conditions and the rights and remedies available hereunder to the parties hereto are in addition to, and are not to be construed in any way as a limitation of, any rights and remedies available to any or all of them which are otherwise imposed or available by Laws or Regulations, by special warranty or guarantee, or by other provisions of the Contract. The provisions of this paragraph will be as effective as if repeated specifically in the Contract Documents in connection with each particular duty, obligation, right, and remedy to which they apply.

18.04 *Limitation of Damages*

- A. With respect to any and all Change Proposals, Claims, disputes subject to final resolution, and other matters at issue, neither Owner nor Engineer, nor any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, shall be liable to Contractor for any claims, costs, losses, or damages sustained by Contractor on or in connection with any other project or anticipated project.

18.05 *No Waiver*

- A. A party's non-enforcement of any provision shall not constitute a waiver of that provision, nor shall it affect the enforceability of that provision or of the remainder of this Contract.

18.06 *Survival of Obligations*

- A. All representations, indemnifications, warranties, and guarantees made in, required by, or given in accordance with the Contract, as well as all continuing obligations indicated in the Contract, will survive final payment, completion, and acceptance of the Work or termination or completion of the Contract or termination of the services of Contractor.

18.07 *Controlling Law*

- A. This Contract is to be governed by the law of the state in which the Project is located.

18.08 *Headings*

- A. Article and paragraph headings are inserted for convenience only and do not constitute parts of these General Conditions.

## SECTION 00800

### SUPPLEMENTARY CONDITIONS

#### PART 1 AMENDMENTS TO GENERAL CONDITIONS

These Supplementary Conditions amend or supplement the Standard General Conditions of the Construction Contract (EJCDC C-700, 2013 Edition) and other provisions of the Contract Documents as indicated below. All provisions which are not so amended or supplemented remain in full force and effect.

The terms used in these Supplementary Conditions have the meanings indicated in the General Conditions. Additional terms used in these Supplementary Conditions have the meanings indicated below, which are applicable to both the singular and plural thereof.

The address system used in the Supplementary Conditions is the same as the address system used in the General Conditions, with the prefix “SC” added thereto.

#### ARTICLE 1 – DEFINITIONS AND TERMINOLOGY

SC-1.01 Delete paragraph 1.01A.38 in its entirety and insert the following in its place:

1.01A.38. Specifications – Sections included under Division 1 through Division 16 of the Project Manual.

#### ARTICLE 2 – PRELIMINARY MATTERS

SC-2.02 Delete paragraph 2.02A in its entirety.

#### ARTICLE 3 –DOCUMENTS: INTENT, REQUIREMENTS, REUSE

SC-3.01 Replace paragraph 3.01E with the following paragraph:

3.01E In the event of conflicts, inconsistencies or discrepancies among the Contract Documents, to the extent applicable, the better quality or greater quantity of work shall be provided without change to the Contract Price. In the event of such conflicts, inconsistencies or discrepancies which do not relate to the quality or quantity of work, the Contractor shall request clarifications or interpretations from the Engineer as provided herein.

SC-3.01 Add the following new paragraph immediately after paragraph 3.01E:

3.01F Each and every provision of law and clause required by law to be inserted in these Contract Documents shall be deemed to be inserted herein, and they shall be read and enforced as though it were included herein, and if through mistake or otherwise, any such provision is not inserted, or if not correctly inserted, then upon the application of either party, the Contract Documents shall forthwith be physically amended to make such insertion.



#### ARTICLE 4 – COMMENCEMENT AND PROGRESS OF THE WORK

SC-4.01 Delete paragraph 4.01A in its entirety and insert the following in its place:

4.01A The Contract Times will commence to run on the date specified in the Notice to Proceed.

#### ARTICLE 5 – AVAILABILITY OF LANDS; SUBSURFACE AND PHYSICAL CONDITIONS; HAZARDOUS ENVIRONMENTAL CONDITIONS

SC-5.03 Add the following new paragraphs immediately after paragraph 5.03B.3:

5.03C In the preparation of Drawings and Specifications, Engineer has relied upon the data obtained from tests of subsurface and latent physical conditions of the site. Such data is in the form of boring logs which are included in the Project Manual. The approximate location of the test borings are shown on the Drawings. Such logs and samples are not part of the Contract Documents.

5.03C.1 The subsurface data are not guaranteed as to accuracy or completeness.

5.03C.2 Bidders are cautioned that the subsurface data have been utilized for general design purposes only. No explicit or implicit representation is made as to the nature of the materials which may be encountered below the surface of the ground.

5.03C.3 The making available of this subsurface data to Bidders is not intended to relieve them from their responsibility to familiarize themselves with subsurface and other site conditions.

#### ARTICLE 6 - BONDS AND INSURANCE

SC-6.03 Add the following new paragraph immediately after paragraph 6.03B.3:

6.03B.4 Insurance certificate(s) shall also contain the following:

1. Confirmation that the General Liability policy covers only the Work under this Contract, with project specific limits.
2. Confirmation that automobile insurance covers all Scheduled, Hired and Non-Owned vehicles.
3. Names of all additional insureds as specified herein.

SC-6.03 Add the words “and Paragraph 6.04” after the words “Paragraph 6.03” in Paragraph 6.03I.

SC 6.03 Add the following new paragraph immediately after Paragraph 6.03.J:

6.03.K The limits of liability for the insurance required by Paragraph 6.03 of the General Conditions shall be in accordance with the limits shown on the document entitled “Town of Vernon Insurance Requirements” attached to the end of this section.

- SC -6.05 Delete Section 6.05 in its entirety and insert the following in its place:  
6.05 Not used.

#### ARTICLE 7 - CONTRACTOR'S RESPONSIBILITIES

- SC-7.02 Add the following new paragraph immediately after paragraph 7.02B.

7.02C Whenever Owner shall notify Contractor in writing that any person on the Work appears to be incompetent, disorderly, or otherwise unsatisfactory, such person shall be removed from the Project and shall not again be employed on it except with the consent of Owner.

- SC-7.07 Delete paragraph 7.07B in its entirety and replace it with the following:

7.07B Not used.

- SC-7.08 Delete the word "Owner" in the last sentence of Paragraph 7.08A and replace with the word "Contractor."

- SC-7.09 Add the following sentence at the end of paragraph 7.09.A.

All materials provided under this Contract are exempt from the Sales and Use Taxes of the State of Connecticut. The tax exemption number will be provided to the Contractor.

- SC-7.18 Add the following new paragraph immediately after paragraph 7.18.C.

7.18D If, through acts of neglect on the part of Contractor, any other Contractor or any Subcontractor shall suffer loss or damage on the Work, Contractor shall settle with such other Contractor or Subcontractor by agreement or arbitration if such other Contractor or Subcontractor will so settle. If such other Contractor or Subcontractor shall assert any claim against Owner on account of any such damage alleged to have been sustained, Owner shall notify Contractor, who shall indemnify, defend, and save harmless Owner against any such claim.

#### ARTICLE 9 – OWNER'S RESPONSIBILITIES

- SC-9.02 Eliminate "provided Contractor makes no reasonable objection to the replacement engineer" from the first sentence.

#### ARTICLE 10 – ENGINEER'S STATUS DURING CONSTRUCTION

- SC-10.03 Add the following new paragraphs immediately after Paragraph 10.03.A:

B. The Resident Project Representative (RPR) will be Engineer's representative at the Site, will act as directed by and under the supervision of Engineer, and will confer with Engineer regarding RPR's actions.

1. RPR's dealings in matters pertaining to the Work in general shall be with Engineer and Contractor. RPR's dealings with Subcontractors shall only be through or with the full knowledge and approval of Contractor.

C. The RPR shall not:

1. Authorize any deviation from the Contract Documents or substitution of materials or equipment (including “or-equal” items).
2. Exceed limitations of Engineer’s authority as set forth in the Contract Documents.
3. Undertake any of the responsibilities of Contractor, Subcontractors, or Suppliers.
4. Advise on, issue directions relative to, or assume control over any aspect of the means, methods, techniques, sequences or procedures of Contractor’s work.
5. Advise on, issue directions regarding, or assume control over security or safety practices, precautions, and programs in connection with the activities or operations of Owner or Contractor.
6. Participate in specialized field or laboratory tests or inspections conducted off-site by others except as specifically authorized by Engineer.
7. Accept Shop Drawing or Sample submittals from anyone other than Contractor.
8. Authorize Owner to occupy the Project in whole or in part.

ARTICLE 11 – AMENDING THE CONTRACT DOCUMENTS; CHANGES IN THE WORK

SC-11.06 Insert the following sentence at the end of Paragraph 11.06.A.2:

If Engineer does not take action on the Change Proposal and neither Owner nor Contractor submit a letter to the other party indicating that the Change Proposal is deemed denied, then the Change Proposal shall be deemed denied after 60 days of Engineer’s receipt of the Contractor’s supporting data, thereby commencing the time for appeal of the denial under Article 12.

ARTICLE 13 - COST OF THE WORK; ALLOWANCES; UNIT PRICE WORK

SC-13.01 Delete the word “superintendents,” in the second sentence after the word “limitation,” in paragraph 13.01B.1.

SC-13.01 Delete paragraph 13.01B.5.c in its entirety and replace with the following:

13.01B.5.c The fair rental and operating cost of all machinery and equipment used on the extra work for the period of such use. The fair rental and operating cost for all machinery and equipment shall be based upon the most recent edition of “Rental Rate Bluebook for Construction Equipment” (the “Bluebook”), published by Equipment Watch (equipmentwatch.com), or a similar publication approved by Engineer and adjusted for regional and age adjustments as specified in the “Bluebook.” Rental periods corresponding to the overall period of use shall be used, except if a piece of equipment

used on extra work is already on the job, or has previously been rented for a long period of time (months), then the long-term rental rate (monthly) shall be used in determining costs. The hourly rental rate for long-term rental equipment will be determined by the monthly rental rate divided by 176.

For the situation where equipment is on the job and available for use but cannot be used due to a delay or suspension of a portion or all of the Contract activities, a rental standby rate may be paid if the Contractor can conclusively demonstrate to the satisfaction of the Engineer that: (1) the equipment cannot be used elsewhere on the Project or demobilized and remobilized at a cost lower than the cost of standby time, (2) that the equipment cannot be put in use due to factors beyond the Contractor's control, and (3) the equipment on standby would have been used as part of the Work that is suspended or put on hold. The standby rate will be calculated as no more than 50% of the rental rate as listed in the "Bluebook" and adjusted for regional and age adjustments. Lesser standby rates may apply if the Owner or Engineer can demonstrate that the Contractor's standby cost is less than this rate. The standby rate will not include operating costs. A standby rate will not be paid for equipment which is being employed for portions of the Work which are still underway. A standby rate will also not be paid for equipment which is readily demobilized including construction equipment categorized as "shop tools" or "miscellaneous" in the "Bluebook." Standby rates for durations of less than four hours will not be considered.

SC-13.01 Insert in the first sentence after the word "architects," the word "superintendents," in paragraph 13.01C.1

SC-13.01 Add the following new paragraph immediately after paragraph 13.01C.5:

13.01C.6 Costs of or rental of small tools; costs of or rental of buildings.  
13.02C Not used.

SC-13.03 Delete Paragraph 13.03B in its entirety and replace it with the following:

13.03B Since subject to change upon determination of actual quantities, estimated quantities of items of Unit Price Work are not guaranteed and serve to facilitate comparison of Bids and to determine an initial Contract Price. Payments to Contractor for Unit Price Work will be based on actual quantities.

#### ARTICLE 14 - TESTS AND INSPECTIONS; CORRECTION, REMOVAL OR ACCEPTANCE OF DEFECTIVE WORK

SC-14.02 Insert after the word "notice" the words "(minimum 24 hours)" in paragraph 14.02A.

SC-14.03 Delete paragraph 14.03B in its entirety and replace with the following:

14.03B *Engineer's Authority:* At any time during the progress of the Work, Engineer shall have the authority to determine whether Work is defective, and reject defective Work, even though such work has been previously inspected and paid for.

SC-14.06 Add the following new paragraph immediately after paragraph 14.06A.

14.06B If Owner stops work under Paragraph 14.06, Contractor shall not be entitled to an extension of Contract Time nor to an increase in Contract Price.

ARTICLE 15 - PAYMENTS TO CONTRACTOR; SET-OFFS; COMPLETION; CORRECTION PERIOD

SC-15.01 Delete the first sentence of paragraph 15.01B.1 and replace with the following:

15.01B.1 Engineer will, once in each month, make an estimate in writing of the total value of the work completed as of the date of the Application. Engineer shall review the Application with Contractor, and Contractor shall sign the Application.

SC-15.01 Insert the following sentence at the end of paragraph 15.01B.1:

The Certificate of Insurance for stored materials must list Tighe & Bond and the Town of Vernon as additional insureds.

SC-15.01 Delete paragraph 15.01D.1 in its entirety and insert the following in its place:

15.01D.1 Thirty days after presentation of the Application for Payment to Owner with Engineer's recommendation, the amount recommended (subject to any Owner set-offs) will become due, and when due will be paid by Owner to Contractor.

SC-15.03 Delete the second sentence in Paragraph 15.03A in its entirety.

SC-15.03 Delete paragraph 15.03C in its entirety and insert the following in its place:

15.03C If, after consultation with Owner, Engineer considers and the Owner agrees that the Work is substantially complete, Engineer will prepare and deliver to Contractor, in a form approved by Owner, a Certificate of Substantial Completion which shall fix the date of Substantial Completion. There shall be included with the certificate a list of items to be completed or corrected before final payment.

SC-15.03 Delete the word "preliminary" from paragraph 15.03D.

SC-15.04 Add the following new paragraph immediately after paragraph 15.04A.3:

15.04A.4 Owner may at any time request Contractor in writing to permit Owner to take over operation of any part of the Work although it is not substantially complete. A copy of such request will be sent to Engineer, and within a reasonable time thereafter Owner, Contractor, and Engineer shall make an inspection of that part of the Work to determine its status of completion and will prepare a list of the items remaining to be completed or corrected thereon before final payment. If Contractor does not object in writing to Owner and Engineer that such part of the Work is not ready for separate operation by Owner, Engineer will finalize the list of items to be completed or corrected and will deliver such lists to Owner and Contractor together with a written recommendation as to the division of responsibilities pending final payment between Owner and Contractor with respect to security, operation, safety, maintenance, utilities, insurance, warranties, and guarantees for that part of the Work which will become binding upon Owner and Contractor at the time when Owner takes over such operation (unless they shall have otherwise agreed in writing and so informed Engineer). During such operation and prior to Substantial Completion of such part of the Work, Owner shall allow Contractor reasonable access to complete or correct items on said list and to complete other related Work.

Paragraph 15.04.A.4 shall be renumbered to 15.04.A.5

SC-15.06 Delete paragraph 15.06.D in its entirety and insert the following in its place:

- D. *Payment Becomes Due:* Thirty days after the presentation to Owner of the final Application for Payment and accompanying documentation, or other time period in accordance with applicable laws and regulations, the amount recommended by Engineer (less any further sum Owner is entitled to set off against Engineer's recommendation, including but not limited to set-offs for liquidated damages and set-offs allowed under the provisions above with respect to progress payments) will become due and shall be paid by Owner to Contractor.

#### ARTICLE 16 - SUSPENSION OF WORK AND TERMINATION

SC- 16.02 Add the following new paragraph immediately after paragraph 16.02.A.4:

- 16.02.A.5 If Contractor abandons the Work, or sublets this Contract or any part thereof, without the previous written consent of Owner, or if the Contract or any claim thereunder shall be assigned by Contractor otherwise than as herein specified.

#### ARTICLE 17 – FINAL RESOLUTION OF DISPUTES

SC-17.02 Add the following paragraph after paragraph 17.01:

##### 17.02 Venue

- A. Any suit by either party arising under this Contract shall be brought only in the Superior Court in the county where the Project is located. The parties hereto waive any argument that this venue is improper or that the forum is inconvenient.

#### ARTICLE 18 - MISCELLANEOUS

SC-18.08 Add the following new paragraphs immediately after paragraph 18.08.

##### 18.09 Wage Rates

- A. The requirements and provisions of all applicable laws and any amendments thereof or additions thereto as to the employment of labor, and to the schedule of minimum wage rates established in compliance with laws shall be a part of these Contract Documents. Copies of the wage schedules are included in Part II of these Supplementary Conditions. If it becomes necessary to employ any person in a trade or occupation not classified in the wage determinations, such person shall be paid at not less than such rates as shall be determined by the officials administering the laws mentioned above. Such approved minimum rate shall be retroactive to the time of the initial employment of such person in such trade or occupation.
- B. The schedules of wages referred to above are minimum rates only, and Owner will not consider any claims for additional compensation made by Contractor because of payment by Contractor of any wage rate in excess of the applicable rate contained in these Contract Documents. All disputes in regard to the payment of wages in excess of those specified in the schedules shall be resolved by Contractor.
- C. The said schedules of wages shall continue to be the minimum rates to be paid during the life of this Agreement and a legible copy of said schedules shall be kept posted in a conspicuous place at the site of the work.

**Town of Vernon Insurance Requirements  
Sewer Line Construction/Rehabilitation Project**

Contractor shall agree to maintain in force at all times during the contract the following minimum coverages and shall name the Town of Vernon as an Additional Insured on a primary and non-contributory basis to all policies except Workers Compensation and Professional Liability. All policies shall include a Waiver of Subrogation. Insurance shall be written with Carriers approved in the State of Connecticut and with a minimum AM Best's Rating of "A-" VIII. In addition, all Carriers are subject to approval by the Town of Vernon.

		(Minimum Limits)
General Liability	Each Occurrence	\$1,000,000
	General Aggregate	\$2,000,000
	Products/Completed Operations Aggregate	\$2,000,000
Auto Liability	Combined Single Limit	
	Each Accident	\$1,000,000
Umbrella (Excess Liability)	Each Occurrence	\$3,000,000
	Aggregate	\$3,000,000
Pollution Liability	Each Incident	\$1,000,000
	Aggregate	\$1,000,000
Professional Liability	Each Wrongful Act	\$1,000,000
	Aggregate	\$1,000,000

If any policy is written on a "Claims Made" basis, the policy must be continually renewed for a minimum of two (2) years from the completion date of this contract. If the policy is replaced and/or the retroactive date is changed, then the expiring policy must be endorsed to extend the reporting period for claims for the policy in effect during the contract for two (2) years from the completion date.

Workers' Compensation and Employers' Liability	WC Statutory Limits	
	EL Each Accident	\$1,000,000
	EL Disease Each Employee	\$1,000,000
	EL Disease Policy Limit	\$1,000,000

Original, completed Certificates of Insurance must be presented to the Town of Vernon prior to contract issuance. Contractor **agrees** to provide replacement/renewal certificates at least 30 days prior to the expiration date of the policies.

END OF SECTION

## **ATTACHMENTS TO SUPPLEMENTARY CONDITIONS**



**ATTACHMENT A**  
**CONNECTICUT STATE WAGE RATES**

ID#: 23-45532 Connecticut Department of Labor  
Wage and Workplace Standards

By virtue of the authority vested in the Labor Commissioner under provisions of Section 31-53 of the General Statutes of Connecticut, as amended, the following are declared to be the prevailing rates and welfare payments and will apply only where the contract is advertised for bid within 20 days of the date on which the rates are established. Any contractor or subcontractor not obligated by agreement to pay to the welfare and pension fund shall pay this amount to each employee as part of his/her hourly wages.

Project Number: \_\_\_\_\_ Project Town: Vernon  
State#: \_\_\_\_\_ FAP#: \_\_\_\_\_  
Project: Exit 66 Sewer Extension

CLASSIFICATION	Hourly Rate	Benefits
1) Boilermaker	44.46	28.51
1a) Bricklayer, Cement Masons, Cement Finishers, Plasterers, Stone Masons	39.92	34.47
2) Carpenters, Piledrivermen	36.07	26.15
2a) Diver Tenders	36.07	26.15
3) Divers	44.53	26.15
03a) Millwrights	37.02	27.66
4) Painters: (Bridge Construction) Brush, Roller, Blasting (Sand, Water, etc.), Spray	55.0	23.75
4a) Painters: Brush and Roller	37.22	23.40
4b) Painters: Spray Only	40.22	23.40

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4c) Painters: Steel Only	39.22	23.40
4d) Painters: Blast and Spray	40.22	23.40
4e) Painters: Tanks, Tower and Swing	39.22	23.40
4f) Elevated Tanks (60 feet and above)	46.22	23.40
5) Electrician (Trade License required: E-1,2 L-5,6 C-5,6 T-1,2 L-1,2 V-1,2,7,8,9)	41.75	31.47+3% of gross wage
6) Ironworkers: Ornamental, Reinforcing, Structural, and Precast Concrete Erection	39.7	38.77 + a
7) Plumbers (Trade License required: (P-1,2,6,7,8,9 J-1,2,3,4 SP-1,2) and Pipefitters (Including HVAC Work) (Trade License required: S-1,2,3,4,5,6,7,8 B-1,2,3,4 D-1,2,3,4 G-1, G-2, G-8, G-9)	47.03	34.05
----LABORERS----		
8) Group 1: Laborer (Unskilled), Common or General, acetylene burner, concrete specialist	32.0	24.40
9) Group 2: Chain saw operators, fence and guard rail erectors, pneumatic tool operators, powdermen	32.25	24.40
10) Group 3: Pipelayers	32.5	24.40
11) Group 4: Jackhammer/Pavement breaker (handheld); mason tenders (cement/concrete), catch basin builders, asphalt rakers, air track operators, block paver, curb setter and forklift operators	32.5	24.40

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12) Group 5: Toxic waste removal (non-mechanical systems)	34.0	24.40
13) Group 6: Blasters	33.75	24.40
Group 7: Asbestos/lead removal, non-mechanical systems (does not include leaded joint pipe)	33.0	24.40
Group 8: Traffic control signalmen	18.0	24.40
Group 9: Hydraulic Drills	32.75	24.40
----LABORERS (TUNNEL CONSTRUCTION, FREE AIR). Shield Drive and Liner Plate Tunnels in Free Air.----		
13a) Miners, Motormen, Mucking Machine Operators, Nozzle Men, Grout Men, Shaft & Tunnel Steel & Rodmen, Shield & Erector, Arm Operator, Cable Tenders	34.23	24.40 + a
13b) Brakemen, Trackmen, Miners' Helpers and all other men	33.26	24.40 + a
----CLEANING, CONCRETE AND CAULKING TUNNEL----		
14) Concrete Workers, Form Movers, and Strippers	33.26	24.40 + a
15) Form Erectors	33.59	24.40 + a
----ROCK SHAFT LINING, CONCRETE, LINING OF SAME AND TUNNEL IN FREE AIR:----		
16) Brakemen, Trackmen, Tunnel Laborers, Shaft Laborers, Miners Helpers	33.26	24.40 + a
17) Laborers Topside, Cage Tenders, Bellman	33.15	24.40 + a

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18) Miners	34.23	24.40 + a
----TUNNELS, CAISSON AND CYLINDER WORK IN COMPRESSED AIR: ----		
18a) Blaster	40.72	24.40 + a
19) Brakemen, Trackmen, Groutman, Laborers, Outside Lock Tender, Gauge Tenders	40.52	24.40 + a
20) Change House Attendants, Powder Watchmen, Top on Iron Bolts	38.54	24.40 + a
21) Mucking Machine Operator, Grout Boss, Track Boss	41.31	24.40 + a
----TRUCK DRIVERS----(*see note below)		
Two Axle Trucks, Helpers	31.16	28.78 + a
Three Axle Trucks; Two Axle Ready Mix	31.27	28.78 + a
Three Axle Ready Mix	31.33	28.78 + a
Four Axle Trucks	31.39	28.78 + a
Four Axle Ready-Mix	31.44	28.78 + a
Heavy Duty Trailer (40 tons and over)	33.66	28.78 + a
Specialized earth moving equipment other than conventional type on-the road trucks and semi-trailer (including Euclids)	31.44	28.78 + a

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Heavy Duty Trailer (up to 40 tons)	32.39	28.78 + a
Snorkle Truck	31.54	28.78 + a
----POWER EQUIPMENT OPERATORS----		
Group 1: Crane Handling or Erecting Structural Steel or Stone, Hoisting Engineer (2 drums or over). (Trade License Required)	50.27	26.80 + a
Group 1a: Front End Loader (7 cubic yards or over); Work Boat 26 ft. and over.	46.07	26.80 + a
Group 2: Cranes (100 ton rate capacity and over); Bauer Drill/Caisson. (Trade License Required)	49.91	26.80 + a
Group 2a: Cranes (under 100 ton rated capacity).	49.06	26.80 + a
Group 2b: Excavator over 2 cubic yards; Pile Driver (\$3.00 premium when operator controls hammer).	45.71	26.80 + a
Group 3: Excavator; Gradall; Master Mechanic; Hoisting Engineer (all types of equipment where a drum and cable are used to hoist or drag material regardless of motive power of operation), Rubber Tire Excavator (Drott-1085 or similar); Grader Operator; Bulldozer Fine Grade (slopes, shaping, laser or GPS, etc.). (Trade License Required)	44.86	26.80 + a
Group 4: Trenching Machines; Lighter Derrick; CMI Machine or Similar; Koehring Loader (Skooper).	44.42	26.80 + a
Group 5: Specialty Railroad Equipment; Asphalt Paver; Asphalt Spreader; Asphalt Reclaiming Machine; Line Grinder; Concrete Pumps; Drills with Self Contained Power Units; Boring Machine; Post Hole Digger; Auger; Pounder; Well Digger; Milling Machine (over 24" mandrel)	43.73	26.80 + a

Group 5 continued: Side Boom; Combination Hoe and Loader; Directional Driller.	43.73	26.80 + a
Group 6: Front End Loader (3 up to 7 cubic yards); Bulldozer (rough grade dozer).	43.38	26.80 + a
Group 7: Asphalt Roller; Concrete Saws and Cutters (ride on types); Vermeer Concrete Cutter; Stump Grinder; Scraper; Snooper; Skidder; Milling Machine (24" and under Mandrel)	42.99	26.80 + a
Group 8: Mechanic, Grease Truck Operator, Hydroblaster, Barrier Mover, Power Stone Spreader; Welder; Work Boat under 26 ft.; Transfer Machine.	42.54	26.80 + a
Group 9: Front End Loader (under 3 cubic yards), Skid Steer Loader regardless of attachments (Bobcat or Similar); Fork Lift, Power Chipper; Landscape Equipment (including hydroseeder), Vacuum Excavation Truck and Hydrovac Excavation Truck (27 HG pressure or greater).	42.04	26.80 + a
Group 10: Vibratory Hammer, Ice Machine, Diesel and Air Hammer, etc.	39.7	26.80 + a
Group 11: Conveyor, Earth Roller; Power Pavement Breaker (whiphammer), Robot Demolition Equipment.	39.7	26.80 + a
Group 12: Wellpoint Operator.	39.63	26.80 + a
Group 13: Compressor Battery Operator.	38.97	26.80 + a
Group 14: Elevator Operator; Tow Motor Operator (Solid Tire No Rough Terrain).	37.66	26.80 + a
Group 15: Generator Operator; Compressor Operator; Pump Operator; Welding Machine Operator; Heater Operator.	37.2	26.80 + a
Group 16: Maintenance Engineer.	36.46	26.80 + a

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Group 17: Portable Asphalt Plant Operator; Portable Crusher Plant Operator; Portable Concrete Plant Operator., Portable Grout Plant Operator, Portable Water Filtration Plant Operator.	41.39	26.80 + a
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Group 18: Power Safety Boat; Vacuum Truck; Zim Mixer; Sweeper; (minimum for any job requiring CDL license).	38.61	26.80 + a
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**\*\*NOTE: SEE BELOW**

----LINE CONSTRUCTION----(Railroad Construction and Maintenance)----

20) Lineman, Cable Splicer, Technician	48.19	6.5% + 22.00
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21) Heavy Equipment Operator	42.26	6.5% + 19.88
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22) Equipment Operator, Tractor Trailer Driver, Material Men	40.96	6.5% + 19.21
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23) Driver Groundmen	26.5	6.5% + 9.00
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23a) Truck Driver	40.96	6.5% + 17.76
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----LINE CONSTRUCTION----

24) Driver Groundmen	30.92	6.5% + 9.70
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25) Groundmen	22.67	6.5% + 6.20
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26) Heavy Equipment Operators	37.1	6.5% + 10.70
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27) Linemen, Cable Splicers, Dynamite Men 41.22 6.5% + 12.20

28) Material Men, Tractor Trailer Drivers, Equipment Operators 35.04 6.5% + 10.45

*Welders: Rate for craft to which welding is incidental.*

*\*Note: Hazardous waste removal work receives additional \$1.25 per hour for truck drivers.*

*\*\*Note: Hazardous waste premium \$3.00 per hour over classified rate*

Crane with 150 ft. boom (including jib) - \$1.50 extra

Crane with 200 ft. boom (including jib) - \$2.50 extra

Crane with 250 ft. boom (including jib) - \$5.00 extra

Crane with 300 ft. boom (including jib) - \$7.00 extra

Crane with 400 ft. boom (including jib) - \$10.00 extra

All classifications that indicate a percentage of the fringe benefits must be calculated at the percentage rate times the "base hourly rate".

Apprentices duly registered under the Commissioner of Labor's regulations on "Work Training Standards for Apprenticeship and Training Programs" Section 31-51-d-1 to 12, are allowed to be paid the appropriate percentage of the prevailing journeymen hourly base and the full fringe benefit rate, providing the work site ratio shall not be less than one full-time journeyman instructing and supervising the work of each apprentice in a specific trade.

~~Connecticut General Statute Section 31-55a: Annual Adjustments to wage rates by contractors doing state work  
~~

*The Prevailing wage rates applicable to this project are subject to annual adjustments each July 1st for the duration of the project.*

*Each contractor shall pay the annual adjusted prevailing wage rate that is in effect each July 1st, as posted by the Department of Labor.*

*It is the contractor's responsibility to obtain the annual adjusted prevailing wage rate increases directly from the Department of Labor's website.*

*The annual adjustments will be posted on the Department of Labor's Web page:*

*www.ct.gov/dol. For those without internet access, please contact the division listed below.*

*The Department of Labor will continue to issue the initial prevailing wage rate schedule to the Contracting Agency for the project.*

*All subsequent annual adjustments will be posted on our Web Site for contractor access.*

*Contracting Agencies are under no obligation pursuant to State labor law to pay any increase due to the annual adjustment provision.*

*Effective October 1, 2005 - Public Act 05-50: any person performing the work of any mechanic, laborer, or worker shall be paid prevailing wage*

All Person who perform work ON SITE must be paid prevailing wage for the appropriate mechanic, laborer, or worker classification.

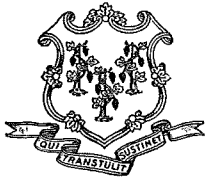
All certified payrolls must list the hours worked and wages paid to All Persons who perform work ON SITE regardless of their ownership i.e.: (Owners, Corporate Officers, LLC Members, Independent Contractors, et. al)

Reporting and payment of wages is required regardless of any contractual relationship alleged to exist between the contractor and such person.

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~~Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clause (29 CFR 5.5 (a) (1) (ii)).

Please direct any questions which you may have pertaining to classification of work and payment of prevailing wages to the Wage and Workplace Standards Division, telephone (860)263-6790.



STATE OF CONNECTICUT  
DEPARTMENT OF TRANSPORTATION

DISTRICT I  
1107 Cromwell Avenue  
Rocky Hill, CT 06067

Phone: (860) 258-4502



November 4, 2022

Ms. Lori A. Carriero  
Tighe & Bond  
1000 Bridgeport Ave. 3<sup>rd</sup> Floor  
Shelton CT 06484

Dear Ms. Carriero:

Subject: Vernon Sewer Extension  
Tunnel Rd ( Rte. 533 ) and South Frontage Road ( Rte. 541 )  
Vernon, CT

We have accepted your plans for the above- noted subject received September 19, 2022, entitled  
"TOWN OF VERNON EXIT 66 SEWER EXTENSION" with a revision date of 10/18/2022.

An encroachment permit will be issued upon receipt of the following:

1. A completed encroachment permit application needs to be submitted (State form PMT-1 Rev.10/18).  
Application from the contractor ***No work on or affecting state property is to begin until an encroachment permit is issued.***
2. A bond (State form CLA-5 Rev. 8/00) in the amount \$ 100,000.00 in the contractor's name.
3. A Certificate of Insurance requiring Bodily Injury Liability of \$1,000,000 and Aggregate of \$2,000,000.
4. A check or money order in the amount \$100.00 made payable to "Treasure – State of Connecticut"

**This approval is good for only two years from the above date.**

Please note that any resubmission may generate additional comments and concerns and in no way guarantees the issuance of an encroachment permit. An encroachment permit must be obtained prior to performing any work within or affecting the highway right of way.

If you have any questions concerning this matter, please contact Rick Pelletier at 860-258-4521.

Very truly yours,

Daniel A. DiReinzo  
Special Services Section Manager  
Bureau of Highway Operation

Rick Pelletier/cm  
cc: Town of Vernon Planning and Zoning

## SECTION 01110

## SUMMARY OF WORK

## PART 1 GENERAL

## 1.1 SUMMARY

## A. Section Includes

1. Work of the Contract is shown and described in Drawings and Project Manual entitled:

Town of Vernon  
Exit 66 Sewer Extension

Tighe & Bond, Inc.  
Consulting Engineers  
Shelton, CT

2. The Work includes the following major items:
  - a. 2,815 linear feet of new sanitary sewer main.
  - b. New precast concrete manholes.
  - c. Individual sanitary sewer laterals within the road right of way.
  - d. Bituminous concrete pavement repair.

## B. Related Requirements

1. Section 00800 - Supplementary Conditions

## 1.2 SUBMITTALS

## A. Informational Submittals

1. Submit copies of permits or approvals required for the Work, prior to initiating the Work.

## 1.3 EXISTING SYSTEM DESCRIPTION

- A. The new sewer will connect to an existing 8-inch gravity sewer main. The location of the existing sewer manhole is shown on the Drawings.

## 1.4 PROJECT/SITE CONDITIONS

## A. Permits

1. Obtain the permits and approvals listed below:
  - a. Encroachment permit from the Connecticut Department of Transportation.
2. Obtain required time extensions to permits obtained by the Contractor, if construction authorized by permits has not been completed by the expiration date noted on these permits.
3. Obtain permits and approvals from appropriate jurisdictional agencies and property owners for use of premises not furnished by the Owner, and for all off-site areas.

4. Submit copies of permits prior to performance of Work authorized by permits.

**B. Existing Conditions**

**1. Use of Premises and Off-site Work**

- a. Limits of Work are defined as the existing road right of way as shown on the Drawings.
- b. Obtain permits and approvals for use of any land and access thereto that is deemed necessary for the Work, where such land is not available for use by the Owner, including land for temporary construction facilities, access and egress, or for storage of materials. Confine apparatus and storage to such additional areas.
- c. Obtain permits and written approvals from appropriate jurisdictional agencies for the use of premises not available for use by the Owner, including all offsite staging areas, borrow pits and waste areas. Submit copies of all permits and approvals to the Owner prior to using areas.
- d. Provide for the disposal of waste materials off-site in accordance with all applicable laws.
- e. Adhere to the limits of Work and traffic control plans as indicated, to minimize obstruction to traffic and inconvenience to the Owner, general public, and residents in the vicinity of the Work, and to protect people and property. Keep fire hydrants on or adjacent to the Work accessible to fire fighting equipment at all times.
- f. Make temporary provisions for the use of sidewalks and maintain functioning gutters, stormwater systems, drainage ditches, and culverts.
- g. Maintain public access to businesses and residences including driveways and parking lots at all times during the Work.

**PART 2 PRODUCTS**

**2.1 MATERIALS FURNISHED BY OWNER**

- A. The Owner will not furnish any materials, labor or equipment under this Contract.

**PART 3 EXECUTION – NOT USED**

END OF SECTION

## SECTION 01140

## WORK RESTRICTIONS

## PART 1 GENERAL

## 1.1 SUMMARY

## A. Section Includes

1. Work Schedule
2. Construction Constraints
3. Vehicle Access
4. Available Work Area

## B. Related Requirements

1. Section 01310 - Coordination
2. Section 01325 - Scheduling of Construction

## 1.2 SUBMITTALS

- A. Incorporate the requirements of this Section in the project schedule submitted under Section 01325.

## 1.3 WORK SCHEDULE

- A. Conduct the Work during daylight hours on Monday through Friday, and within the time between 7:00 a.m. and 5:00 p.m., as modified by the requirements of the CTDOT Encroachment permit. No work is to be done on Owner's holidays, Saturdays, Sundays or outside of the work hours described above. No equipment or machinery may be started at the sites before 8:00 a.m. and all equipment must be shut off by 4:00 p.m.

## PART 2 PRODUCTS – NOT USED

## PART 3 EXECUTION

## 3.1 CONSTRUCTION CONSTRAINTS

- A. The following are constraints for the Work. Incorporate these constraints into the schedule required to be submitted under Section 01325.
  1. All components of the existing sewer system must remain in operation throughout the work.

## 3.2 AVAILABLE WORK AREA

- A. Limits of construction are defined as the existing road right of way. No work will be permitted to be performed outside these boundaries.

END OF SECTION

## SECTION 01270

## MEASUREMENT AND PAYMENT

## PART 1 GENERAL

## 1.1 DIVISION 0 AND DIVISION 1 WORK INCIDENTAL TO THE CONTRACT PRICE

- A. No separate measurement or payment will be made for Work called for in Division 0 or Division 1 of the Specifications, unless specifically covered under the Bid items listed below. All costs associated with this Work will be considered incidental to the Contract Bid price.
- B. Division 2 Work will be measured and paid for at the Contractor's unit Bid price or lump sum item cost as indicated on the Bid form. Those payable Work items, and related prices as Bid, will be the basis for all compensation to the Contractor for Work performed under this Contract. Work not specifically included as a Bid item, but which is required to properly and satisfactorily complete the Work is considered ancillary and incidental to the Bid item Work, and payment for such Work is considered to be included in the values as Bid for payable items. Compensation for all unit Bid price Work will be made based on the measured quantity of Work under the appropriate Bid items.

## 1.2 MOBILIZATION AND DEMOBILIZATION (ITEM 1)

- A. Measurement
  - 1. There will be no measurement for the mobilization and demobilization to the Site as this Work will be on a lump sum basis.
- B. Payment
  - 1. Payment of the lump sum Bid price will be paid in two equal installments. The first installment will occur at the time the first payment requisition is submitted after the Contractor has initiated full-time construction activity. Payment for the second installment will be included in the first payment request after Substantial Completion has been reached and all equipment has been removed from the Site. In no case will the total of both installments exceed 5 percent of the base Bid price.

## 1.3 TRAFFIC CONTROL (ITEM 2)

- A. Measurement
  - 1. There will be no measurement for traffic control as this Work will be on a lump sum basis.
- B. Payment
  - 1. Payment of the lump sum Bid price will be full compensation for all labor, equipment and materials required for or incidental to the traffic control Work, in accordance with the traffic control plans and as may be required by the Connecticut DOT. This includes furnishing all cones, signs and miscellaneous equipment, as well as costs associated with the coordination/scheduling of certified flaggers, if required and approved by the Owner.

2. Payments will be made on a monthly basis as a percentage of the lump sum Bid and the amount of Work for that particular month.

#### 1.4 SEDIMENT AND EROSION CONTROL (ITEM 3)

##### A. Measurement

1. There will be no measurement for sediment and erosion control as this Work will be on a lump sum basis.

##### B. Payment

1. Payment of the lump sum Bid price will be full compensation for all labor, equipment and materials required for or incidental to sediment and erosion control work including installation of haybales, catch basin silt sacks and other requirements as outlined on the Drawings or as required by the Town.
2. Payments will be made on a monthly basis as a percentage of the lump sum Bid and the amount of Work for that particular month.

#### 1.5 TEST PITS (ITEM 4)

##### A. Measurement

1. Measurement for test pits will be on a cubic yard basis as approved and measured in the field by the Engineer.

##### B. Payment

1. Payment of the Bid price for test pits will be full compensation for all cutting of surfaces, excavation, backfill, compaction, dewatering, sheeting and bracing, required measurements, and all labor, equipment and materials required for incidental to the Work.
2. Test pits shall only be performed where approved by the Town if necessary to verify utility locations.

#### 1.6 EXCAVATION BELOW NORMAL GRADE (ITEM 5)

##### A. Measurement

1. Measurement for excavation below normal grade of unsuitable material will be on a cubic yard basis of earth excavated below the normal grade of excavation to install the pipeline as approved and measured by the Engineer. Measurement limits for payment purposes shall be as shown on the "Trench Paylines" Detail on the Drawings.
2. The normal grade is defined as the stone bedding subgrade.

##### B. Payment

1. Payment of the Bid price for below invert grade excavation will be full compensation for all excavation, removal and proper off-site disposal of the material, placing and removing sheeting or bracing, and all labor, equipment and materials required for or incidental to the Work.
2. Excavation and disposal (where applicable) of material removed to install pipe bedding from the ground surface to the pipe bedding is included under the pipe replacement/point repair/lateral point repair items.



**1.7 ROCK EXCAVATION (ITEM 6)****A. Measurement**

1. Measurement for rock excavation will be on a cubic yard basis as measured in the field by the Engineer. Measurement limits for payment purposes shall be as shown on the "Trench Paylines" Detail on the Drawings.
2. Payment for rock excavation shall only be made for the rock removal necessary to obtain the necessary clearances within the existing trench.
3. Rock with earth overburden shall be stripped of earth and exposed so that the rock can be profiled prior to removal. No payment will be made for excavation between the surface and the top of rock.

**B. Payment**

1. Payment of the Bid price for rock excavation will be full compensation for all excavation, backfill, compaction, removal and proper off-site disposal of the material, and all labor, equipment and materials required for or incidental to the Work.
2. Boulders less than 1 cubic yard will be paid for as earth excavation and not paid for as part of rock excavation.
3. Payment for rock excavation will be at the Bid price regardless of the depth at which it is encountered.

**1.8 CRUSHED STONE BEDDING (ITEM 7)****A. Measurement**

1. Measurement for crushed stone bedding to replace excavated unsuitable material underneath the pipe bedding will be made on a cubic yard basis. The depth gravel will be actual depth placed in the completed Work, but in no case will this exceed the depth approved by the Engineer. Width measurement limits for payment purposes shall be as shown on the "Trench Paylines" Detail on the Drawings.
2. Payment of the Bid price for crushed stone bedding will be full compensation for furnishing, hauling, placing, spreading, and compacting, and includes all labor, equipment, and materials required for or incidental to the Work.

**1.9 ORDINARY BORROW (ITEM 8)****A. Measurement**

1. Measurement for ordinary borrow will be on a cubic yard basis. The depth of ordinary borrow will be actual depth placed in the completed Work, but in no case will this exceed the depth approved by the Engineer. Width measurement limits for payment purposes shall be as shown on the "Trench Paylines" Detail on the Drawings.

**B. Payment**

1. Payment of the Bid price for ordinary borrow will be full compensation for furnishing, hauling, placing, spreading, and compacting, and includes all labor, equipment, and materials required for or incidental to the Work.

**1.10 8" PVC GRAVITY SEWER MAIN (ITEMS 9, 9A, 9B)****A. Measurement**

1. Measurement for 8" PVC gravity sewer main will be on a linear foot basis and will be along the ground surface above and parallel to the pipe, from and to the inside face of structures.

**B. Payment**

1. Payment of the Bid price for PVC gravity sewer main will be full compensation for all pavement cutting, trench support, excavation, backfilling, and compaction; gravel pipe bedding and stone over the pipe; dewatering; core-drilling existing manhole, furnishing and placing pipe, manhole connection couplings and underground warning tape; testing, television inspection, maintenance of gravity sewer flow, and all labor, equipment, and materials required for or incidental to the Work.

Bid Item 8 is for PVC sewer main from 0-9' deep.

Bid Item 8A is for PVC sewer main from 10-15' deep

Bid Item 8B is for PVC sewer main greater than 15' deep

**1.11 PRECAST CONCRETE MANHOLES (ITEMS 10, 10A, 10B)****A. Measurement**

1. Measurement for 48 inch diameter precast concrete sewer manholes will be a unit price per each basis based upon a count of the number of precast concrete sewer manholes provided. Manhole depth shall be measured from the bottom of the manhole base to the top of the manhole cone section

**B. Payment**

1. Payment of the Bid price for each 48-inch precast concrete sewer manholes will be full compensation for the structure, frame and cover, invert, installation, testing, adjustment of frame and cover prior to paving, bypassing/maintenance of flow in the existing sewer system, and all labor, equipment and materials required for or incidental to the Work.
2. Bid Item 9 is for manholes from 0-9' deep  
Bid Item 9A is for manholes from 10-15' deep  
Bid Item 10 is for manholes greater than 15' deep

**1.12 MODIFICATIONS TO EXISTING MANHOLE (ITEM 11)****A. Measurement**

1. There will be no measurement for modifications to existing manholes as this Work will be on a lump sum basis.

**B. Payment**

1. Payment of the Bid price for modifications to an existing manhole will be full compensation for manhole coring, flexible connectors, pipe and fitting installation, masonry repair of manhole wall and invert, and bypassing/maintenance of flow in the existing sewer system, all labor, equipment and materials required for or incidental to the Work.

**1.13 SANITARY SEWER LATERALS (ITEM 12)****A. Measurement**

1. Measurement for sanitary sewer laterals will be on a linear foot basis from the new sewer main to the edge of the road right of way line as approved by the Engineer.

**B. Payment**

1. Payment of the Bid price for sewer laterals will be full compensation for all pavement cutting, trench support, excavation, backfilling, and compaction; gravel pipe bedding and stone over the pipe; dewatering; furnishing and placing pipe, transition fittings and/or adaptors, underground warning tape; testing, and all labor, equipment and materials required for or incidental to the Work.

**1.14 TEMPORARY BITUMINOUS CONCRETE PAVEMENT REPAIR (ITEM 13)****A. Measurement**

1. Measurement for temporary bituminous concrete pavement repair will be on a square yard basis as measured in the field by the Engineer. The length of the repair will be the actual length of the trench repaired. The width will be the actual width of repair made, but in no case will payment be made for trench repair greater in width than that shown on the "Trench Paylines Detail" on the Drawings.

**B. Payment**

1. Payment of the Bid price for temporary bituminous concrete pavement repair will be full compensation for furnishing, hauling, placing, spreading, and compacting the process aggregate, bank run gravel and temporary bituminous concrete, and all labor, equipment and materials required for or incidental to the Work.

**1.15 PERMANENT BITUMINOUS CONCRETE PAVEMENT TRENCH REPAIR (ITEM 14)****A. Measurement**

1. Measurement for permanent bituminous concrete pavement repair will be on a square yard basis as measured in the field by the Engineer.

**B. Payment**

1. Payment of the Bid price for permanent bituminous concrete pavement repair will be full compensation for saw cutting and removal of the temporary repair, preparation of the subbase, furnishing, hauling, placing, spreading, and compacting the bituminous concrete to the depths shown on the Permanent Patch Detail.

**1.16 PERMANENT BITUMINOUS CONCRETE REPAIR: MILLING AND 2" OVERLAY (ITEM 15)****A. Measurement**

1. Measurement for permanent bituminous concrete pavement milling and 2" overlay will be on a square yard basis as measured in the field by the Engineer. The extent of milling to be confirmed with the Town of Vernon prior to the start of work.

**B. Payment**

1. Payment of the Bid price for permanent bituminous concrete pavement milling/2" overlay will be full compensation for milling the existing road surface to the extent shown on the Drawings, preparation of the pavement surface, furnishing, hauling, placing, spreading, and compacting the bituminous concrete overlay to the depths shown on the Permanent Patch Detail, and replacement of all traffic markings including all labor, equipment and materials required for or incidental to the Work.

**1.17 BITUMINOUS CONCRETE DRIVEWAY REPAIR (ITEM 16)****A. Measurement**

1. Measurement for bituminous concrete driveway repair will be on a square yard basis as measured in the field by the Engineer. The length of the repair will be the actual length of the trench repaired. The width will be the actual width of repair made, but in no case will payment be made for trench repair greater in width than that shown on the "Trench Paylines" Detail on the Drawings.

**B. Payment**

1. Payment of the Bid price for bituminous concrete driveway paving repair, including gravel base and installation and removal of a temporary repair, will be full compensation for furnishing, hauling, placing, spreading, and compacting the bituminous concrete, and all labor, equipment and materials required for or incidental to the Work.

**1.18 ASPHALT CONCRETE CURB (ITEM 17)****A. Measurement**

1. Measurement for bituminous concrete curb will be on a linear foot basis as measured in the field by the Engineer. The length of curb will be the actual length of curb replaced. Curb replacement shall only take place where directed by the Town of Vernon.

**B. Payment**

1. Payment of the Bid price for bituminous concrete curb, gravel subbase, and all required backup material (gravel or loaming and seeding) will be full compensation for all labor, equipment and materials required for or incidental to the Work.

**PART 2 PRODUCTS - NOT USED**

**PART 3 EXECUTION - NOT USED**

**END OF SECTION**

## SECTION 01310

## COORDINATION

## PART 1 GENERAL

## 1.1 SUMMARY

- A. Section Includes
  - 1. Project Management
  - 2. Coordination
  - 3. Project Meetings
- B. Related Requirements
  - 1. Section 01140 - Work Restrictions
  - 2. Section 01325 - Scheduling of Construction

## 1.2 SUBMITTALS

- A. Incorporate the requirements of this Section, as well as Work which may impact the existing system operation, or the operations of any adjacent utility, in the project schedule submitted under Section 01325.
- B. Informational Submittals
  - 1. Submit to the affected utility company, the Owner, and the Engineer, in writing, all requests for temporary shutdowns of facilities or interruption of operations. No shutdowns of the sewer system or interruptions to existing operations will be permitted except as outlined in this Section. No shutdown shall occur without the approval of the utility company or the Owner.
  - 2. At the pre-construction conference, supply to the Owner the cell phone number of a responsible person who may be contacted during off-hours for emergencies 24 hours a day, seven days a week.
  - 3. Prepare a contact list of phone numbers, including cell phone numbers, and emails for all Project personnel and submit to the Engineer at the pre-construction conference. Include Contractor, Owner, Engineer, and Town personnel including police, fire, and ambulance.

## 1.3 PROJECT MANAGEMENT

- A. Retain a full-time Superintendent, satisfactory to the Owner and Engineer. The Superintendent shall not be changed except with the consent of the Owner and Engineer. The Superintendent shall be in full charge of the Work.
- B. Complete the Work in a continuous uninterrupted operation. Use sufficient personnel and adequate equipment to complete the Work within the Contract Time.

## 1.4 COORDINATION

- A. Do not interfere with the operation of the existing facilities.
- B. Perform all coordination necessary to complete connections to the existing manhole.

- C. Coordinate with appropriate utility companies, as well as with the Owner, where the Work crosses or is adjacent to existing utilities.

## 1.5 PROJECT MEETINGS

### A. Pre-Construction Conference

1. The Contractor shall be prepared to discuss the following subjects at the Pre-Construction Conference. Documentation for these items is required to be submitted within the time frames included in individual specification sections.
  - a. Project scheduling
  - b. Sequencing of critical path Work items
  - c. Shop Drawing procedures
  - d. Project changes and clarification procedures
  - e. Use of sites, access to Work areas, office and storage areas, security and temporary facilities
  - f. Contractor safety plan and representative
  - g. Progress payments and procedures
  - h. Required documentation
  - i. Project personnel contact list

### B. Progress Meetings

1. Progress meetings will be held if requested by the Owner or as required by the Progress of the Work.
2. The Contractor's Superintendent shall attend all progress meetings.
3. At a minimum, progress meetings will review Work progress, schedule, Shop Drawing submission schedule, Applications for Payment, and other matters needing discussion and resolution.
4. Review the schedule with all parties to be affected by upcoming work.

## PART 2 PRODUCTS - NOT USED

## PART 3 EXECUTION

### 3.1 GENERAL

- A. Notify Call Before You Dig at 1-800-922-4455 at least 72 hours prior to any digging, trenching, rock removal, demolition, borings, backfill, grading, landscaping, or any other earth moving operations.

### 3.2 COORDINATION WITH THE OWNER'S OPERATIONS

- A. Notify the Owner and Engineer, in writing, a minimum of 1 week in advance of commencing Work on site.
- B. Notify the Owner and Engineer, in writing, a minimum of 1 week before commencing any work which may affect the Owner's operations.

- C. Perform all construction activities so as to avoid interference with operations of the facility and the work of others.
- D. The Owner has the authority to order the Work stopped which could unreasonably result in stopping the necessary functions of the sewer system. Any costs and/or delays associated with these work stoppages due to the Contractor's operation shall be borne by the Contractor.

### 3.3 SEQUENCE OF CONSTRUCTION

- A. Constructing the proposed improvements while maintaining existing operations will require a specific sequence of construction. The Contractor will be allowed reasonable flexibility in scheduling the construction activities. Provide a detailed construction schedule as required in Section 01325.

END OF SECTION

## SECTION 01321

## COLOR AUDIO-VIDEO DOCUMENTATION SURVEY

## PART 1 GENERAL

## 1.1 SUMMARY

## A. Section Includes

1. Pre-and post-construction color video recording of existing surface features.

## 1.2 DEFINITIONS

- A. Zone of Influence is defined as any area within the immediate construction site which may be affected by equipment traffic, material stockpiles, temporary staging areas including a minimum 200 feet along each side of adjoining streets to the construction site.

## 1.3 SUBMITTALS

## A. Informational Submittals

1. Submit audio-video recording of preconstruction areas in the manner described in this section. Do not commence with construction activities until the recordings are submitted and approved.
2. Video documentation must occur within 2 weeks prior to the start of work on the subject street.

## 1.4 QUALITY ASSURANCE

- A. Documentation shall be performed during times of good visibility when there is no active precipitation or snow cover. The Owner is not responsible for the removal of snow, leaves, debris or parked vehicles.

## PART 2 PRODUCTS

## 2.1 FORMAT

- A. Audio-video recording shall be in digital versatile/video disc (DVD) format. Video output from camera(s) used must be capable of producing NTSC-500 lines. Resolution in the Y channel, minimum 500 TV lines at center. Geometric Distortion shall not exceed 2% of picture height at any point in picture area.

## 2.2 RECORDING INFORMATION

- A. The audio-video recording shall have the potential to convey 1 video track and 1 audio track. The video and audio tracks shall be recorded simultaneously as original live recordings and shall not be copies of other audio or video recordings. These recordings shall consist of a fixed elevation video record of the Zone of Influence of construction and the commentary of the videographer making the video record.
- B. Video recordings shall, by electronic means, display continuously and simultaneously generated transparent digital information to include the date and time of recording, the engineering stationing corresponding to the stationing on the Drawings or as directed by the Engineer, the name of the street, easement or building being documented, the project name, direction of travel and the viewing side.



- C. The date and time shall appear in the upper left hand corner of the picture -- example:  
Time 8:35:15  
Date 9/20/22
- D. The project name shall appear on the lower half of the screen

## **PART 3 EXECUTION**

### **3.1 CONSTRUCTION AUDIO-VIDEO PROCEDURES**

- A. Perform initial audio-video documentation prior to the start of on-site construction activities to depict pre-construction conditions.
- B. Perform second audio-visual documentation after construction to record post construction conditions.
- C. Audio-video documentation shall commence at Station 0+00 and proceed to 100 feet beyond the end of the proposed pipeline route along the pipeline route viewing side to side along the direction of progress.
- D. The average rate of speed in the general direction of the conveyance used during recording shall not exceed 50 feet per minute. Panning and zooming rates shall be controlled sufficiently that playback will produce optimum clarity of the objects being viewed.
- E. Coverage shall include, but not be limited to, the entire roadway pipeline route, existing driveways, sidewalks, curbs, ditches, streets (including condition of paving for full width), intersections, landscaping, trees, culverts, catch basins, head walls, fences, mailboxes, retaining walls, visible utilities and all buildings and structures located within the Zone of Influence. Include existing faults, fractures, defects or other imperfections exhibited by the above-mentioned surface features.
- F. Houses and buildings shall be identified visually by house or building number, when possible, in such manner that the progress of the taping and proposed construction areas may be located by reference to the houses and buildings.
- G. Recordings produced under this Contract shall be turned over to the Engineer on an every other day basis so the Owner may review and monitor quality and progress. Any portion of the recording coverage deemed unacceptable by the Owner or Engineer shall be re-recorded at no additional cost to the Owner.
- H. DVDs and cases shall be properly identified by recording number, location, project name, and become the property of the Owner. A record of the contents of each DVD shall be supplied by a run sheet identifying each segment in the tape by location, i.e., roll number, street or easement viewing, disc time, viewing side, starting point, traveling direction and ending point.

**END OF SECTION**

## SECTION 01325

## SCHEDULING OF CONSTRUCTION

## PART 1 GENERAL

## 1.1 SUMMARY

- A. Section Includes
  - 1. Progress Schedule
- B. Related Requirements
  - 1. Section 01140 - Work Restrictions
  - 2. Section 01310 - Coordination

## 1.2 PROGRESS SCHEDULE

- A. Graphically show the order and interdependence of activities, sequence of Work, how the start of a given activity depends on completion of preceding activities, and how completion of an activity may restrain the start of subsequent activities.
- B. The Work shall be planned by the Contractor and his Project field superintendent in coordination with all Subcontractors and Suppliers whose Work is shown on the Progress Schedule.
- C. Include, at a minimum, the following activities on the Progress Schedule:
  - 1. Project mobilization
  - 2. Submittal and approval of Shop Drawings
  - 3. Procurement of equipment and critical materials
  - 4. Installation of equipment and critical materials
  - 5. Fabrication of special equipment and material, and its installation and testing
  - 6. Final inspecting and testing
  - 7. Punchlist
  - 8. Final cleanup
  - 9. Other activities that may be critical to the Progress Schedule
  - 10. All activities of the Owner and the Engineer which affect progress and/or affect required dates for completion of the Work
- D. Take into consideration Shop Drawing submittal and approval time, the delivery times of equipment and materials, Subcontractors' Work, availability and abilities of workmen, weather conditions, any restrictions in operations at the Work site, and all other items that may affect completion of the Work within the Contract Time.
- E. The Progress Schedule shall reflect the requirements and constraints outlined in Section 01310, Coordination.
- F. The Progress Schedule shall reflect Work restrictions outlined in Section 01140.

- G. Show information in such detail that duration times of activities will range from one to 15 days. The selection and number of activities shall be subject to the approval of the Owner and Engineer.
- H. The Progress Schedule should show preceding and following event numbers for each activity, description of each activity, and activity duration in calendar days.
- I. Submit the Progress Schedule on maximum sheet size 30-inches high by the width required.

### 1.3 SUBMITTALS

#### A. Informational Submittals

- 1. Submit four prints of the preliminary Progress Schedule prepared in accordance with Article 2.05 of Section 00700 and the requirements of this section. Progress schedule must be submitted within 10 days after the Effective Date of the Agreement. Progress Schedule must be approved by the Owner and Engineer before the first progress payment will be made.
- 2. Revised analyses - Within 10 days after receipt of the review comments, submit four prints of the Progress Schedule revised in accordance with those comments.
- 3. Periodic reports - On the first progress meeting of each month, submit four prints of the updated Progress Schedule, as well as a report of construction activities in the prior month.
- 4. Before initiating the Work, submit an estimated monthly rate of Contractor payments for the project. If the payment schedule deviates from the original projection, submit a revised rate of expenditure schedule.

PART 2 PRODUCTS – NOT USED

PART 3 EXECUTION – NOT USED

END OF SECTION

## SECTION 01330

## SUBMITTAL PROCEDURES

## PART 1 GENERAL

## 1.1 SUMMARY

- A. Section Includes
  - 1. Action Submittals
  - 2. Informational Submittals

## 1.2 DEFINITIONS

- A. Action Submittals – includes written and graphic information submitted by Contractor that requires Engineer's approval.
- B. Informational Submittals – includes information submitted by Contractor that does not require Engineer's approval. The Engineer will acknowledge receipt of such documents and provide comments when the submittals lack the detail required by the Contract Documents.

## 1.3 ACTION SUBMITTALS

- A. Shop Drawings
  - 1. Shop Drawings as defined in the General Conditions, and as specified in individual work sections include, but are not necessarily limited to, custom-prepared data such as fabrication and erection/installation drawings, schedule information, piece part drawings, actual shop work manufacturing instructions, special wiring diagrams, coordination drawings, individual system or equipment inspection and test reports including performance curves and certification, as applicable to the Work.
  - 2. Shop Drawings shall be of standardized sizes to enable the Owner to maintain a permanent record of the submissions. Approved standard size drawings shall be 8.5 inches by 11 inches
  - 3. Submit Shop Drawings at the proper time to prevent delays in delivery of materials. Coordinate submittals for related or interdependent equipment.
  - 4. Advise the Engineer in writing of any deviations from the requirements of the Contract Documents.
  - 5. Check all Shop Drawings regarding measurements, size of members, materials, and details to determine if they conform to the Contract Documents. Shop Drawings found to be inaccurate, not in compliance, or otherwise in error shall be returned to the Subcontractors or Suppliers for correction before submission to the Engineer. Drawings that are current shall be marked with the date, name, and approval stamp of the Contractor.
  - 6. All details on Shop Drawings submitted for approval shall show clearly the relation of the various parts to the main members and lines of the structure, and where correct fabrication of the work depends upon field measurements, such

measurements shall be made and noted on the Shop Drawings before being submitted for approval.

7. Detailed installation drawings (sewers, equipment, piping, electrical conduits and controls, HVAC work, and plumbing, etc.) shall be drawn to scale and fully dimensioned.
  8. No material or equipment shall be purchased or fabricated until the required Shop Drawings have been submitted and approved. Materials and equipment and the work involved in their installation or incorporation into the Work shall then be as shown in and represented by the Shop Drawings.
  9. Until the necessary approval has been given, do not proceed with any portion of the work, the design or details of which are dependent upon the design or details of work, materials, equipment or other features for which approval is required.
  10. If submitted equipment requires modifications to the structures, piping, layout, or other details shown on the Drawings, details of the proposed modifications must also be submitted for approval. If such equipment and modifications are approved, perform all Work necessary to make such modifications at no additional cost to the Owner.
- B. Product Data: Product data as specified in individual Sections, include, but are not necessarily limited to, standard prepared data for manufactured products (catalog data), such as the manufacturer's product specification and installation instructions, availability of colors and patterns, manufacturer's printed statements of compliances and applicability, roughing-in diagrams and templates, catalog cuts, product photographs, standard wiring diagrams, printed performance curves and operational-range diagrams, production or quality control inspection and test reports and certifications, mill reports, product operating and maintenance instructions and recommended spare-parts listing, and printed product warranties, as applicable to the Work.
- C. Samples and color selection charts: Provide sample, when requested by individual Specification to establish conformance with the Specifications, and as necessary to define color, texture and pattern selections available.

#### 1.4 INFORMATIONAL SUBMITTALS

- A. Schedule of Submittals
1. Submit a preliminary Schedule of Submittals within 10 days of the Effective Date of the Agreement in accordance with Article 2.05 of Section 00700.
- B. Schedule of Manufacturers and Suppliers
1. Submit a schedule of manufacturers and Suppliers within 7 days after Notice to Proceed including the names and addresses of the manufacturers and Suppliers of materials and equipment to be incorporated into the Work.
- C. Schedule of Major Products
1. Submit a schedule of major products within 30 days after Notice to Proceed including a complete list of major products proposed for use, with specification section number, name of manufacturer, trade name, and model number of each product.

**D. Certificates of Compliance**

1. General:
  - a. Submit sworn certificates from the manufacturer or material supplier that the materials and fabrications provided under the Specification section conform with the Contract Documents.
  - b. Certificates shall be signed by an officer of the manufacturer's corporation and witnessed by a Notary Public.
2. Welding: Submit in accordance with individual Specification sections.
3. Installer: Prepare written statements on manufacturer's letterhead certifying that installer complies with requirements as specified in individual Specification sections.
4. Material Test: Prepared by qualified testing agency, on testing agency's standard form, indicating and interpreting test results of material for compliance with requirements.
5. Certificates of Successful Testing or Inspection: Submit when testing or inspection is required by Laws and Regulations or governing agency, or when specified in individual Specification sections.
6. Manufacturer's Certificate of Compliance: In accordance with individual Specification sections.

**E. Application for Payment**

1. Submit applications for payment in accordance with Section 01270, Measurement and Payment.
2. Submit schedule of stored materials when requesting payment for materials not yet installed.

**F. Construction Photography and Videography: Provide preconstruction, progress, and post-construction photography and videography in accordance with Section 01321.****G. Contract Closeout Submittals: In accordance with Section 01770.****H. Contractor Design Data**

1. Written and graphic information
2. List of assumptions
3. List of performance and design criteria
4. Summary of loads or load diagram
5. Calculations
6. List of applicable codes and regulations
7. Name and version of software
8. Information requested in individual Specification section

**I. Schedules - Submit construction progress schedules and schedule updates in accordance with Section 01325.**

- J. Statement of Qualifications: Submit evidence of qualification, certification, or registration as required in Contract Documents to verify qualifications of professional land surveyor, engineer, materials testing laboratory, specialty subcontractor, trade, specialist, consultant, installer, and other professionals.
- K. Submittals Required by Laws, Regulations, and Governing Agencies
  - 1. Submit promptly notifications, reports, certifications, payrolls, and other required information as may be required, directly to the applicable federal, state, or local governing agency or their representative.
  - 2. Transmit to Engineer for Owner's records, one copy of correspondence and transmittals (including enclosures and attachments) between Contractor and governing agency.
- L. Test and Inspection Reports
  - 1. Submit test and inspection reports as required by individual Specification sections.
  - 2. Test and inspection reports shall contain signature of person responsible for test or report.
  - 3. Reports shall include identification of product and Specification, project name, date and time of test, type of test, location, test results, corrective action required if report indicates test is not in compliance with Contract Documents, interpretation of test results, and other information as required in individual Specification sections.
- M. Equipment Data: Submit information on equipment to be used in the performance of the Work as required by individual Specification sections.
- N. Testing and Start-up Data: Prepare and submit testing procedures proposed to perform testing required by individual Specification sections.
- O. Health & Safety Plans: When specified in individual Specification sections, prepare and submit a Health and Safety Plan modified or supplemented to include job-specific considerations.
- P. Submittals stamped by another Professional Engineer: When specified in individual Specification sections, prepare and submit calculations and/or drawings stamped by a Professional Engineer licensed in the State where the work is being performed.
- Q. Erosion Control Plan: When specified in Contract Documents or required by local ordinances or regulations, prepare and submit copies of erosion control plans.
- R. Traffic Control Plan: When specified in Contract Documents or required by local ordinances or regulations, prepare and submit copies of traffic control plans.
- S. Shutdown Requests: Submit notification of any outages required (electrical, flow processes, etc.) as may be required to tie-in new work into existing facilities. Unless otherwise specified, provide outage requests a minimum of 7 days' notice shall be provided.
- T. Equipment Data: When specified in other Specification sections, information on equipment used by the Contractor to complete the Work, such as compaction equipment and closed-circuit television inspection equipment.

## 1.5 PROCEDURES

### A. Coordination

1. Prepare and submit documentation in advance of fabrication and product manufacturer, so that the installation will not be delayed, other related work can be properly coordinated, and there is adequate time for review and resubmission, if required.
2. Provide no less than 30 days for review of submittals from the time received by the Engineer. For submittals of major equipment, that require more than 30 days to review, due to complexity and detail or those requiring review by multiple engineering disciplines, Engineer will notify Contractor of the circumstances and identify the anticipated date when the submittal will be returned.
3. Re-submittals will be subject to same review time.
4. No extension of time will be authorized due to failure to provide approvable submittals sufficiently in advance of the Work.

### B. Review Shop Drawings, product data, and samples prior to submission and verify and determine:

1. Field measurements
2. Conformance with the Contract Documents. Advise the Engineer in writing of any deviations from the requirements of the Contract Documents.
3. Delete or strike out information that is not applicable to the Work.

### C. Upload the electronic submittal files via Procore. Access to Procore will be provided by the Engineer. Files must be in .pdf format. The submittals will be returned in electronic .pdf format via Procore.

### D. Numbering: Submissions shall be accompanied by a transmittal form referencing the project name and applicable Specification section. Submittals shall be numbered sequentially, with the applicable Specification section and a hyphen preceding the number. (e.g. Submittal number 11330-01). Resubmittals shall bear the same transmittal number with a revision number commencing with "1" (e.g. Submittal number 11330-01-1).

### E. Provide a copy of the Submittal Certification Form (copy attached at the end of this section) which shall be attached to every copy of each submittal as required under Article 7.16 A.2 of Section 00700. Apply the Contractor's stamp and initials or signature certifying that the submission has been thoroughly reviewed for completeness, compliance with the Contract Documents, coordination with adjacent construction and dimensional compatibility. Items submitted without the stamp or that are incomplete will be returned by the Engineer for rework and resubmission.

### F. Provide a copy of the PE Certification Form (copy attached at the end of this section) which shall be attached to every copy of each submittal stamped by another Professional Engineer. Items submitted without the completed certification form will be returned by the Engineer for resubmission.

### G. Distribute copies of reviewed submittals along with the Engineer's transmittal to concerned parties with instructions to promptly report any inability to comply with the provisions or integrate the requirements with interfacing work.



**H. Partial and Incomplete Submittals**

1. Shop Drawings shall be submitted as a complete package by Specification section, unless otherwise reviewed and approved by the Engineer. It is the intent that all information, materials, and samples associated with each Specification section be included as a single submittal for the Engineer's review.
2. Engineer will return entire submittals if preliminary review deems it incomplete including:
  - a. Missing or incomplete Submittal Certification Form
  - b. Insufficient number of copies
  - c. Missing content
3. Partial submittals may be considered, at Engineer's option, only when necessary to expedite the Project.
4. Partial submittals shall be clearly identified as such on the transmittal to identify missing components.

**I. Submittals not required by the Specification will be returned without review or action code.****J. Resubmission**

1. Make corrections and modifications required by the Engineer and resubmit until approved.
2. Clearly identify changes made to submittals and indicate other changes that have been made other than those requested by the Engineer.
3. A maximum of two re-submissions of each shop drawing will be reviewed, checked and commented upon without charge to the Contractor (total of 3 submittals). Any additional submissions which are required by the Engineer to fulfill the stipulations of the Contract Documents will be charged to the Contractor as described in paragraph 7.16.E.2 of Section 00700.

**K. Distribution**

1. Distribute approved Shop Drawings and approved product data to the Project Site and elsewhere as required to communicate the information to Suppliers, Subcontractors, and field personnel.

**1.6 ENGINEER'S REVIEW**

- A. The Engineer will review submittals for design, general methods of construction and detailing. The Engineer's review and approval of submittals shall not be construed as a complete check nor does it relieve the Contractor from responsibility for any departures or deviations from the requirements of the Contract Documents unless he has, in writing, called the Engineer's attention to such deviations at the time of submission. It will not extend to means, methods, technique, sequences, or procedures of construction (except where specifically and expressly called for by the Contract Documents) or to safety precautions or programs incident thereto.
- B. The Engineer's review of the submittals shall not relieve the Contractor from the responsibility for proper fitting of the Work, or the responsibility of furnishing any work

required by the Contract Documents which may not be indicated on the submittals. The Contractor shall be solely responsible for any quantities shown on the submittals.

- C. If the Contractor considers any correction indicated on the submittals to constitute a change to the Contract Documents, the Contractor shall provide written notice to the Engineer at least 7 working days prior to release for manufacture.
- D. When the submittals have been completed to the satisfaction of the Engineer, the Contractor shall carry out the construction in accordance therewith and shall make no further changes therein except upon written instructions from the Engineer.
- E. Action submittals as defined in paragraph 1.2 will be reviewed and returned under one of the following codes:
  - 1. Approved (Action Code 1) is assigned when there are no notations or comments on the submittal. Equipment or materials may be released for manufacture, provided that it complies with requirements of the Contract Documents.
  - 2. Approved as Noted (Action Code 2) is assigned when there are notations or comments on the submittal, but the equipment or materials may still be released for manufacture. All notations and comments must be incorporated in the final product. Resubmission is not necessary.
  - 3. Revise and Resubmit (Action Code 3) is assigned when there are notations and comments requiring a resubmittal of the package. Work cannot proceed until the submittal is revised and resubmitted for review.
  - 4. Not Approved (Action Code 4) is assigned when the submittal contains non-specified items or does not meet the requirements of the Contract Documents. It may also be assigned when there is a significant amount of missing material required for the Engineer to perform a complete review. The entire package must be resubmitted, revised to bring the submittal into conformance. It may be necessary to resubmit using a different manufacturer/vendor to meet the requirements of the Contract Documents.
- F. Informational submittals as defined in paragraph 1.2 do not require approval by the Engineer. Such submittals will be returned under one of the following codes:
  - 1. Receipt Acknowledged (Action Code 5) is assigned when the submittal is provided for documentation purposes and is acknowledged as received. Comments may be noted using this action code.
  - 2. Revise and Resubmit (Action Code 6) is assigned when there are notations and comments requiring a resubmittal of the package.

PART 2 PRODUCTS – NOT USED

PART 3 EXECUTION – NOT USED

END OF SECTION

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**SUBMITTAL CERTIFICATION FORM**

PROJECT: \_\_\_\_\_  
ENGINEER: \_\_\_\_\_ ENGINEER'S PROJECT NO.: \_\_\_\_\_  
CONTRACTOR: \_\_\_\_\_ CONTRACTOR'S PROJECT  
NO.: \_\_\_\_\_

TRANSMITTAL NO.: \_\_\_\_\_ SUBMITTAL NO.: \_\_\_\_\_  
SPECIFICATION NO.: \_\_\_\_\_ DRAWING NO: \_\_\_\_\_  
DESCRIPTION: \_\_\_\_\_  
MANUFACTURER: \_\_\_\_\_

The above referenced submittal has been reviewed by the undersigned and I/we certify that the materials and/or equipment meets or exceeds the project specification requirements; that field measurements, dimensions, quantities, specified performance criteria, installation requirements, materials, catalog numbers and related materials have been verified; that all materials with respect to intended use, fabrication, shipping, handling, storage, assembly, and installation pertaining to the performance of the work has been determined and verified; that review includes all information related to the contractor's sole responsibility for means, methods, techniques, sequences, and procedures of construction and safety; and item has been coordinated with the overall project with:

☐ NO DEVIATIONS

☐ A COMPLETE LIST OF DEVIATIONS AS FOLLOWS:

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SUBMITTED BY: \_\_\_\_\_ DATE: \_\_\_\_\_

GENERAL CONTRACTOR'S STAMP

## SECTION 01520

## CONSTRUCTION FACILITIES

## PART 1 GENERAL

## 1.1 SUMMARY

## A. Section Includes

1. Temporary sanitary and first-aid facilities

## 1.2 QUALITY ASSURANCE

- A. Maintain temporary construction facilities in proper and safe condition throughout the progress of the Work.

## 1.3 TEMPORARY SANITARY AND FIRST AID FACILITIES

- A. Provide suitably enclosed chemical or self-contained toilets for the use of the labor force employed on the Work. Toilets shall be located near the Work sites and secluded from observation insofar as possible. Toilets shall be serviced weekly, kept clean and supplied throughout the course of the Work.
- B. Contractor shall enforce proper use of sanitary facilities.
- C. Use of the Owner's sanitary facilities by the Contractor is prohibited.
- D. Provide a first aid station at the site.

## PART 2 PRODUCTS – NOT USED

## PART 3 EXECUTION – NOT USED

END OF SECTION

## SECTION 01550

## TRAFFIC REGULATION

## PART 1 GENERAL

## 1.1 SUMMARY

## A. Section Includes

1. Traffic requirements

## 1.2 PAYMENT PROCEDURES

1. Refer to Section 01270, Measurement and Payment for procedures relating to payment for the Work.
2. Owner will pay for traffic flaggers if they are required. Contractor is responsible for scheduling the traffic flaggers, with Owner's approval, and for providing all documentation.
3. Owner will deduct from monies due Contractor for the following abnormal and unreasonable expenses:
  - a. Contractor caused delays in the prosecution of work that result in hiring traffic flaggers for more hours than would have been required during normal prosecution of work.
  - b. Reconstruction and/or reinstallation of any portions of the work, as a result of improper initial installation or defective material, for which traffic flaggers are required.
  - c. Traffic officers required at a site where Contractor is not working or outside of Contractor's standard work day as a result of obstructions to traffic that remain in the traveled way.
  - d. All other incidents resulting from Contractor's operations requiring traffic flaggers that would not normally be encountered during the progress of a well-organized project employing proper construction methods.
  - e. When traffic flaggers are requested for the convenience of Contractor and are not otherwise considered necessary to the work.

## 1.3 REFERENCES

- A. Manual of Uniform Traffic Control Devices, U.S. Department of Transportation
- B. State of Connecticut DOT Office of the State, Traffic Administration Regulations, latest revision

## 1.4 TRAFFIC REQUIREMENTS

- A. Adhere to all applicable Vernon Town ordinances that relate to traffic control. Coordinate and meet with Town authorities to review applicable requirements and develop a traffic control plan consistent with referenced documents for approval by the Town authorities.

- B. Arrange construction activity so that all streets shall remain open to at least one-way traffic during periods of actual work, and to unimpeded, two-way traffic during all other periods.
- C. Traffic control shall be performed in accordance with the Drawings. If Contractor proposes any modifications to this plan, a traffic control plan shall be submitted to Engineer for approval showing traffic control signs, barrels, cones, traffic flaggers, including detour signs, meeting the approval of Engineer, Owner and local Police Departments in accordance with the Manual of Uniform Traffic Control Devices.
- D. Determine the location of each day's work and implement the approved traffic control plan. If the plan requires the use of certified flagmen, Owner approval shall be obtained..
- E. Contractor shall have no claim of delay if he does not notify certified flagmen of his scheduled location in time to arrange for flagmen.
- F. Hand deliver written notice to individual houses affected by driveway and side road closings or detours a minimum 24 hours in advance. A recommended parking area outside the work limits shall be included in the notice.

#### 1.5 CERTIFIED FLAGMEN

- A. Certified flagmen shall be required at locations deemed necessary by Owner, working in conjunction with local Police and Fire Departments, for the protection of the public.

#### PART 2 PRODUCTS – NOT USED

#### PART 3 EXECUTION – NOT USED

END OF SECTION

## SECTION 01600

## PRODUCT REQUIREMENTS

## PART 1 GENERAL

## 1.1 SUMMARY

- A. Section Includes
  - 1. Products and Materials
  - 2. Product Delivery Requirements
  - 3. Packaging, Handling and Storage Requirements
  - 4. Inspection of Offsite Work

## 1.2 QUALITY ASSURANCE

- A. Review all contract Drawings and Specifications with respect to specific system characteristics, applicability of materials and equipment for the intended purposes, sizes, orientation, and interface with other systems, both existing and proposed, and certify that the materials and equipment proposed will perform as specified prior to submitting shop drawings.
- B. Provide sworn certificates as to quality and quantity of materials where specified or requested by the Engineer.
- C. Obtain concurrence of the Engineer prior to processing, fabricating, or delivering material or equipment.

## 1.3 PRODUCTS AND MATERIALS

- A. Furnish products of qualified manufacturers suitable for intended use. Furnish products of each type by a single manufacturer unless specified otherwise.
- B. Use only new and first quality material in the Work. Material shall conform to the requirements of these Specifications and be approved by the Engineer. If, after trial, it is found that sources of supply that have been approved do not furnish a uniform product, or if the product from any source proves unacceptable at any time, the Contractor shall furnish approved materials from other approved sources.
- C. Immediately remove defective materials and equipment from the site, at no additional cost to the Owner. The Contractor may be required to furnish sworn certificates as to the quality and quantity of materials before materials are incorporated in the Work.
- D. Engineer has the right to approve the source of supply of all material prior to delivery.

## 1.4 PRODUCT DELIVERY REQUIREMENTS

- A. Transport and handle products in accordance with manufacturer's instructions.
- B. Promptly inspect shipments to ensure products comply with requirements, quantities are correct, and products are undamaged.
- C. Provide equipment and personnel to handle products by methods to prevent soiling, disfigurement, or damage.

- D. Progressively deliver materials and equipment to the Site so there will be neither delay in progress of the Work nor an accumulation of material that is not to be used within a reasonable time.
- E. Deliver products to the Site in their manufacturer's original container, with labels intact and legible.
  - 1. Maintain packaged materials with seals unbroken and labels intact until time of use.
  - 2. The Engineer may reject as non-complying such material and products that do not bear identification satisfactory to the Engineer as to the manufacturer, grade, quality, source, and other pertinent information.

#### 1.5 PACKAGING, HANDLING AND STORAGE REQUIREMENTS

- A. Provide storage and handling of all materials and equipment required for the Work.
- B. Except as otherwise indicated in the Contract Documents, determine and comply with the manufacturer's recommendations on product storage, handling, and protection. Provide manufacturer's documentation on recommended storage procedures when requested by the Engineer.
- C. Properly store and protect all equipment immediately upon its arrival. All equipment shall be stored in a clean, dry, heated, secured, and insured indoor facility satisfactory to the Engineer. Equip drive motors with thermostatically controlled strip heaters. Outdoor storage with plastic, canvas, plywood or other cover will not be allowed except where specific approval for designated items not containing electrical components or bearings is obtained from the Engineer. This approval does not relieve the Contractor of responsibility for proper protection of materials.
- D. Familiarize workmen and subcontractors with hazards associated with materials, equipment, and chemicals specified herein and take all necessary safety precautions.
- E. Areas available on the construction site for storage of material and equipment shall be as shown on the Drawings or approved by the Owner.
- F. Materials and equipment to be incorporated in the Work shall be handled and stored by the manufacturer, fabricator, supplier, and Contractor before, during and after shipment in a manner to prevent warping, twisting, bending, breaking, chipping, rusting, and any injury, theft, or damage of any kind to the material or equipment.
- G. Protect finished surfaces including floor surfaces, stairs, joints, and soffits of passageways from damage until accepted by the Engineer.
- H. Promptly remove materials from the site of the Work which have become damaged or are unfit for the use intended or specified. The Contractor will not be compensated for the damaged materials or their removal costs.
- I. Handle, haul, and distribute all materials and all surplus materials on the different portions of the Work, as necessary or required. Provide suitable and adequate storage room for materials and equipment during the progress of the Work, and be responsible for the protection, loss of, or damage to materials and equipment furnished, until the final completion and acceptance of the Work.
- J. Storage and demurrage charges by transportation companies and vendors shall be borne by the Contractor.



- K. All materials and equipment to be incorporated in the Work shall be placed so as to not damage any part of the Work or existing facilities and so that free access can be had at all times to all parts of the Work and to all public utility installations in the vicinity of the Work. Keep materials and equipment neatly piled and compactly stored in such locations as will cause a minimum of inconvenience to the Owner.
- L. No material or equipment will be permitted to be stored in any of the Owner's facilities, unless otherwise approved by the Engineer.
- M. Do not store material or equipment in any wetland or environmentally sensitive area. Stockpile sites shall be level, devoid of mature stands of natural vegetation, and removed from drainage facilities and features, wetlands, and stream corridors.
- N. Contractor shall be fully responsible for loss or damage to stored materials and equipment.
- O. No item judged rusty, corroded or otherwise damaged during storage will be accepted. Any electrical or instrumentation item determined by the Engineer to be damaged shall be removed from the Site and replaced by a completely new item in first class condition. Items not properly stored will not be considered for any partial payment.
- P. Provide protective and preventive maintenance during storage consisting of manually exercising equipment where required, inspecting mechanical surfaces for signs of corrosion or other damage, lubricating, applying any coatings as recommended by the equipment manufacturer as necessary for its protection and other precautions as necessary to assure proper protection of equipment stored.
- Q. Treat ferrous surfaces not receiving finish coats of paint with rust preventive coating and protect non-ferrous metal work and devices with suitable wrappings.

PART 2 PRODUCTS – NOT USED

PART 3 EXECUTION – NOT USED

END OF SECTION

## SECTION 01770

## CLOSEOUT PROCEDURES

## PART 1 GENERAL

## 1.1 SUMMARY

## A. Section Includes

1. Documentation required for the transfer of the completed Work to the Owner
2. Final Cleaning

## 1.2 SUBMITTALS

## A. Closeout Submittals

1. As-built drawings
2. Evidence of payment and release of liens
3. List of Subcontractors, service organizations, and principal vendors

## 1.3 SUBSTANTIAL COMPLETION

- A. Refer to Article 15.03 in 00700, General Conditions, for procedures relating to obtaining Substantial Completion. Refer to 00520, Agreement, for Contract Times.

## 1.4 PROJECT CLOSEOUT DOCUMENTS

- A. As-Built Drawings - Submit as-built drawings for review, approval, or comment. The as-built drawings shall show the completed work, including all deviations from the original Drawings. As-built drawings shall depict the location of all piping and valves installed under this Contract, as well as field changes. Take swing ties to all underground work from a minimum of two horizontal locations. Vertical dimensions to all below grade work shall also be obtained. All fittings, bends, valves and other appurtenances shall be shown. At a minimum, the following information shall be shown on the as-built drawings.

1. Ties to all buried fittings (including tees, crosses, bends, reducers, wyes, offsets, adapters, sleeves, caps, plugs), valves, services and structures from two horizontal measurements to permanent surface reference points, and depth below permanent grade. Permanent surface reference points are manholes, catch basins, power poles, and above-grade structures.
2. Ties to all surface structures (including manholes, catch basins, vaults, valve boxes, hydrants, curb stops, cleanouts, wet wells, outlets, etc.) from two horizontal measurements to permanent surface reference points. Re-station surface structures if stationed on Drawings.
3. Ties to other utility crossings, abandoned pipelines, and sewer service stubs, from two horizontal measurements to permanent surface reference points include depth below permanent grade and spacing between crossing utilities.
4. Invert and rim elevation of all gravity pipelines and structures including manholes, catch basins, below-grade structures, wet wells, septic tanks and distribution boxes as appropriate.

5. Depth of ledge at changes in profile but not more than 25-foot intervals.
  6. Changes to pipe size and materials.
- B. Provide warranties and bonds for items so listed in pertinent sections of the Project Manual.
  - C. Provide evidence of compliance with requirements of governmental agencies having jurisdiction.
  - D. As specified in Article 15.06.A of Section 00700, provide evidence that all Work, materials and equipment will pass to Owner free and clear of any Liens or other title defects upon final payment. Such evidence may take the form of receipts or releases from all Subcontractors and Suppliers and an affidavit from Contractor as to the completeness of the receipts and releases as described in Section 00700 Article 15.06.A.3.
  - E. Provide list of Subcontractors, service organizations, and principal vendors, including names, addresses, and telephone numbers where they can be reached for emergency service at all times including nights, weekends, and holidays.

#### 1.5 FINAL PAYMENT

- A. Refer to Article 15.05 and 15.06 in 00700, General Conditions, for procedures relating to final inspection and payment.
- B. The Contract shall be considered complete and final payment made, only when:
  1. All provisions of the Contract Documents have been strictly adhered to.
  2. The project and premises have been left in good order, including removal of all temporary construction, Contractor-owned and extraneous materials.

#### PART 2 PRODUCTS – NOT USED

#### PART 3 EXECUTION

##### 3.1 CLEANING

- A. Remove and entirely dispose of material or debris that has washed, flowed or has been placed in existing watercourses, ditches, gutters, drains, pipe, or structures, for work done under the Contract work limits. Leave ditches, channels, drains, pipes, structures, and watercourses in a clean and neat condition upon completion of the Work.
- B. Restore or replace any public or private property damaged or removed during the course of the Work. Property shall be returned to a condition at least equal to that existing immediately prior to the beginning of operations. Complete all highway or driveway, walk, and landscaping work using suitable materials, equipment and methods. Perform restoration of existing property, signs or structures promptly as work progresses; do not leave restoration work until the end of the Contract Time.

#### END OF SECTION

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## SECTION 02315

## EXCAVATION, BACKFILL, COMPACTION AND DEWATERING

## PART 1 GENERAL

## 1.1 SUMMARY

- A. Section Includes
  - 1. Excavation, backfill and compaction for subsurface utilities and test pits
  - 2. Removal, handling and disposal of rock
  - 3. Excavation, backfill and compaction for the abandonment of existing pipe
  - 4. Temporary dewatering systems
- B. Related Sections
  - 1. Section 02210 - Subsurface Investigations
  - 2. Section 02320 - Borrow Materials

## 1.2 REFERENCES

- A. ASTM D1557-07 - Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/ft<sup>3</sup> (2,700 kN-m/m<sup>3</sup>))
- B. ASTM D1556-07 - Standard Test Method for Density and Unit Weight of Soil in Place by the Sand-Cone Method
- C. ASTM D2487-06e1 - Standard Practice for Classification of Soils for Engineering Purposes (Unified Soil Classification System)
- D. ASTM D6938-08a - Standard Test Method for In-Place Density and Water Content of Soil and Soil-Aggregate by Nuclear Methods (Shallow Depth)
- E. 29 CFR Part 1926 Subpart P - OSHA Excavation Regulations 1926.650 through 1926.652 including Appendices A through F
- F. State of Connecticut, Department of Transportation, Standard Specifications for Roads, Bridges, Facilities and Incidental Construction, Form 818, 2020 as revised by Supplemental Specifications dated July 2022 (otherwise collectively as "ConnDOT Form 818")

## 1.3 DEFINITIONS

- A. Benching - A method of protecting employees from cave-ins by excavating the sides of an excavation to form one or a series of horizontal levels or steps, usually with vertical or near-vertical surfaces between levels.
- B. Earth Retention Systems - Any structural system, such as sheeting and bracing or cofferdams, designed to retain in-situ soils in place and prevent the collapse of the sides of an excavation in order to protect employees and adjacent structures.

- C. Excavation - Any man-made cut, cavity, trench, or depression in an earth surface, formed by earth removal.
- D. Protective System - A method of protecting employees from cave-ins, from material that could fall or roll from an excavation face or into an excavation, or from the collapse of adjacent structures. Protective systems include earth retention systems, sloping and benching systems, shield systems, and other systems that provide the necessary protection.
- E. Registered Professional Engineer - A person who is registered as a professional engineer in the state where the work is to be performed. However, a professional engineer, registered in any state is deemed to be a "registered professional engineer" within the meaning of this standard when approving designs for "manufactured protective systems" or "tabulated data" to be used in interstate commerce.
- F. Shield System - A structure that is designed to withstand the forces imposed on it by a cave-in and thereby protects employees within the structure. Shields can be permanent structures or can be designed to be portable and moved along as work progresses. Additionally, shields can be either pre-manufactured or job-built in accordance with 29 CFR 1926.652(c)(3) or (c)(4). Shields used in trenches are usually referred to as "trench boxes" or "trench shields."
- G. Sloping - A method of protecting employees from cave-ins by excavating to form sides of an excavation that are inclined away from the excavation so as to prevent cave-ins. The angle of incline required to prevent a cave-in varies with differences in such factors as the soil type, environmental conditions of exposure, and application of surcharge loads.
- H. Temporary Dewatering System – A system to lower and control water to maintain stable, undisturbed subgrades at the lowest excavation levels. Dewatering shall be provided for all pipelines, structures and for all other miscellaneous excavations.
- I. Trench - A narrow excavation (in relation to its length) made below the surface of the ground, of at least three feet in depth. In general, the depth is greater than the width, but the width of a trench (measured at the bottom) is not greater than 15 feet (4.6 m).

#### 1.4 SUBMITTALS

- A. Drawings and calculations for each Earth Retention System required in the Work. The submittal shall be in sufficient detail to disclose the method of operation for each of the various stages of construction required for the completion of the Earth Retention Systems.
  - 1. Submit calculations and drawings for Earth Retention Systems prepared, signed and stamped by a Professional Engineer registered in the state where the work is performed.
- B. Performance data for the compaction equipment to be utilized
- C. Construction methods that will be utilized for the removal of rock
- D. Modified Proctor Test (ASTM D1557) results and soil classification (ASTM D2487) for all proposed backfill materials at the frequency specified below:

1. For suitable soil materials removed during Excavation, perform one test for every 1,000 cubic yards of similar soil type. Similarity of soil types will be as determined by the Engineer.
  2. For borrow materials; perform tests at frequency specified in Section 02320, Borrow Materials.
- E. Compaction test results (i.e. ASTM D6938 or ASTM D1556) at a frequency determined by the Town. The Engineer will determine the locations and lifts to be tested. The Contractor shall plan his operations to allow adequate time for laboratory tests and to permit taking of field density tests during compaction.
1. Methods and equipment proposed for compaction shall be subject to prior review by the Engineer. Compaction generally shall be done with vibrating equipment. Static rolling without vibration may be required by the Engineer on sensitive soils that become unstable under vibration. Displacement of, or damage to existing utilities or structure shall be avoided. Any utility or structure damaged thereby shall be replaced or repaired as directed by the Engineer.
  2. Additional compaction testing may be required when there is evidence of a change in the quality of moisture control or the effectiveness of compaction.
    - a. Any costs associated with correcting and retesting as a result of a failure to meet compaction requirements shall be borne by the Contractor.
  3. If all compaction test results within the initial 25% of the total anticipated number of tests indicate compacted field densities equal to or greater than the project requirements, the Engineer may reduce frequency of compaction testing. In no case will the frequency be reduced to less than one test for every 500 cubic yards of material backfilled.
  4. The Contractor is cautioned that compaction testing by nuclear methods may not be effective where trenches are so narrow that trench walls impact the attenuation of the gamma radiation, when adjacent to concrete that impacts the accuracy of determining moisture content, or where oversize particles (i.e. large cobbles or coarse gravels) are present. In these cases, other field density testing methods may be required.
- F. Dewatering plan for the excavation locations. quality assurance
- G. All Excavation, Trenching, and related Earth Retention Systems shall comply with the requirements of OSHA excavation safety standards (29 CFR Part 1926 Subpart P and other State and local requirements. Where conflict between OSHA and State regulations exists, the more stringent requirements shall apply.

## 1.5 PROJECT CONDITIONS

- A. Notify Call Before You Dig a minimum of 2 full working days before start of excavation.
- B. Notify utility owners in reasonable advance of the work and request the utility owner to stake out on the ground surface the underground facilities and structures. Notify the Engineer in writing of any refusal or failure to stake out such underground utilities after reasonable notice.

- C. Make explorations and Excavations to determine the location of existing underground structures, pipes, house connection services, and other underground facilities if required.

## **PART 2 PRODUCTS**

### **2.1 SOIL MATERIALS**

- A. Fill material is subject to the approval of the Engineer and may be either material removed from excavations or borrow from off site. Fill material, whether from the excavations or from borrow, shall be of such nature that after it has been placed and properly compacted, it will make a dense, stable fill.
- B. Satisfactory fill materials shall include materials classified by ASTM D 2487 as GW, GP, GM, GP-GM, GW-GM, GC, GP-GC, SW, and SP. Additional requirements are included in Section 02320.
- C. Satisfactory fill materials shall not contain trash, refuse, vegetation, masses of roots, individual roots more than 18 inches long or more than 1/2 inch in diameter, or stones over 6 inches in diameter. Unless otherwise stated in the Contract Documents, organic matter shall not exceed minor quantities and shall be well distributed.
- D. Satisfactory fill materials shall not contain frozen materials nor shall backfill be placed on frozen material.
- E. Excavated surface and/or pavement materials such as gravel or trap rock that are salvaged may be used as a sub-grade material, if processed to the required gradation and compacted to the required degree of compaction. In no case shall salvaged materials be substituted for the required gravel base.

### **2.2 DEWATERING MATERIALS**

- A. Provide haybales and silt fence in accordance with Section 01570.
- B. Provide silt filter bags (Dandy Dewatering Bag, Dirtbag, JMP Environ-Protection Filter Bag, or equal) of adequate size to match flow rate.

## **PART 3 EXECUTION**

### **3.1 PREPARATION**

- A. Public Safety and Convenience
  - 1. Adhere to Connecticut State Requirements for all excavation work.
  - 2. Take precautions for preventing injuries to persons or damage to property in or about the Work.
  - 3. Provide safe access for the Owner and Engineer at site during construction.
  - 4. Do not obstruct site drainage, natural watercourses or other provisions made for drainage.

### **3.2 TEST PITS**

- A. Prior to test pitting operations, delineate the general scope of the excavation or boring on the paved surface of the ground using white paint, or stakes or other suitable white markings on non-paved surfaces and coordinate with the appropriate agencies in accordance MGL Chapter 82 Section 40. Pre-marking will not be acceptable if such

marks can interfere with traffic or pedestrian control or are misleading to the general public. Pre-marking will not be required of any continuous excavation that is over 500 feet in length

- B. Excavate test pits as required to verify location of existing utilities when approved by Owner, or as requested by the Owner. Expose the top of the adjacent utilities, at each test pit location.
- C. Contractor may, at its expense and with permission by the Owner, perform additional explorations not ordered by the Engineer.
- D. Perform test pits in accordance with the requirements of this section. Excavate the bottom 2 feet of the test pit (or in close proximity to known or anticipated utilities) by hand. Excavate to top of pipelines by hand. The Test pit work shall be performed to safely excavate and examine the structure or utility to be exposed without damaging existing utilities, including bracing, sheeting and dewatering the excavation, as necessary.
- E. Measure the depth to the top of the pipeline, as well as to adjacent utilities, from the ground surface, at each test pit location. Record location, depth and size of pipelines and utilities uncovered during the test pits. Record any other pertinent information which is learned as a result of excavating the test pit.
- F. Excavate test pits of an appropriate size with equipment suitable for the location and character of the pit to be excavated.
- G. All subsurface investigations shall be conducted in accordance 29 CFR Part 1926 Subpart P - OSHA Excavation Regulations 1926.650 through 1926.652 including Appendices A through F.
- H. After observation by the Engineer, backfill and compact the test pits in accordance with the requirements of this section.
- I. Repair damage to any structure, utility, or site feature on private or public property damaged during the Work to the satisfaction of the Engineer.
- J. If required by the CTDOT, repair paved surfaces in accordance with Section 02740.

### 3.3 CONSTRUCTION

- A. Earth Retention Systems
  - 1. Provide Earth Retention Systems necessary for safety of personnel and protection of the Work, adjacent work, utilities and structures.
  - 2. Maintain Earth Retention Systems for the duration of the Work.
  - 3. Sheeting
    - a. Systems shall be constructed using interlocking corner pieces at the four corners. Running sheet piles by at the corners, in lieu of fabricated corner pieces, will not be allowed.
    - b. Drive sheeting ahead of and below the advancing excavation to avoid loss of materials from below and from in front of the sheeting.



- c. Sheeting is to be driven to at least the depth specified by the designer of the earth retention system, but no less than 2 feet below the bottom of the Excavation.
- 4. Remove earth retention system, unless designated to be left in place, in a manner that will not endanger the construction or other structures. Backfill and properly compact all voids left or caused by the withdrawal of sheeting.
  - a. Remove earth retention systems, which have been designated by the Engineer to be left in place, to a depth of 3 feet below the established grade.

**B. Excavation**

- 1. Perform excavation to the lines and grades indicated on the Drawings. Backfill unauthorized over-excavation in accordance with the provisions of this Section.
- 2. Excavate with equipment selected to minimize damage to existing utilities or other facilities. Hand excavate as necessary to locate utilities or avoid damage.
- 3. Sawcut the existing pavement in the vicinity of the excavation prior to the start of excavation in paved areas, so as to prevent damage to the paving outside the requirements of construction.
- 4. Perform excavation in such a manner as to prevent disturbance of the final subgrade. The Engineer or Owner may require the final six inches of excavation be performed by hand, with the use of a smooth-faced bucket, or other means acceptable to the Engineer or Owner, at no additional cost if subgrade disturbance is considered excessive as judged by the Engineer or Owner.
- 5. During excavation, material satisfactory for backfill shall be stockpiled in an orderly manner at a distance from the sides of the excavation equal to at least one half the depth of the excavation, but in no case closer than 2 feet.
  - a. Excavated material not required or not suitable for backfill shall be removed from the site.
  - b. Perform grading to prevent surface water from flowing into the excavation.
  - c. Pile excavated material in a manner that will endanger neither the safety of personnel in the excavation nor the Work itself. Avoid obstructing sidewalks and driveways.
  - d. Hydrants under pressure, valve pit covers, valve boxes, manholes, curb stop boxes, fire and police call boxes, or other utility controls shall be left unobstructed and accessible until the Work is completed.
- 6. Grade or create berms or swales to direct surface water from excavations to appropriate structures designed to accommodate storm water. If no structures exist, direct water to areas that minimize impacts to adjacent structures and properties.
- 7. Make pipe trenches as narrow as practicable and keep the sides of the trenches undisturbed until backfilling has been completed. Provide a clear distance of 12 inches on each side of the pipe.

8. Perform the excavation in such a manner as to prevent disturbance of the final subgrade. If excessive subgrade disturbance is occurring, as judged by the Owner or Engineer, then the final 6 inches of the excavation shall be performed by hand, with the use of a smooth-faced bucket, or other means acceptable to the Engineer or Owner.
  - a. Grade the excavation bottom to provide uniform bearing and support for the bottom quadrant of each section of pipe.
  - b. Excavate bell holes at each joint to prevent point bearing.
  - c. Remove stones greater than 6 inches in any dimension from the bottom of the trench to prevent point bearing.
9. If satisfactory materials are not encountered at the design subgrade level, excavate unsatisfactory materials to the depth directed by the Engineer and properly dispose of the material. Backfill the resulting extra depth of excavation with satisfactory fill materials and compact in accordance with the provisions of this Section.

C. Backfill and Compaction

1. Unless otherwise specified or indicated on the Drawings, use satisfactory material removed during excavation for backfilling trenches. The Engineer may require stockpiling, drying, blending and reuse of materials from sources on the Project.
2. Spread and compact the material promptly after it has been deposited. When, in the Engineer's judgment, equipment is inadequate to spread and compact the material properly, reduce the rate of placing of the fill or employ additional equipment.
3. Soil bearing surfaces shall be protected against freezing and the elements before and after concrete placement. If construction is performed during freezing weather, structures shall be backfilled as soon as possible after they are constructed. Insulating blankets or other means shall be used for protection against freezing at the discretion of the Engineer or Owner.
4. When excavated material is specified for backfill and there is an insufficient amount of this material at a particular location on the Project due to rejection of a portion thereof, consideration will be given to the use of excess material from one portion of the Project to make up the deficiency existing on other portions of the Project.
  - a. Use borrow material if there is no excess of excavated material available at other portions of the Project.
5. Backfilling and compaction methods shall attain 95% of maximum dry density at optimum moisture content as determined in accordance with ASTM D1557.
6. Do not place stone or rock fragment larger than six inches in greatest dimension in the backfill.
7. Maximum loose lift height for backfilling existing or borrow material shall be 12 inches, unless satisfactory compaction is demonstrated otherwise to the Engineer

through field-testing. In no case shall loose lift height for backfilling exceed 3 feet.

8. Do not drop large masses of backfill material into the trench endangering the pipe or adjacent utilities.
9. Install pipe in rock excavated trenches on a dense graded stone bedding with a minimum depth of 6 inches. Shape the stone bedding at the pipe bells to provide uniform support. Encase the pipe in the dense graded crushed stone bedding to a grade 6 inches over the top of the pipe and 12 inches on each side of the pipe.
10. Backfill from the bottom of the trench to the centerline of the pipe with the specified material. This initial backfill is to be placed in layers of no more than 6 inches and thoroughly tamped under and around the pipe. This initial backfilling shall be deposited in the trench for its full width on both sides of the pipe, fittings and appurtenances simultaneously.
11. Electrical conduit not encased in concrete, shall be backfilled with sand borrow conforming to the requirements of Section 02320. The backfill shall be placed in the trench for its full width and shall extend to 12 inches over the conduit.
12. Where excavation is made through permanent pavements, curbs, paved driveways, or paved sidewalks, or where such structures are undercut by the excavation, place the entire backfill to sub-grade with granular materials and compact in 6 inch layers. Use approved mechanical tampers for the full depth of the trench. If required, sprinkle the backfill material with water before tamping so as to improve compaction. Any trenches improperly backfilled, or where settlement occurs, shall be reopened to the depth required to correct the problem, and shall then be refilled and properly compacted with the surface restored to required grade at no additional expense.
13. The Contractor shall not place backfill against or on structures until they have attained sufficient strengths to support the loads to which they will be subjected, without distortion, cracking, or other damage. As soon as possible after the structures are adequate, they shall be backfilled with suitable backfill material.
14. Place and compact backfill around manholes, vaults, or other structures in six inch layers, from a point one foot over the pipe. Exercise care to protect and prevent damage to the structures.
15. Install impervious trench dams where stone borrow is used for pipe bedding to prevent groundwater from following along the stone bedding. Install dams every 100 feet.

**D. Dewatering**

1. Provide, operate and maintain adequate pumping, diversion and drainage facilities in accordance with the approved dewatering plan to maintain the excavated area sufficiently dry from groundwater and/or surface runoff so as not to adversely affect construction procedures nor cause excessive disturbance of underlying natural ground. Locate dewatering system components so that they do not interfere with construction under this or other contracts.
2. Conduct operations so as to prevent at all times the accumulation of water, ice and snow in excavations or in the vicinity of excavated areas so as to prevent water from interfering with the progress or quality of the work.

3. Take actions necessary to ensure that dewatering discharges comply with permits applicable to the Project. Dispose of water from the trenches and excavations in such a manner as to avoid public nuisance, injury to public health or the environment, damage to public or private property, or damage to the work completed or in progress.
4. Repair any damage resulting from the failure of the dewatering operations and any damage resulting from the failure to maintain all the areas of work in a suitable dry condition.
5. Exercise care to ensure that water does not collect in the bell or collar holes to sufficient depth to wet the bell or collar of pipes waiting to be jointed.
6. Take precautions to protect new work from flooding during storms or from other causes. Control the grading in the areas surrounding all excavations so that the surface of the ground will be properly sloped to prevent water from running into the excavated area. Where required, provide temporary ditches for drainage. Upon completion of the work, all areas shall be restored to original condition.
7. Brace or otherwise protect pipelines and structures not stable against uplift during construction.
8. Do not excavate until the dewatering system is operational and the excavation may proceed without disturbance to the final subgrade.
9. Unless otherwise specified, continue dewatering uninterrupted until the structures, pipes, and appurtenances to be installed have been completed such that they will not float or be otherwise damaged by an increase in groundwater elevation.
10. Temporarily lower the groundwater level at least two feet below excavations to limit potential “boils”, loss of fines, or softening of the ground. If any of these conditions are observed, submit a modified dewatering plan to the Engineer within 48 hours. Implement the approved modified plan and repair any damage incurred.
11. When subgrades are soft, weak, or unstable due to improper dewatering techniques, remove and replace the materials in accordance with Section 02320 at no cost to the Owner.
12. Notify the Engineer immediately if any settlement or movement is detected of survey points adjacent to excavations being dewatered. If settlement is deemed by the Engineer to be related to the dewatering, submit a modified dewatering plan to the Engineer within 24 hours. Implement the approved modified plan and repair any damage incurred to the adjacent structure at no cost to the Owner.
13. Dewatering discharge:
  - a. Install sand and gravel, or crushed stone, filters in conjunction with sumps, well points, and/or deep wells to prevent the migration of fines from the existing soil during the dewatering operation.
  - b. Transport pumped or drained water without interference to other work, damage to pavement, other surfaces, or property. Pump water through a silt filter bag or other approved sedimentation device prior to discharge to grade of drainage system.

- c. Do not discharge water into any sanitary sewer system.
  - d. Provide separately controllable pumping lines.
  - e. The Engineer reserves the right to sample discharge water at any time.
14. Install erosion/sedimentation controls for velocity dissipation at point discharges onto non-paved surfaces.

Removal: Do not remove dewatering system without written approval from the Engineer. Backfill and compact sumps or ditches with screened gravel or crushed stone in accordance with Section 02320. Remove well points and deep wells. Backfill abandoned well holes with cement grout having a water cement ratio of 1 to 1 by volume.

### 3.4 PROTECTION

#### A. Protection of Existing Structures

1. All existing foundations, conduits, wall, pipes, wires, poles, fences, property line markers and other items which the Engineer decides must be preserved in place without being temporarily or permanently relocated, shall be carefully supported and protected from damage by the Contractor. Should such items be damaged, they shall be restored by the Contractor to at least as good condition as that in which they were found immediately before the Work began.

#### B. Accommodation of Traffic

1. Streets and drives shall not be unnecessarily obstructed. The Contractor shall take such measures at his own expense to keep the street or road open and safe for two-way traffic unless otherwise indicated.
2. Construct and maintain such adequate and proper bridges over excavations as may be necessary or as directed for the safe accommodation of pedestrians and vehicles. Provide substantial barricades at crossings of trenches, or along the trench to protect the traveling public.
3. Where deemed necessary, such additional passageways as may be directed shall be maintained free of such obstructions. All material piles, open excavations, equipment, and pipe which may serve as obstructions to traffic shall be protected by proper lights, signage, or guards as necessary.
4. All traffic controls shall be in accordance with the Manual on Uniform Traffic Control Devices for Streets and Highways, latest edition.

#### C. Erosion and Sedimentation Control

1. Take all necessary steps to prevent soil erosion.
2. Plan the sequence of construction so that only the smallest practical area of land is exposed at any one time during construction.

END OF SECTION

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## SECTION 02317

### UNDERGROUND WARNING TAPE

#### PART 1 GENERAL

##### 1.1 SUMMARY

- A. Section Includes
  - 1. Underground Warning Tape

##### 1.2 SUBMITTALS

- A. Shop Drawing Submittals
  - 1. Product Data

#### PART 2 PRODUCTS

##### 2.1 MATERIALS

- A. Metallic warning tape for underground piping shall be polyethylene tape with metallic core for easy detection and location of piping with a metal detector.
- B. Tape shall be 6 inches wide.
- C. Tape shall be as manufactured by Seton Name Plate Corp., New Haven, CT; Presco Detectable Underground Warning tape, Sherman, Texas; Blackburn Manufacturing, Neligh, NE; Mercotape, Hachensach, NJ; or equal.
- D. The warning tape shall be heavy gauge 0.004 inch polyethylene and shall be resistant to acids, alkalis and other soil components. It shall be highly visible in the following colors with the associated phrases stamped in black letters and repeated at a maximum interval of 40 inches.

Type of Utility	Color	Warning Message
Sanitary Sewer	Green	CAUTION - SANITARY SEWER BURIED BELOW

- E. The tape shall be of the type specifically manufactured for marking and locating utilities.

#### PART 3 EXECUTION

##### 3.1 INSTALLATION

- A. All buried pipe and fittings shall be installed with metallic-lined underground warning tape located no more than 24 inches below final grade to allow detection by a metal detector.

END OF SECTION

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## 4SECTION 02320

## BORROW MATERIALS

## PART 1 GENERAL

## 1.1 SUMMARY

- A. Section Includes
  - 1. Ordinary Borrow
  - 2. Processed Aggregate for Pavement Sub-base
  - 3. Granular Fill
  - 4. Stone Borrow
- B. Related Sections
  - 1. Section 02315 – Excavation, Backfill, Compaction and Dewatering

## 1.2 REFERENCES

- A. ASTM C136 - Standard Test Method for Sieve Analysis of Fine and Coarse Aggregates
- B. ASTM C117 - Standard Test Method for Materials Finer than 75  $\mu\text{m}$  (No. 200) Sieve in Mineral Aggregates by Washing
- C. ASTM D698 – Standard Test Methods for Laboratory Compaction Characteristics of Soil using Standard Effort (12,400 ft-lbf/ft<sup>3</sup>)
- D. ASTM D1556 - Standard Test Method for Density and Unit Weight of Soil in Place by the Sand-Cone Method
- E. ASTM D1557 – Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lb/ft<sup>3</sup>)
- F. ASTM D2434 - Standard Test Method for Permeability of Granular Soils (Constant Head)
- G. ASTM D2487 - Standard Classification of Soils for Engineering Purposes (Unified Soil Classification System)
- H. ASTM D6938 - Standard Test Method for In-Place Density and Water Content of Soil and Soil-Aggregate by Nuclear Methods (Shallow Depth)
- I. AASHTO – Standard Specification for Transportation Materials and Methods of Sampling and Testing, 1986 Edition as amended
- J. State of Connecticut “Standard Specification for Roads and Bridges,” Form 818, as amended

## 1.3 SUBMITTALS

- A. Provide sieve analysis (ASTM C136) and permeability analysis (ASTM D2434) from certified soils testing laboratory for all borrow materials. Take and test a sample, at no additional cost to the Owner for each 1,500 c.y. of borrow material placed if requested by Owner.

- B. Provide modified proctor analysis (ASTM D1557) from certified soils testing laboratory for all borrow materials if required.
  - 1. All other borrow materials shall be tested once unless more frequent testing is deemed necessary by the Engineer or Owner due to material variation.
- C. The Engineer reserves the right to require more frequent testing than that which is specified above should the borrow characteristics change.

#### 1.4 QUALITY ASSURANCE

- A. No borrow shall be placed prior to the approval of Samples by the Engineer.

#### 1.5 PROJECT/SITE CONDITIONS

- A. Existing Conditions
  - 1. Comply with any environmental requirements and restrictions.
  - 2. Keep all public and private roadway surfaces clean during hauling operations and promptly and thoroughly remove any borrow or other debris that may be brought upon the surface before it becomes compacted by traffic. Frequently clean and keep clean the wheels of all vehicles used for hauling to avoid bringing any dirt upon the paved surfaces.

### PART 2 PRODUCTS

#### 2.1 GRAVEL SUBBASE

- A. Gravel subbase shall conform to the requirements of M.02.02 of Form 818 Standard Specifications.

#### 2.2 PROCESSED AGGREGATE

- A. Processed aggregate for use as a road base shall conform to the requirements of Article M.05.01 of the Form 818 Standard Specifications.

#### 2.3 STONE BORROW

- A. Foundation stone for normal pipe bedding shall be graded, crushed, broken or processed stone, conforming to the grading requirements for 3/8 inch or 3/4 inch stone of Article M.01.01 of the Form 818 Standard Specifications. In areas where excavation is ordered below the design elevation due to unsuitable soils, Foundation Stone up to 3" minus will be used.
- B. Bank run gravel for pavement subbase shall conform to the requirements of Section M.02.01.1 of the Form 818 Standard Specifications.

#### 2.4 ORDINARY BORROW

- A. Ordinary borrow shall consist of inorganic granular soils and/or stone having not more than 20 percent by weight passing the No. 200 sieve. The maximum stone size shall be 1 ½ inches for use as select trench backfill or 4 inches for common trench backfill. Borrow shall be well-graded throughout the entire size range and shall be free from roots, leaves, or other organic materials. No part of the borrow shall consist of rubbish, trash or garbage in any quantity. Borrow shall also be free of ice or frost and no aggregations of soil particles shall be frozen. The moisture content of the borrow shall be within 3 percent of its optimum moisture content at the borrow source.



- B. Ordinary borrow shall be in compliance with the State of Connecticut Department of Transportation Standard Specifications Form 818 Section 2.07 "Borrow".

## **PART 3 EXECUTION**

### **3.1 INSTALLATION**

- A. Prior to the placement of borrow material, site preparation shall be completed as required by the Contract Documents and approved by the Engineer.
- B. Ensure that all materials are properly stockpiled on site to prevent contamination by other materials.
- C. Place borrow material over the entire area in uniform lifts and compact in accordance with Section 02315.
- D. Utilize on-site soils prior to using off-site borrow provided on-site soils meet the requirements of the specifications.
- E. Utilize gravel borrow in all locations where a surface treatment has not been specified but requires a firm finish surface.
- F. Processed gravel for pavement subbase is intended to provide a stable foundation for driveways, sidewalk and roadway repair where a gravel base has been specified.
- G. Borrow shall be used as a replacement for unsuitable materials where poor soil conditions are encountered during the progress of the work, where approved by the Engineer. Borrow type will be determined by the Engineer. Borrow material used as a replacement for unsuitable soil is not intended to be an aid to dewatering.
- H. Shape borrow used for pipe foundation material so that it supports the pipe properly and will not damage the pipe, bells, collars, or the pipe fittings.
- I. Place all borrow to keep it free of other materials and to prevent segregation.

END OF SECTION

## SECTION 02503

## TESTING OF SANITARY SEWER SYSTEMS

## PART 1 GENERAL

## 1.1 SUMMARY

- A. Section Includes
  - 1. Testing of Gravity Sewer Systems
- B. Related Sections
  - 1. Section 02958 – Television Inspection of Pipelines

## PART 2 PRODUCTS – NOT USED

## PART 3 EXECUTION

## 3.1 TESTING OF GRAVITY SEWER SYSTEMS

- A. Test all gravity sewers for allowable leakage by low pressure air test as described herein.
- B. No building shall be connected to a newly installed sewer until the sewer has been satisfactorily tested.
  - 1. Low Pressure Air Test
    - a. After completing backfill of a section of pipe including laterals, conduct a line acceptance test using low-pressure air. Perform the test under the supervision of the Engineer.
    - b. Seal-test pneumatic plugs before use in the actual test installation. Lay one length of pipe on the ground and seal at both ends with the pneumatic plugs to be checked. Introduce air into the plugs to 25 psig. Pressurize the sealed pipe to 5 psig. Satisfactory pneumatic plugs will hold against this pressure without bracing and without movement of the plugs out of the pipe.
    - c. After a manhole reach of pipe has been backfilled and cleaned, and the pneumatic plugs have been checked, place a plug in each end of the line (at each manhole), and inflate the plugs to 25 psig. Introduce low pressure air into this sealed line until the internal air pressure reaches 4 psig greater than the average back pressure of any groundwater that may be over the pipe. Allow a minimum of two minutes for the air pressure to stabilize. After the stabilization period (3.5 psig minimum pressure in the pipe), disconnect the air hose from the control panel to the air supply. The portion of the line being tested has passed the test if the time required in minutes for the pressure to decrease from 3.5 to 2.5 psig (greater than the average back pressure of any groundwater that may be over the pipe) is not less than the time shown for the given diameters and lengths in Table 1 at the end of this Section.

- d. Air tests shall cover a 1.0 psig pressure drop; 0.5 psig pressure drop tests are not acceptable.
- e. In areas where groundwater is known to exist, install a one-half inch diameter capped pipe nipple, approximately 10 inches long, through the manhole wall on top of one of the sewer lines entering the manhole. The nipple shall be installed at the time the sewer line is installed. Immediately prior to the line acceptance test, determine the elevation of the groundwater by removing the pipe cap, blowing air through the pipe nipple to remove any obstructions, and then connecting clear plastic tube to the nipple. Hold the hose vertically and measure the height after the water has stopped rising in this plastic tube. Divide the height in feet by 2.3 to establish the pressure in pounds per square inch (psig) that will be added to all readings. (For example, if the height of water is 11.5 feet, then the added pressure will be 5 psig. This increases the 3.5 psig to 8.5 psig, and the 2.5 psig to 7.5 psig. The allowable drop of one pound per square inch and the timing remain the same.)
- f. The maximum starting test pressure should not exceed 9 psig, regardless of groundwater level above the pipe. If the groundwater level is such that the added pressure would be greater than 5.5 psig (12.7 feet), the pipe section may be tested using a starting pressure of 9 psig.
- g. Each pipe nipple installed to measure groundwater levels should be recapped subsequent to the air testing procedure to prevent future infiltration.
- h. As an alternative to installing a pipe nipple in a manhole to measure the height of groundwater, excavate a test pit over the pipe to determine the height of groundwater.

C. Vacuum Test for Manholes - Gravity Sewer Lines

- 1. After a manhole has been constructed, conduct a manhole acceptance test using the following vacuum test procedure:
  - a. Plug all lift holes with an approved non-shrink grout.
  - b. Plug all pipes entering the manhole, taking care to securely brace the plug from being drawn into the manhole.
  - c. Place the test head at the inside of the top of the precast concrete cone section and inflate the seal in accordance with the manufacturers' recommendations.
  - d. Draw a vacuum of 10 inches of mercury and shut off the vacuum pump. With the valves closed, measure the time for the vacuum to drop to 9 inches. The manhole shall pass if the time is greater than:
    - 1 min. 0 sec. for 0-ft. to 10-ft. deep manholes
    - 1 min. 15 sec. for 10-ft. to 15-ft. deep manholes
    - 1 min. 30 sec. for 15-ft. to 25-ft. deep manholes

- e. If the manhole fails the initial test, make repairs with a non-shrink grout. Re-test until a satisfactory test is obtained.
- D. Allowable Deflection Test for flexible pipe types including polyvinyl chloride (PVC), high-density polyethylene (HDPE), or polypropylene (PP)
  - 1. Pipe deflection measured not less than 45 days after the backfill has been completed shall not exceed 5 percent. Deflection shall be computed by multiplying the amount of deflection (average outside diameter less twice the average wall thickness diameter when measured) by 100 and dividing by the nominal diameter of the pipe.
  - 2. Deflection shall be measured with a rigid mandrel (Go-No-Go) device cylindrical in shape and constructed with a minimum of nine or ten evenly spaced arms or prongs. Submit drawings of the mandrel with complete dimensions for each diameter of pipe to be tested. Hand-pull the mandrel through all sewer and drain lines.
  - 3. Uncover any section of pipe not passing the mandrel and replace the bedding and backfill to prevent excessive deflection. Replace sections of the pipe as necessary. Retest repaired pipe immediately upon backfilling of trench until acceptable.
  - 4. Retest the repaired section of pipeline again, from manhole to manhole, after the 45-day backfill period, until acceptable.
- E. Test Failures
  - 1. In case leakage or deflection exceeds the above specified amount, locate the failure and repair it in accordance with applicable Sections of this Contract.
  - 2. Pipelines with shear-type breaks, “fishmouths” or damaged gaskets, cracked bells or couplings, hairline fractures, or structural damage shall be replaced. Mechanical sleeve couplings, poured concrete collars or similar repairs are not permitted. The use of pressure grouting repair techniques will not be allowed without the written consent of the Engineer.
  - 3. After repairs have been made, re-test the line and repeat the process of repairing and re-testing until satisfactory test results, as specified in this Section, are obtained.
- F. Alignment of Gravity Sewers and Drains
  - 1. Lay gravity sewers and drains accurately to line and grade.
  - 2. After the pipe is laid and backfill complete, TV inspect the interior of the pipe from manhole to manhole. If excessive deviation in either the horizontal or vertical alignment is observed by the Engineer, the alignment is considered unacceptable.
  - 3. If the alignment is unacceptable due to horizontal displacement, the Contractor will be allowed to construct intermediate manholes at his own expense. If the alignment is unacceptable due to vertical displacement, remove and replace the pipe to the proper grade.

**TABLE I**  
 Specification Time Required for a 1.0 PSIG Pressure Drop  
 For Size and Length of Pipe Indicated for Q=0.0015

1 Pipe Diameter (in.)	2 Minimum Time (min:sec)	3 Length for Minimum Time (ft)	4 Time for Longer Length (sec)	Specification Time for Length (L) Shown (min:sec)							
				100 ft.	150 ft.	200 ft.	250 ft.	300 ft.	350 ft.	400 ft.	450 ft.
4	3:46	597	.380 L	3:46	3:46	3:46	3:46	3:46	3:46	3:46	3:46
6	5:40	398	.854 L	5:40	5:40	5:40	5:40	5:40	5:40	5:42	6:24
8	7:34	298	1.520 L	7:34	7:34	7:34	7:34	7:36	8:52	10:08	11:24
10	9:26	239	2.374 L	9:26	9:26	9:26	9:53	11:52	13:51	15:49	17:48
12	11:20	199	3.418 L	11:20	11:20	11:24	14:15	17:05	19:56	22:47	25:38
15	14:10	159	5.342 L	14:10	14:10	17:48	22:15	26:42	31:09	35:36	40:04
18	17:00	133	7.692 L	17:00	19:13	25:38	32:03	38:27	44:52	51:16	57:41
21	19:50	114	10.470 L	19:50	26:10	34:54	43:37	52:21	61:00	69:48	78:31
24	22:40	99	13.674 L	22:47	34:11	45:34	56:58	68:22	79:46	91:10	102:33
27	25:30	88	17.306 L	28:51	43:16	57:41	72:07	86:32	100:57	115:22	129:48
30	28:20	80	21.366 L	35:37	53:25	71:13	89:02	106:50	124:38	142:26	160:15
33	31:10	72	25.852 L	43:05	64:38	86:10	107:43	129:16	150:43	172:21	193:53
36	34:00	66	30.768 L	51:17	76:55	102:34	128:12	153:50	179:29	205:07	230:46

Uni Bell PVC Pipe  
 Association Publication  
 Uni-B-6-90

END OF SECTION

## SECTION 02515

## POLYVINYL CHLORIDE (PVC) PIPE AND FITTINGS

## PART 1 GENERAL

## 1.1 SUMMARY

- A. Section Includes
  - 1. PVC Gravity Pipe and Fittings
  - 2. PVC Pressure Pipe and Fittings
- B. Related Sections
  - 1. Section 02315 - Excavation, Backfill, Compaction, and Dewatering
  - 2. Section 02320 - Borrow Material
  - 3. Section 02503 - Testing of Sanitary Sewer and Storm Drainage Systems

## 1.2 REFERENCES

- A. ASTM D2241 - Specification for Polyvinyl Chloride (PVC) Plastic Pipe (SDR-PR)
- B. ASTM D2412 - Standard Test Method for External Loading Properties of Plastic Pipe by Parallel-Plate Loading
- C. ASTM D2444 - Standard Test Method for Impact Resistance of Thermoplastic Pipe and Fittings by Means of a Tup (Falling Weight)
- D. ASTM D3034 - Specification for Type PSM Polyvinyl Chloride (PVC) Sewer Pipe and Fittings
- E. ASTM D3139 - Standard Specifications for Joints for Plastic Pressure Pipes Using Flexible Elastomeric Seals
- F. ASTM D3212 - Specification for Joints for Drain and Sewer Plastic Pipes Using Flexible Elastomeric Seals
- G. ASTM F477 - Specification for Elastomeric Seals (Gaskets) for Joining Plastic Pipe
- H. ANSI/AWWA C110/A21.10, Ductile Iron and Grey Iron Fittings 3" through 48" for Water and Other Liquids
- I. ANSI/AWWA-C153/A21.53, Ductile Iron Compact Fittings Water Service
- J. AWWA C900 - Polyvinyl Chloride (PVC) Pressure Pipe, 4 in. through 12 in., For Water Distribution

## 1.3 SUBMITTALS

- A. Submit specifications and shop drawings for materials and equipment furnished under this Section.
- B. Prior to first shipment of pipe, submit certified test reports that the pipe for this Contract was manufactured and tested in accordance with the ASTM Standards specified herein.

#### 1.4 QUALITY ASSURANCE

- A. Each type of PVC pipe and fittings shall be from a single manufacturer. Alternatively, the pipe manufacturer shall provide certification that the fittings are suitable for installation with the pipe.
- B. Inspection of the pipe will also be made by the Owner after delivery. The pipe shall be subject to rejection at any time on account of failure to meet any of the Specification requirements. Pipe rejected after delivery shall be marked for identification and shall immediately be removed from the job site.

### PART 2 PRODUCTS

#### 2.1 MATERIALS

- A. Gravity Pipe
  - 1. Polyvinyl chloride (PVC) pipe shall be of the size indicated on the Drawings or as specified and shall conform to the latest revision of ASTM D3034, Type SDR 35 for diameters less than or equal to 15 inch diameter and ASTM F679 for pipe greater than 15 inch diameter. Standard laying lengths shall not exceed 14.0 feet.
  - 2. Joints shall be elastomeric gasket joints and shall provide a watertight seal. Gaskets shall be in accordance with ASTM F477. Assembly of joints shall be in accordance with ASTM D3212.
  - 3. The minimum "pipe stiffness" (load divided by change in inside diameter in direction of load application) at 5% deflection shall be at least 46 psi for pipe tested in accordance with ASTM D2412.
  - 4. No shattering or splitting shall be evident when 150 ft.-lbs. and 210 ft.-lbs. is impacted on 4 inch and 6 inch diameter pipe, respectively, in accordance with ASTM Method of Test D2444.
  - 5. Pipe lengths and fittings to be used on the project shall be clearly marked on the outside in bold type with the name of the manufacturer, pipe size, pipe material, pipe class, and ASTM designation.
  - 6. Gravity Pipe Lateral Connections
    - a. Gravity pipe lateral connection wyes and tees are to be laid in the run of the main pipeline. The wyes and tees shall be the same material as the main line and shall conform in material, joints and class with the line into which they are to be laid.
    - b. Eighth and sixteenth bends and end plugs are to be of the same material and class or strength designation as the pipe for the house connection.
    - c. Unless noted on the Drawings, lateral piping shall be 6 inch diameter and shall be of the same material as the main line PVC pipe.
- B. Pressure Pipe for shallow gravity sewer installation
  - 1. Polyvinyl Chloride (PVC) pressure pipe shall be of the size indicated on the plans and shall conform to the requirements of the AWWA C900 Standards for PVC900 DR 18 Pressure Pipe for Water with ductile iron pipe equivalent outside diameters. Joints shall use elastomeric gaskets and be in accordance with ASTM D3139. Gaskets shall conform to ASTM F477.

2. The standard laying length shall not exceed 20 feet. The minimum “pipe stiffness” (load divided by change in inside diameter in direction of load application) at 5% deflection shall be at least 46 psi for pipe tested in accordance with ASTM Specifications D2412.
3. Bends shall be constructed of cast iron or ductile iron in accordance with AWWA C110/A21.10 and AWWA C153/A21.53, with mechanical joint ends.
4. Pipe and fittings shall be clearly marked on the outside indicating the name of the manufacturer, nominal diameter, and the ASTM or AWWA designation or both for the DR18 Polyvinyl Chloride Pressure Pipe.
5. Pressure pipe for gravity sewers will only be used where directed by Engineer or Owner.

### **PART 3 EXECUTION**

#### **3.1 HANDLING PIPE AND FITTINGS**

- A. Take care in loading, transporting, and unloading to prevent injury to the pipe. Do not drop pipe or fittings. Examine pipe and fittings before installing, and no piece shall be installed that is found to be defective.
- B. If any defective pipe is discovered after it has been installed, remove and replace it with a sound pipe in a satisfactory manner. Thoroughly clean pipe and fittings before installing, keep clean until they are used in the work, and conform to the lines, grades and dimensions required when installed.
- C. Pipe ends requiring cutting shall be cut square without damage to the remaining pipe. Bevel cut pipe ends 1/8 inch at approximately 30 degrees to provide proper assembly of the joint. Beveling can be done with a coarse file or portable grinder.
- D. Support stored pipe from below at not more than 3 foot intervals to prevent deformation. Do not stack pipe higher than 6 feet. Store pipe and fittings in a manner which will keep them at ambient outdoor temperatures. Provide temporary shading as required to meet this requirement. Simply covering of the pipe and fittings which allows temperature buildup when exposed to direct sunlight will not be permitted.

#### **3.2 INSTALLATION**

- A. No single piece of pipe shall be laid unless it is generally straight. The centerline of the pipe shall not deviate from a straight line drawn between the centers of the openings at the ends of the pipe by more than 1/16 inch per foot of length. If a piece of pipe fails to meet this required check for straightness, it shall be rejected and removed from the site. Laying instructions of the manufacturer shall be explicitly followed.
- B. Install piping and fittings true to alignment and grade. If necessary, each length of pipe shall be cleaned out before installation.
- C. Excavation, trenching and back filling procedures shall be in accordance with Section 02315.
- D. All PVC gravity pipe shall be installed on a bed of 3/4-inch crushed stone borrow meeting the requirements of Section 02320 and have a minimum depth of 6 inches. The 3/4-inch crushed stone borrow shall also completely encase the pipe and cover the pipe to a grade 6 inches over the top of the pipe for the entire width of the trench. Bell holes



shall be made in the 3/4-inch crushed stone borrow bedding such that the pipe shall be uniformly supported throughout the entire length of the barrel section.

- E. All pipe shall be tested in accordance with Section 02503.
- F. All PVC force main pipe shall be installed with proper bedding using compacted native materials which provide uniform longitudinal support under the pipe. When an unstable subgrade material is encountered which will provide inadequate pipe support, additional trench depth should be excavated and refilled with suitable material. Initial backfill material should be placed to a minimum one foot over the top of the pipe. Pipe backfill material should be selected and placed carefully, avoiding stones, frozen lumps, and debris.
- G. Deflections in Pipe Alignment
  - 1. Wherever it is necessary to deflect pipe from a straight line, either in the vertical or horizontal plane, to avoid obstructions or where long-radius curves are permitted, the amount of deflection allowed shall not exceed that required for satisfactory making of the joint, and shall be approved by the Engineer.
  - 2. Prior to deflecting the pipeline, the spigot of the pipeline should be marked flush with the bell end to assure that the spigot is not withdrawn excessively as the result of the deflection. After the pipe is deflected, an adequate depth of jointing material must remain on the side where the spigot is away from home and an adequate width of caulking space must remain on the opposite side of the pipe at the face of the bell.
  - 3. The maximum deflection recommended by the manufacturer when using any pipe system must be observed when deflecting a pipeline.
  - 4. In general, all radius curves called for on the Drawings or permitted at the time of construction are to be made using full lengths of pipe. The use of short lengths of pipe and extra joints in order to make a smaller radius turn will not be allowed without the written approval of Engineer.
- H. Unsuitable Laying Conditions
  - 1. No pipe shall be laid in water, in an unsuitable trench or during unsuitable weather conditions.

END OF SECTION

## SECTION 02530

## MANHOLES

## PART 1 GENERAL

## 1.1 SUMMARY

- A. Section Includes
  - 1. Precast concrete manholes
  - 2. Cast iron manhole frames and covers
- B. Related Sections
  - 1. Section 02503 - Testing of Sanitary Sewer Systems

## 1.2 REFERENCES

- A. AASHTO – American Association of State Highway and Transportation Officials, Standard Specifications for Highways and Bridges, most recent edition
- B. ASTM C32 - Standard Specification for Sewer and Manhole Brick (made from clay or shale)
- C. ASTM A48 – Standard Specification for Gray Iron Castings
- D. ASTM C150 – Standard Specification for Portland Cement
- E. ASTM C207 – Standard Specification for Hydrated Lime for Masonry Purposes
- F. ASTM C478 – Standard Specification for Precast Reinforced Concrete Manhole Sections
- G. ASTM C443 – Standard Specification for Joints for Circular Concrete Sewer and Culvert Piping Using Rubber Gaskets
- H. ASTM C923 - Standard Specification for Resilient Connectors between Reinforced Concrete Manhole Structures, Pipes and Laterals
- I. ASTM C990 – Standard Specification for Joints for Concrete Pipe, Manholes, and Precast Box Sections Using Preformed Flexible Joint Sealants

## 1.3 SUBMITTALS

- A. Submit Shop Drawings, showing all details of construction, including, but not limited to, structure dimensions, reinforcing, joints, and pipe connections to structures.
- B. Submit on all materials and products included in this specification, including, but not limited to, manhole rungs, manhole frames and covers, dampproofing coating, brick masonry, mortar, and non-shrink water-proof grout.
- C. Submit weights of manhole frames and covers.
- D. Submit design calculations including verification of adequate anti-flotation features and lateral earth pressures. Calculations shall verify that the manhole structure has been designed to withstand the burial depth, submergence due to flooding, flotation, and dead and live loads.

## 1.4 QUALITY ASSURANCE

- A. The quality of materials, the process of manufacture, and the finished sections shall be subject to inspection and approval by the Engineer, or other representative of the Owner. Such inspection may be made at the place of manufacture, or at the Site after delivery, or at both places, and the materials shall be subject to rejection at any time on account of failure to meet any of the Specification requirements, even though samples may have been accepted as satisfactory at the place of manufacture. Material rejected after delivery to the job shall be marked for identification and shall be removed from the job at once. Materials which have been damaged after delivery will be rejected, and if already installed, shall be acceptably repaired, if permitted, or removed and replaced, at no additional cost to the Owner.
- B. At the time of inspection, the materials will be carefully examined for compliance with the latest ASTM designation specified and these Specifications, and with the approved manufacturer's drawings. Manhole sections will be inspected for general appearance, dimension, "scratch-strength," blisters, cracks, roughness, and soundness. The surface shall be dense and close-textured.
- C. Imperfections in manhole sections may be repaired, subject to the approval of the Engineer, after demonstration by the manufacturer that strong and permanent repairs result. Repairs will be carefully inspected before final approval. Cement mortar used for repairs shall have a minimum compressive strength of 4,000 psi at 7 days and 5,000 psi at 28 days, when tested in 3 inch by 6 inch cylinders stored in the standard manner. Epoxy mortar may be utilized for repairs subject to the approval of the Engineer.
- D. Personnel shall have confined space entry training as appropriate for the work to be performed.
- E. Manholes shall be designed for lateral earth pressures and to resist flotation.

## PART 2 PRODUCTS

### 2.1 PRECAST CONCRETE MANHOLE SECTIONS

- A. Precast concrete barrel sections and transition top sections, shall conform to ASTM C478 and the following requirements:
  - 1. The wall thickness shall not be less than 5 inches for 48 inch diameter reinforced barrel sections, 6 inches for 60 inch diameter reinforced barrel sections and 7 inches for 72 inch diameter reinforced barrel sections.
  - 2. Top sections shall be eccentric except that flat top sections shall be used where shallow cover requires a top section less than 4 feet as shown on the Drawings.
  - 3. Barrel sections shall have tongue and groove joints.
  - 4. All sections shall be cured by an approved method and shall not be shipped nor subjected to loading until the concrete compressive strength has attained 3,000 psi and not before 5 days after fabrication and/or repair, whichever is longer.
  - 5. Precast concrete barrel sections with precast top slabs and precast concrete transition sections shall be designed for a minimum of AASHTO HS20-44 loading plus the weight of the soil above at 120 pcf.
  - 6. The date of manufacture and the name and trademark of the manufacturer shall be clearly marked on each precast section.

7. Precast concrete bases shall be monolithically constructed. The thickness of the bottom slab of the precast bases shall not be less than the barrel sections or top slab whichever is greater. Precast concrete bases shall be constructed with a 6 inch extended base, unless otherwise shown on the Drawings.
8. The side wall height of the base section shall be a minimum of 12 inches above the top of the pipe coming into the manholes.

## 2.2 BRICK MASONRY

- A. Bricks shall be good, sound, hard and uniformly burned, regular and uniform in shape and size, of compact texture. Underburned or salmon brick will not be acceptable and only whole brick shall be used unless otherwise permitted. In case bricks are rejected by the Engineer, they shall be immediately removed from the site of the work and satisfactory bricks substituted, at no additional cost to the Owner.
  1. Bricks for the channels and shelves shall comply with the latest specifications of ASTM C32 for Sewer Brick, Grade SM.
  2. Bricks for building up and leveling manhole frames shall conform to ASTM C32 Grade MS.
  3. Poured concrete inverts will not be allowed.
- B. Mortar used in the brickwork shall be composed of one part Type II portland cement conforming to ASTM C150 to two parts sand to which a small amount of hydrated lime not to exceed 10 lbs. to each bag of cement shall be added.
- C. Sand used shall be washed, cleaned, screened, sharp and well graded as to different sizes and with no grain larger than will pass a No. 4 sieve. Sand shall be free from vegetable matter, loam, organic or other materials of such nature or of such quantity as to render it unsatisfactory.
- D. Hydrated lime shall conform to ASTM C207, Type S.

## 2.3 MANHOLE FRAMES AND COVERS

- A. Manhole frames and covers shall be of good quality, strong, tough, even grained cast iron, smooth, free from scale, lumps, blisters, sand holes and defects of any kind. Manhole covers and frame seats shall be machined to a true surface. Castings shall be thoroughly cleaned and subject to hammer inspection. Cast iron shall conform to ASTM A48, Class 30B or ASTM A48, Class 35B.
- B. Manhole frames and covers shall comply with the detail shown on the Drawings.
- C. Manhole frames and covers shall be designed for a minimum of AASHTO HS20-44 loading.

## 2.4 JOINTING PRECAST MANHOLE SECTIONS

- A. Tongue and groove joints of precast manhole sections shall be sealed with a preformed flexible joint sealant. The preformed flexible joint sealant shall conform to ASTM C990.

## 2.5 MANHOLE RUNGS

- A. Manhole rungs shall be drop front design, 14 inches wide with an abrasive step surface, steel reinforced, copolymer, polypropylene, plastic. Manhole rungs shall conform to OSHA requirements.

## 2.6 FLEXIBLE PIPE TO-STRUCTURE CONNECTORS

- A. The flexible pipe-to-structure connectors shall be designed to provide a positive seal between the connector and the structure wall and between the connector and the pipe.
- B. The flexible boot shall be manufactured of EPDM synthetic rubber in accordance with ASTM C443 and C923 and shall be 3/8 inch thick or greater.
- C. The external bands shall be made entirely of 304 series non-magnetic stainless steel.
- D. The flexible connectors shall be provided with a wedge-type or toggle-type expander to secure the pipe in the structure opening.
- E. The flexible connectors shall meet the following criteria, in accordance with ASTM C923:
  - 1. Shall not leak when subjected to a head pressure of 10 psi for 10 minutes.
  - 2. Shall have the ability to deflect 7 degrees in any direction without leakage under the head pressure conditions described above.
  - 3. Shall not leak when subject to a load of 150 lbs./in. pipe diameter and the head pressure conditions described above.

## 2.7 DAMPPROOFING

- A. Provide two coats of bituminous dampproofing on outer surfaces of precast manholes at the rate of 20-25 square feet per gallon in accordance with manufacturer's instructions.
- B. Dampproofing coating shall be a factory-applied asphalt compound specially made to adhere to below grade concrete structures.
- C. The dampproofing shall be Sonoshield semi-mastic, as manufactured by BASF; Dehydratine 4 by Euclid Chemical; RIW Marine Liquid by Toch Brothers; or equal.

## 2.8 NON-SHRINK, WATER-PROOF GROUT

- A. Non-shrink, water-proof grout shall be Hallemite; Waterplug; Embeco; or equal.

# PART 3 EXECUTION

## 3.1 INSTALLATION

- A. Installation
  - 1. Construct manholes to the dimensions shown on the Drawings and as specified. Protect all work against flooding and flotation.
  - 2. Set precast concrete barrel sections so as to be plumb and with sections in true alignment with a 1/4 inch maximum tolerance to be allowed.
  - 3. Install the precast sections in a manner that will result in a watertight joint. Seal the joints of precast concrete barrel sections with the preformed flexible joint sealant used in sufficient quantity to fill 75% of the joint cavity. Fill the outside and inside precast section joints with non-shrink grout and finish flush with the adjoining surfaces. Plug holes in the concrete barrel sections required for handling or other purposes with a non-shrink, water-proof grout or concrete and rubber plugs, and finish flush on the inside.

4. Backfilling shall be done in a careful manner, bringing the fill up evenly on all sides.

**B. Pipe Connections**

1. For pipes with smooth exterior surfaces (PVC, ductile iron, HDPE pressure pipe, steel, etc), use flexible pipe-to-structure connectors.

**C. Manhole Rung Installation**

1. Steel reinforced copolymer polypropylene plastic steps shall be press fitted by hand driven hammer into preformed holes in cured precast sections, on 12 inch centers, by the precast concrete manufacturer.

**D. Brickwork**

1. Mix mortar only in such quantity as may be required for immediate use and use before the initial set has taken place. Do not retain mortar for more than one and one-half hours and constantly work over with a hoe or shovel until used. Anti-freeze mixtures will not be allowed in the mortar. No masonry shall be laid when the outside temperature is below 40°F unless provisions are made to protect the mortar, bricks, and finished work from frost by heating and enclosing the work with tarpaulins or other suitable material. The Engineer's decision as to the adequacy of protection against freezing shall be final.
2. Construct channels and shelves of brick as shown on the Drawings. The brick channels shall correspond in shape with the lower half of the pipe. The top of the shelf shall be set at the elevation of the crown of the highest pipe and shall be sloped 1 inch per foot to drain toward the flow through channel. Construct brick surfaces exposed to sewage flow with the nominal 2 inch by 8 inch face exposed (i.e., bricks on edge).
3. Set manhole covers and frames in a full mortar bed and bricks, a maximum of 12 inches thick for conical tops and 6 inches thick for flat top sections, utilized to assure frame and cover are set to the existing grade. Reset the manhole frames and covers to final grade prior to placement of final paving.

**3.2 LEAKAGE TEST**

- A. Test sewer manholes in conjunction with the pipeline in accordance with Section 02503.

**3.3 CLEANING**

- A. Clean new manholes of silt, debris and foreign matter of any kind, prior to final inspection.

END OF SECTION

## SECTION 02535

## BREAKING INTO EXISTING MANHOLES

## PART 1 GENERAL

## 1.1 SUMMARY

- A. Section Includes
  - 1. Breaking through the walls and inverts of existing manholes.
  - 2. Connecting new pipes to existing structures.
  - 3. Ancillary work associated with making the new connections to the existing structures.

## 1.2 REFERENCES

- A. ASTM C443 – Standard Specification for Joints for Circular Concrete Sewer and Culvert Piping Using Rubber Gaskets.
- B. ASTM C923 - Standard Specification for Resilient Connectors Between Reinforced Concrete Manhole Structures, Pipes and Laterals.

## 1.3 SUBMITTALS

- A. Submit shop drawings showing pipe connection details.

## 1.4 QUALITY ASSURANCE

- A. Personnel shall have confined space entry training as appropriate for the work to be performed.

## PART 2 PRODUCTS

## 2.1 MATERIALS

- A. Flexible Pipe-to-Structure Connectors
  - 1. The flexible connectors shall be designed to provide a positive seal between the connector and the structure wall and between the connector and the pipe.
  - 2. The flexible boot shall be manufactured of EPDM synthetic rubber in accordance with ASTM C443 and C923 and shall be 3/8 inch thick or greater.
  - 3. The external bands shall be made entirely of 304 series non-magnetic stainless steel.
  - 4. The flexible connectors shall be provided with a wedge-type or toggle-type expander to secure the pipe in the structure opening.
  - 5. The flexible connectors shall meet the following criteria, in accordance with ASTM C923:
    - a. Shall not leak when subjected to a head pressure of 10 psi for 10 minutes.
    - b. Shall have the ability to deflect 7 degrees in any direction without leakage under the head pressure conditions described above.

- c. Shall not leak when subject to a load of 150 lbs./in. pipe diameter and the head pressure conditions described above.
- B. Non-shrink, water-proof grout
  - 1. Non-shrink, water-proof grout shall be Hallemite; Waterplug; Embeco; or equal.

## PART 3 EXECUTION

### 3.1 INSTALLATION

- A. General
  - 1. Core drill into existing structures in such a fashion as to make an opening of suitable size to accommodate the connecting pipe without excessive damage to the existing structure.
- B. Manholes
  - 1. For manholes, break out and rebuild existing inverts as required to provide an adequate base under the new channels being installed, and shaped to provide smooth continuous hydraulic flow through the manhole.
  - 2. Control existing flows as required during the period of construction. No sewage will be permitted to flow directly against concrete or other masonry work until it is at least 48 hours old.
    - a. Temporary handling of sewage flows may be accomplished by inserting pipes from the inlet to the outlet of the manhole and by using temporary plugs, where appropriate, provided that such pipes do not interfere with satisfactory completion of the work and shaping of the inverts, nor cause excessive backing-up in the existing system upstream of the diversion. In cases where this type of temporary handling of flows is not possible, provide the necessary dams, plugs, etc., as required in upstream manholes, and pump the flow around the structure under construction.
    - b. When sewage is pumped or otherwise diverted around a particular structure, it shall be discharged back into the sewage system through existing downstream manholes. Under no circumstances shall sewage be permitted to run onto the surface of the ground.
- C. Pipe Connections
  - 1. Rebuild and tightly close existing manhole walls and inverts to provide an integral, water-tight structure around the new pipes.
  - 2. For pipes with smooth exterior surfaces (PVC, ductile iron, HDPE, steel, etc), use flexible pipe-to-structure connectors.

END OF SECTION

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## SECTION 02740

## HOT MIX ASPHALT (HMA) PAVEMENT

## PART 1 GENERAL

## 1.1 SUMMARY

- A. Section Includes
  - 1. Hot mix Asphalt (HMA) paving, permanent, wearing, and binder course for roads
  - 2. Temporary HMA pavement trench repair in roadways
  - 3. Permanent HMA Trench Repair
  - 4. Bituminous Concrete Curb
- B. For the purposes of this Section, Hot Mix Asphalt (HMA) and bituminous concrete have the same meaning.
- C. Related Requirements
  - 1. Section 02315 - Excavation, Backfill, Compaction and Dewatering

## 1.2 REFERENCES

- A. State of Connecticut Department of Transportation "Standard Specifications for Roads, Bridges and Incidental Construction Form 818," as amended
- B. ASTM D2041 - Standard Test Method for Theoretical Maximum Specific Gravity and Density of Bituminous Paving Mixtures
- C. AASHTO Standard Specifications for Transportation Materials and Methods of Sampling and Testing, 1990 Edition, as amended
- D. AASHTO M 320
- E. TAI - (The Asphalt Institute) - MS-3 Asphalt Plant Manual
- F. TAI - (The Asphalt Institute) - MS-8 Asphalt Paving Manual

## 1.3 SUBMITTALS

- A. Job mix formula for each mix specified under this Section.
- B. Certificate indicating the mixes specified meet or exceed the requirements specified herein.

## 1.4 QUALITY ASSURANCE

- A. Perform Work in accordance with State of Connecticut Department of Transportation "Standard Specifications for Roads, Bridges and Incidental Construction Form 816".
- B. Mixing Plant: Conform to State of Connecticut Department of Transportation "Standard Specifications for Roads, Bridges and Incidental Construction Form 816.
- C. Obtain materials from same source throughout.

## PART 2 PRODUCTS

### 2.1 MATERIALS

#### A. General

1. Bituminous materials shall conform to the requirements of these Specifications.
  2. Bitumen delivered to a project or to a mix plant must be accompanied by a proper certificate signed by the producer's authorized representative. Shipments of material not accompanied by a certificate will not be accepted for use in the Work.
- B. Hot Mix Asphalt Paving shall be Superpave S0.5 and S1.0 in accordance with the details shown on the Drawings and the requirements of State of Connecticut Department of Transportation "Standard Specifications for Roads, Bridges and Incidental Construction Form 818".

## PART 3 EXECUTION

### 3.1 PAVING – GENERAL

- A. Maintain pavement under this Contract during the guarantee period of one year and promptly (within 3 days of notice given by the Engineer) refill and repave areas which have settled or are otherwise unsatisfactory for traffic.
- B. All pavement thicknesses referred to herein are compacted thicknesses. Place sufficient mix to ensure that the specified thickness of pavement results.
- C. Regardless of temperature, no permanent mix conforming to the requirements of these specifications shall be placed after October 31 or before May 1 of any year.
- D. When the air temperature falls below 50°F, extra precautions shall be taken in drying the aggregates, controlling the temperatures of the materials and placing and compacting the mixtures.
- E. Existing drainage patterns shall not be altered by the new pavement construction unless otherwise shown on the Drawings.
- F. Furnish and spread calcium chloride on disturbed surfaces to control dust conditions when necessary, or upon direction of the Engineer.
- G. In no case will pavement be placed until the gravel base is dry and compacted to at least 92.0% maximum density at optimum moisture content.
- H. All pavement edges that have been damaged shall be sawcut again if necessary to re-establish a straight clean line between the existing pavement and trench patch.
- I. Tack Coats
  1. Apply tack coat on the binder prior to placing the top course. The tack coat shall be RS-1 emulsion and shall be applied at a rate of 0.05 gallons per square yard on binder courses.
  2. The edges of the existing pavement where the joints are to be formed shall be thoroughly coated with tack coat to ensure adhesion between the two pavements.
  3. The contact surfaces of curbs, castings, and other structures shall be painted with a tack coat prior to placement of paving.

- J. Place temporary HMA as soon as possible after the gravel base has been prepared, shaped and compacted for all streets, driveway and sidewalk repair. Temporary HMA shall be placed no later than the Friday following the work.
- K. Until such time as the final paving is performed, maintain all temporary HMA by filling any holes that may develop and by adding additional bituminous material to maintain the surface of the trench even with the adjacent pavement.
- L. No pavement used as temporary trench repair shall remain as part of a final or permanent repair.
- M. Top course mixes shall provide for 4% air voids in the finished product. The initial in-place voids shall not exceed 7.5%. Final in-place voids shall not be below 2.5%. Additional asphalt content shall not be added for the sole purpose of reducing the in-place voids. If the in-place voids are too high or the paving is expected to occur during cold weather, more compactive effort will be required to adjust the void content rather than increasing the asphalt content.
- N. Breakdown rolling shall not occur before the HMA has cooled to a temperature of 320 degrees Fahrenheit, and shall be completed before the HMA mat has cooled to a temperature of 275 degrees Fahrenheit. Intermediate rolling shall be completed prior to the HMA mat attaining a temperature of 200 degrees Fahrenheit. Finish rolling shall be completed prior to the HMA mat attaining a temperature of 150 degrees Fahrenheit. Roller and paver speeds shall be agreed upon with the Engineer prior to placing HMA to ensure mix temperature requirements will be met.
- O. Thermal segregation of the HMA shall be limited to a maximum of 20 degrees Fahrenheit.
- P. Cascading HMA material on the top of the finished mat with rakes or shovels will not be permitted. Coarse Aggregate dislodged as a result of unavoidable hand work shall be removed from the surface prior to rolling.
- Q. Place and compact HMA materials by steel-wheeled rollers of sufficient weight to compact the HMA to 92.5% of the calculated **Theoretical Maximum Density (TMD)** in accordance with ASTM D2041.
- R. Along curbs, structures and all other places not accessible with a roller, the paving mixture shall be thoroughly compacted with tampers. Such tampers shall not weigh less than 25 pounds and shall have a tamping face no more than 50 square inches in size. The surface of the mixture after compaction shall be smooth and true to the established line and grade.
- S. No vehicular traffic shall be permitted on the newly completed pavement until adequate stability has been attained and the material has cooled to below 140 degrees Fahrenheit or sufficiently to prevent distortion or loss of fines. HMA delivery trucks (loaded or empty) shall not be permitted on the newly completed pavement until the asphalt has cooled to below 90 degrees Fahrenheit. If the climatic or other conditions warrant, the period of time before opening to traffic may be extended at the discretion of the Engineer.
- T. Following all paving, the area along the edge of all pavement shall be backed up with gravel, or loam and seed as required, so that it is flush with the adjacent paving. Whenever possible, the final surface of the backup material shall slope away from the surface edge for drainage runoff.

- U. Following all paving, clean all catch basins and remove and dispose of all debris.

### 3.2 TEMPORARY HMA TRENCH REPAIR IN ROADWAYS

- A. All manhole frames, catch basin frames and utility boxes are to be set to the grade of the trench patch until such time that permanent paving is performed. They shall then be adjusted as required by the type of permanent paving called for in this Section. Frames and utility boxes shall not be allowed to protrude above the surface of the trench patch.
- B. Prior to the excavation, sawcut the existing pavement in the vicinity of the work to prevent damage to the pavement outside of the specified paylines and/or the requirements of construction. Sawcut shall be straight and neat in appearance, any milled areas adjacent to the trench patch area shall have the edges sawcut.
- C. Immediately after completing the backfill, or in no event later than the end of the work day, place and compact process aggregate/bank run gravel subbase in 2 lifts per detail. All temporary pavement and structures shall be set to the existing roadway elevations. Care shall be taken to avoid the formation of puddles.
- D. A temporary HMA top course with a thickness as specified in the Drawings shall then be placed and compacted so that the upper surface shall provide the proper roadway cross-section.
- E. After a period of 30 days, or such other period as determined by the Engineer, has elapsed, proceed with the permanent road construction as shown on the Drawings.

### 3.3 PERMANENT HMA MILLING/TRENCH REPAIR

- A. Permanent trench repairs may only occur after a period of 30 days, or such other period as determined by the Engineer, has elapsed.
- B. At the time of permanent patching, remove any temporary HMA trench patch or gravel materials to a depth as specified in the Drawings below the adjacent grade. Then sawcut the existing pavement beyond the edges of the trench to expose one foot of undisturbed soils and remove pavement on either side of the trench.
- C. All manhole frames, catch basin frames and utility boxes are to be lowered prior to placement of the permanent patch. After placing the permanent patch, they shall be raised to the grade of the patch until such time as the top course is placed, unless the period of time between the placement of the patch and the placement of the top course is less than 2 weeks, in which case the frames may be raised to the grade of the top course. All excavated materials removed for raising of the frames and utility boxes are to be replaced with concrete. This ring of concrete shall be filled flush with the surrounding patch.
- D. An HMA binder of the required thickness as specified in the Drawings shall then be placed and compacted to the appropriate elevation to allow the top course to be placed flush with the existing pavement.
- E. Once binder course has been installed over the trench, existing roadway shall be milled to the extent shown on the Drawings. Then place and compact HMA as specified in the Drawings, using a paving screed so that the upper surface is flush with the existing roadway after compaction.
- F. The final surface shall be properly graded and cambered to provide a smooth surface of proper cross-section and blended into all adjacent existing pavements. Any permanent

pavement repair that in the opinion of the Engineer does not meet this requirement, or that will form puddles 1/16-inch deep or greater shall be repaired or replaced at the Contractor's expense.

### 3.4 FULL-WIDTH OVERLAY

- A. Roads shall be cold planed as shown on the Drawings and as specified herein in preparation for the full-width binder and/or top course.
- B. Prior to the start of spreading the permanent HMA top course the road surface shall be prepared. This shall include, but not be limited to sweeping, repairing, removing of debris, adjustment of all structures for the finished, compacted overlay thickness, and tack coating the surface of the road to be overlaid.
- C. Surface preparation shall also include filling and shimming all trench repair and pavement areas that have not been milled, reclaimed or reconstructed which require preparation prior to the placement of the overlay. Overlays shall not be placed over pavement areas with open seams, substantial cracks, pot-holes, depressions or other defects until proper filling and shimming has been completed.
- D. Top course for an overlay shall be laterally "toed-in" to the existing pavement with a 2 foot minimum keyway cut with milling machines.
- E. When top course is placed on a new binder course, a butt joint shall be provided between new pavement and any adjoining road surfaces.
- F. The final surface shall be properly graded and cambered to provide a smooth surface of proper cross-section and blended into all adjacent existing pavements. Any permanent pavement repair that in the opinion of the Engineer does not meet this requirement, or that will form puddles 1/16-inch deep or greater shall be repaired or replaced at the Contractor's expense.
- G. The finished top course shall blend smoothly with all rim elevations of catch basins, manhole covers, gate box covers, and any other utilities, and shall in no way interfere with or alter the existing surface drainage.

### 3.5 BITUMINOUS CONCRETE CURB

- A. Install 6" thick compacted gravel base below proposed curb.
- B. The shape of the new curb shall match the existing curb as closely as possible.
- C. Closure between curb installed hereunder, and existing curb shall be the same shape and texture as the machine installed bituminous concrete curb.
- D. The ends of the existing curb shall be cut with a saw prior to construction of bituminous concrete curb repairs.
- E. Construction methods and procedures for bituminous concrete curb shall be in accordance with State of Connecticut Department of Transportation "Standard Specifications for Roads, Bridges and Incidental Construction Form 818," as amended.

END OF SECTION

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## SECTION 02920

## LAWNS AND GRASSES

## PART 1 GENERAL

## 1.1 SUMMARY

## A. Section Includes

1. Restoration of all vegetated areas disturbed during construction including:
  - a. Lawn areas
  - b. Grass surfaces
2. Loam, starter fertilizer, lime, lawn seed, and hydric seed
3. Sodding
4. Mulch

## 1.2 SUBMITTALS

- A. Lawn seed mixture including percent by weight of each seed type, and manufacturer/Supplier name.
- B. Suitable laboratory analysis of the topsoil to determine the quantity of fertilizer and lime to be applied.
- C. Lime and starter fertilizer application rates based on laboratory soil tests.
- D. A sworn certificate indicating each variety of seed, weed content, germination of seed, net weight, date of shipment and manufacturer's name shall accompany each seed shipment.

## 1.3 QUALITY ASSURANCE

- A. Place seed only between the periods from April 15<sup>th</sup> to June 1<sup>st</sup>, and from August 15<sup>th</sup> to October 1<sup>st</sup>, unless otherwise approved by the Engineer.

## PART 2 PRODUCTS

## 2.1 MATERIALS

## A. Loam

1. Loam from offsite, as required for Work, shall be taken from a well-drained, arable site, and shall be free of subsoil, large stones, earth clods, sticks, stumps, clay lumps, roots or other objectionable, extraneous matter or debris. Loam shall also be free of quack-grass rhizomes, Agropyron Repens, and the nut-like tubers of nutgrass, Cyperus Esculentus, and all other primary noxious weeds. Loam shall not be delivered or used for planting while in a frozen or muddy condition. Topsoil as delivered to the Site or stockpiled shall have pH between 6.0 and 7.0 and shall contain not less than 5 percent or more than 8 percent organic matter as determined by loss of ignition of moisture-free Samples dried at 100 degrees Celsius.

2. Onsite loam may be available from stripping of onsite topsoil. Onsite topsoil shall be tested as specified below and shall be amended as necessary to meet Specification requirements for loam.
3. Soil Analysis: The Contractor shall submit representative Samples of loam, which he intends to bring onto the Site, and Samples of loam from onsite sources, to a Soil and Plant Testing Laboratory acceptable to the Engineer. All reports shall be sent to the Engineer for approval. Samples of loam to be brought to the Site must be approved prior to delivery of soil. Deficiencies in the loam shall be corrected by the Contractor, as directed by the Engineer after review of the testing agency report by a soils consultant. Testing reports shall include the following tests and recommendations.
  - a. Mechanical gradation (sieve analysis) shall be performed and compared to the USDA Soil Classification System.
  - b. The silt clay content shall be determined by a Hydrometer Test.
  - c. Percent of organics shall be determined by an Ash Burn Test or Walkley/Black Test.
  - d. Chemical analysis shall be undertaken for Nitrate Nitrogen, Ammonium Nitrogen, Phosphorus, Potassium, Calcium, Aluminum, Soluble Salts, and acidity (pH).
  - e. Soil analysis tests shall show recommendations for soil additives to correct soils deficiencies as necessary, and for additives necessary to accomplish particular lawn and planting objectives noted.
  - f. All tests shall be performed in accordance with the current standards of the Association of Official Agriculture Chemists.
4. Loam for General Lawn and Site Restoration Areas: Loam shall conform to the following grain size distribution for material passing the #10 sieve:

U.S. Sieve Size Number	Percent Passing	
	Minimum	Maximum
10	100	----
18	84	100
35	63	72
140	26	40
270	22	34
0.002 mm	2	5

<sup>1</sup>The ratio of the particle size for 80% passing ( $D_{80}$ ) to the particle size for 30% passing ( $D_{30}$ ) shall be 6 or less ( $D_{80}/D_{30} < 6$ ).

<sup>2</sup>Maximum size shall be one-inch largest dimension. The maximum retained on the #10 sieve shall be 20% by weight of the total sample.

<sup>3</sup>Tests shall be by combined hydrometer and wet sieving in compliance with ASTM D422 after destruction of organic matter by ignition.

<sup>4</sup>The organic content shall be between 4.0 and 6.0 percent.

5. Place a minimum of 4 inches of loam.

**B. Typical Sand Amendment**

1. Sand to be mixed with topsoil shall meet the following requirements. The material shall be uniformly graded coarse sand consisting of clean, inert, rounded grains of quartz or other durable rock and free from loam or clay, surface coatings, mica, other deleterious materials with the following gradation.

U.S. Sieve Size Number	Percent Passing	
	Minimum	Maximum
10	100	----
18	60	80
35	35	55
60	8	20
140	0	8
270	0	3
0.002 mm	0	0.3

<sup>1</sup>Maximum size shall be one-inch largest dimension. The maximum retained on the #10 sieve shall be 10% by weight of the total sample.

<sup>2</sup>The ratio of the particle size for 70% passing ( $D_{70}$ ) to the particle size for 20% passing ( $D_{30}$ ) shall be 3.0 or less ( $D_{70}/D_{30} < 3.0$ ).

<sup>3</sup>Tests shall be combined hydrometer and wet sieving in compliance with ASTM D422 after destruction of organic matter by ignition.

**C. Starter Fertilizer**

1. Starter fertilizer shall bear the manufacturer's name and guaranteed statement of analysis, and shall be applied in accordance with the manufacturer's directions.
2. Starter fertilizer shall be Scott's Starter Fertilizer, or equal, with timed nitrogen release to prevent burning.

**D. Lime**

1. Lime shall be an agricultural type ground limestone.
2. Lime shall be pelletized type for prolonged time release to soil.
3. Lime shall be applied at the rates recommended in the soil analysis.

**E. Seed**

1. Seed shall be of the previous year's crop.
2. Required properties:
  - a. Purity > 90%
  - b. Germination > 80%
  - c. Crop < 0.5%
  - d. Weed < 0.3%
  - e. Noxious Weed – 0%
  - f. Inert < 8%



3. Grass seed shall conform to the following mixtures in proportion by weight and weed content and shall pass the minimum percentages of purity and germination as indicated for same.

<b>Natural Area Seed Mix</b>	<b>% Weight</b>
Kentucky 31 Fescue	40%
Palmer Perennial Ryegrass	30%
Birds Foot Trefoil (Empire Variety)	15%
Red Clover	5%
White Clover	5%
Redtop (Streaker Variety)	5%

4. All seed shall comply with State and Federal seed Laws and Regulations.

**F. Mulch**

1. Shall be a specially processed 100 percent Virgin wood fiber mulch containing no growth or germination-inhibiting factors. Wood fiber mulch shall be Second Nature Regenerated wood fiber as by Central Fiber Corporation, Wellsville, KS or equal. It shall be manufactured in such a manner that after addition and agitation in slurry tanks with water, the fibers in the material become uniformly suspended to form a homogenous slurry. When sprayed on the ground, the material shall allow absorption and percolation of moisture. Each package of the wood fiber shall be marked by the manufacturer to show the air dry weight content and not contain in excess of 10 percent moisture.

**PART 3 EXECUTION**

**3.1 RESTORATION**

- A. In locations where the Work passes through existing grass, weed brush or tree-surfaced areas that are not covered by a specific lawn repair item, surface restoration shall be as follows:
  1. After completion of backfilling, the existing loam and organic ground cover materials that were salvaged during excavation shall be returned to the top of the trench.
  2. After natural settlement and compaction has taken place, the trench surface shall be harrowed, dragged and raked as necessary to produce a smooth and level surface.
  3. The area is then to be sowed with "orchard grass" or "rye grass" or other such materials to hold the soil and produce a growth similar to that existing prior to construction.

**3.2 PREPARATION**

- A. After rough grading of the subgrade has been completed and approved, the subgrade surface shall be scarified to a depth of four (4) inches. Then furnish and install a layer of loam providing a rolled four (4) inch thickness. Any depressions which may occur during rolling shall be filled with additional loam, regraded and rerolled until the surface

is true to the finished lines and grades. All loam necessary to complete the Work under this section shall be supplied by the Contractor.

- B. The ground surface shall be fine graded and raked to prepare the surface of the loam for lime, fertilizer and seed.
- C. The loam shall be prepared to receive seed by removing stones and grading to eliminate water pockets and irregularities prior to placing seed. Finish grading shall result in straight uniform grades and smooth, even surfaces without irregularities to low points.
- D. All stones over one-half (½) inch in diameter remaining on the surface after raking shall be removed.
- E. Shape the areas to the lines and grades required. The Contractor's attention is directed to the scheduling of Loaming and Seeding of graded areas to permit sufficient time for the stabilization of these areas.
- F. All areas disturbed by construction within the property lines and not covered by structures, pavement, or bark mulch shall be loamed and seeded.
- G. Limestone shall be thoroughly incorporated into the loam layer at a minimum rate of 3 ton per acre or more as recommended by the loam analysis in order to provide a pH value of 5.5 to 6.5.
- H. Fertilizer shall be spread on the top layer of loam at the minimum rate of 500 pounds per acre or more as recommended by the loam analysis and worked into the surface

### 3.3 LOAM AND SEED AREAS

- A. For temporary protection of disturbed areas, seed shall be applied at the following rates:
 

Winter Rye (fall seeding)	2.5 pounds per 1,000 square feet
Oats (spring seeding)	2.5 pounds per 1,000 square feet
Mulch	1.5 tons per acre
- B. The seed mixtures shall be applied at a minimum rate of 200 pounds per acre, or 4.5 pounds per 1,000 square feet.
- C. Athletic field seed application rates:
  - 1. Kentucky Bluegrass – 130 pounds per acre
  - 2. Perennial Ryegrass – 70 pounds per acre
- D. Seed shall be sown at the rates indicated above by rotary or drop spreader. Sowing shall be done on a calm, dry day. Immediately before seeding, the soil shall be lightly raked. One half the seed shall be sown in one direction and the other half at right angles to the original direction. It shall be lightly raked into the soil to a depth not over 1/4 inch and rolled with a hand roller weighing not over 100 pounds per linear foot of width.
  - 1. Straw mulch shall be applied immediately after seeding at a rate of 1.5 to 2 tons per acre. Mulch that blows or washes away shall be replaced immediately and anchored using appropriate techniques.
  - 2. The surface shall be watered and kept moist with a fine spray as required, without eroding the soil, until the grass is well established. Any areas, which are not

satisfactorily covered with grass, shall be reseeded, and all noxious weeds shall be removed.

- E. Unless otherwise approved, seeding shall be done between the periods from April 15<sup>th</sup> to June 1<sup>st</sup>, and August 15<sup>th</sup> to October 1<sup>st</sup>, when soil conditions and weather are suitable for such Work.

### 3.4 TEMPORARY PLANTINGS

- A. For temporary plantings after September to early Spring and for temporary protection of disturbed areas.
  - 1. Fertilizer shall be spread and worked into the surface at a rate of 600 pounds per acre.
  - 2. Mulching shall be applied at the rate of three (3) tons/acre.
  - 3. Follow above seeding rates and procedures.

### 3.5 MAINTENANCE

- A. Maintenance shall include watering, weeding, removal of stones and other foreign objects over one half (½) inch in diameter, cutting the grass until final acceptance. Mow at least weekly, removing no more than 30-40 percent of the leaf tissue using well sharpened blades. Mow grass between one (1) and two (2) inches high in the spring and fall. Mowing heights shall be an additional one-half to an inch in the summer to reduce temperature stress. Leave the clippings in place to help recycle essential plant nutrients needed for growth. All bare or dead spots which become apparent shall be properly prepared, re-loamed, limed, aerated, fertilized, and reseeded as many times as necessary to secure a good growth. The entire area shall be maintained, watered and cut until final acceptance of the lawn installation.
- B. The dressed and seeded areas shall be sprinkled with water as necessary from time to time. Signs and barricades should be placed to protect the seeded areas.
- C. To be acceptable, seeded areas shall consist of a uniform stand without bare or dead spots of at least 90 percent established permanent grass species, with uniform count of at least 200 plants per square foot.
- D. The Engineer shall determine whether maintenance shall continue in any part.
- E. After all necessary corrective Work and clean-up has been completed, and maintenance instructions have been received by the Owner, the Engineer will certify in writing the acceptance of the lawns.
- F. Substantial Completion will not be achieved until the seeded areas have demonstrated a satisfactory stand of growth as determined by the Engineer. Seeded areas not demonstrating satisfactory stands as outlined above, as determined by the Engineer, shall be renovated, reseeded and maintained meeting all requirements as specified herein.

END OF SECTION

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## SECTION 02955

## CLEANING OF UNDERGROUND PIPING AND STRUCTURES

## PART 1 GENERAL

## 1.1 SUMMARY

- A. Section Includes
  - 1. Removal of dirt, rocks, sand and other material within underground piping
  - 2. Removal of dirt, rocks, sand and other material within underground structures

## 1.2 QUALITY ASSURANCE

- A. Contractor shall have at least 5 years experience cleaning underground piping and structures.
- B. Contractor shall have successfully completed a minimum of 10 projects where piping and structures of similar size and condition to those on this project were cleaned.
- C. Contractor shall have Confined Space Entry training.

## PART 2 PRODUCTS

## 2.1 CLEANING EQUIPMENT

- A. High-Velocity Jet (Hydrocleaning) Equipment
  - 1. All high-velocity pipe cleaning equipment shall be constructed for ease and safety of operation.
  - 2. The equipment shall have a selection of two or more high-velocity nozzles.
  - 3. The nozzles shall be capable of producing a scouring action from 15 to 45 degrees in all size lines designated to be cleaned.
  - 4. Equipment shall also include a high-velocity gun for washing and scouring structure walls and floors. The gun shall be capable of producing flows from a fine spray to a solid stream.
  - 5. The equipment shall carry its own water tank, auxiliary engines, pumps, and hydraulically driven hose reel.
- B. Mechanically Powered Equipment
  - 1. Mechanically powered equipment includes power rodding machines, bucket machines and winches using root cutters and porcupines.
  - 2. Machines shall be belt-operated or shall have an overload device.
  - 3. Machines with direct drive that could cause damage to the pipe are not allowed.
  - 4. A power rodding machine shall be either a sectional or continuous rod type capable of holding a minimum of 750 feet of rod. The rod shall be made of heat-treated steel. The machine shall be fully enclosed and have an automatic safety clutch or relief valve.

- C. Vacuum-Operated Equipment
- D. Other cleaning equipment approved by the Engineer

## 2.2 WATER FOR SEWER CLEANING

- A. Water is available to the Contractor from nearby Connecticut Water Company hydrants for pipeline cleaning and installation of the liner. Contractor to coordinate with Connecticut Water Company for access and operation of hydrants for taking water.
- B. Payment for water shall be the responsibility of the Contractor.

## PART 3 EXECUTION

### 3.1 CLEANING OF PIPES AND STRUCTURES

- A. Clean new structures and piping installed on this contract by hand; by using hydraulically propelled, high-velocity jet; vacuum-operated equipment; mechanically powered equipment; or other methods/equipment approved by the Engineer. The methods used shall be capable of removing dirt, rocks, sand, roots, and other materials and obstructions from the piping and structures.
- B. If cleaning of an entire pipe length cannot be completed from one end, set up the equipment at the other end of the pipe and then again attempt to clean the pipe section.
- C. Restore pipes to a minimum of 95% of their original hydraulic capacity.
- D. Remove and dispose of all dirt, rocks, sand, roots, and other materials within the structures and piping to be cleaned.
- E. During pipe cleaning operations, take precautions in the use of equipment to prevent damage to public and private property.
- F. When hydraulically propelled cleaning tools or flows which retard the flow in the pipe are used, take precautions to insure that the water pressure created does not cause damage or flooding of public or private property. When possible, use the flow in the pipe to provide the necessary pressure for hydraulic cleaning devices. When additional water from hydrants is necessary, conserve water and do not use unnecessarily.

END OF SECTION

## SECTION 02958

## TELEVISION INSPECTION OF PIPELINES

## PART 1 GENERAL

## 1.1 SUMMARY

## A. Section Includes

1. Closed-circuit television (CCTV) inspection of pipelines

## 1.2 SUBMITTALS

- A. Manufacturer's product data for the television inspection equipment, including camera, television monitor, carriage, recording device, signal conductor cable, etc.
- B. Information on database/software that will be used to store and review inspection data.
- C. Sample of television inspection log that will be used. Provide a legend for all abbreviations, symbols, codes, etc. used on the logs.
- D. Documentation submittals
  1. Two printed copies of the television inspection reports, provided weekly during the course of the CCTV inspection work.
  2. Two copies of the television inspection video and voice audio recordings saved onto CD-R/RW or DVD-R media, provided weekly during the course of the CCTV inspection work. The electronic file names must be labeled to identify the sewer segment televised by street and upstream and downstream manholes (for example "Washington Avenue SMH 1 – SMH 2").

## 1.3 QUALITY ASSURANCE

- A. Personnel shall have confined space entry and other training as appropriate for the work to be performed.

## PART 2 PRODUCTS

## 2.1 EQUIPMENT

- A. Provide all equipment necessary to complete the video inspections with voice audio recordings, including, but not limited to, inspection studio, television camera, and video capture equipment.
- B. The inspection equipment shall be capable of inspecting a minimum of 700 feet of pipe where entry into the pipe can only be made from one end. The equipment shall be capable of providing a picture of acceptable quality at these maximum lengths, regardless of the vibration caused by normal movement of the camera and the length of the signal conductor cable between the camera and the recording device.
- C. The inspection equipment shall be capable of clearly televising the interior of a six inch diameter pipe and all larger sizes.
- D. The television camera used for the inspection shall be one specifically designed and constructed for such inspection.

- E. Lighting for the camera shall be suitable to allow a clear picture of the entire periphery of the pipe.
- F. The camera shall be waterproof and operative in 100% humidity conditions.
- G. The camera, television monitor, and other components of the video system shall be capable of producing a high quality color image with clear definition of pipe internal features.
- H. A pan and tilt camera shall be used for the television inspection to provide the ability to view into the laterals to determine the accessibility of the lateral by the lateral inspection system (LIS) camera.
- I. The pan and tilt camera shall be capable of 360 degree rotational scan. The tilt arc shall not be less than 225 degrees and the viewing angle shall be a minimum of 300 degrees. The lens position shall be operated remotely. Cameras incorporating mirrors for viewing sides or cameras using exposed rotating heads are not acceptable.
- J. The camera shall be an auto-iris type with remote controlled manual override. The adjustment of focus and iris shall provide a minimum focal range of from 6 inches in front of the camera lens to infinity.
- K. The distance along the pipe in focus from the initial point of observation shall be a minimum of twice the vertical height of the pipe.
- L. The illumination must be such as to allow an even distribution of light, which will produce a clear picture around the pipe perimeter, regardless of diameter and without the loss of contrast, flare out of picture, or shadowing. The lighting system shall also minimize reflective glare and the intensity shall be fully adjustable. The camera lighthouse shall include a high-intensity side viewing lighting system to allow illumination of internal sections of lateral pipe connections.
- M. The television studio shall be insulated against noise and extremes in temperature and shall be large enough for two people for the purpose of viewing the television monitor while the inspection is in progress. The television studio shall be mounted on a mobile vehicle which allows safe and orderly movement of the inspection equipment.
- N. The television monitor screen shall be not less than 17 inches, measured on the diagonal.
- O. The television camera, monitor, and other components of the video system shall be capable of receiving and transmitting a picture having not less than 500 lines of resolution.
- P. The camera shall be mounted on a self-propelled vehicle or skid assembly that is able to ride over obstructions and cushion the camera against shock. The skid shall also have guards to keep the camera in line in the event of a turnover due to an obstruction.
- Q. The television inspection equipment shall meet the following criteria:
  - 1. Color: The following colors shall be clearly differentiated: white, yellow, cyan, green, red, blue, and black.
  - 2. Linearity: The background grid shall show squares of equal size, without convergence or divergence over the whole picture. The center circle shall appear round and have the correct height and width relationship.

3. Resolution: The live picture shall be displayed on a monitor capable of providing a clear, stable image free of electrical interference with a minimum horizontal resolution not less than 500 lines.
  4. Color Consistency: To ensure that the camera shall provide similar results when used with its own illumination source, the lighting shall be fixed in intensity prior to commencing the survey. In order to ensure color consistency, generally no variation in illumination shall take place during the survey.
- R. The monitor display shall incorporate an automatically updated record in feet and tenths of a foot of the distance along the pipe from the cable calibration point to the center point of the camera. Use a suitable metering device which enables the length of the pipe being inspected to be accurately measured to within  $\pm 0.2$  feet.

### PART 3 EXECUTION

#### 3.1 PREPARATION

- A. Clean sewers to be video-inspected in accordance with Section 02955.
- B. Reduce flow level in pipe being inspected by bypass pumping or by jetting to meet the requirements described below, unless otherwise agreed to:

<b>Maximum Depth of Flow for Television Inspection</b>	
6 inch to 10 inch pipe	20% of pipe diameter
12 inch to 24 inch pipe	25% of pipe diameter
Greater than 24 inch pipe	30% of pipe diameter

6 inch to 10 inch pipe	20% of pipe diameter
12 inch to 24 inch pipe	25% of pipe diameter
Greater than 24 inch pipe	30% of pipe diameter

- C. Alternatively, perform television inspection during off-peak hours when flow depths are lower.

#### 3.2 INSPECTION

- A. Television all new and replacement sewer lines.
- B. Move the camera through the pipe at a moderate rate, stopping where necessary to permit proper documentation of all pertinent features/defects. In no case will the television camera be pulled at a speed greater than 30 feet per minute.
- C. Use manual winches, power winches, TV cable, powered rewinds or other devices to move the camera through the pipe that do not obstruct the camera view or interfere with proper documentation of the pipe conditions. All winches shall be stable with either locking or ratcheting drums.
- D. If, during the inspection operation, the television camera will not pass through the entire pipe section from one direction, set up equipment so that the inspection can be performed from the opposite direction, if possible.
- E. When manually operated winches are used to pull the television camera through the pipe, use portable radios, telephones or other suitable means of communication between members of the crew located at each end of the pipe section being inspected to insure good communications.
- F. The importance of accurate distance measurements is emphasized. Measurement for location of pipe features/defects shall be by means of a meter device. Marking on the



cable or a similar method will not be allowed. Accuracy of the distance meter shall be checked by use of a measuring wheel, tape, or other suitable distance measuring device.

- G. Record the following pipe features/defects, at a minimum, and report them on the inspection logs in accordance with National Association of Sewer Service Companies (NASSCO) developed Pipeline Assessment Certification Program (PACP) methodology:
  - 1. Pipe diameter and material of construction.
  - 2. Joint spacing and the location of joints which appear to be damaged, incorrectly installed, shifted, open, or in any way deficient.
  - 3. Location, size and orientation (clock position) of connecting pipes (such as building services in a sewer).
  - 4. Description of severity and location of pipe structural deficiencies such as cracks, breaks, collapses, corrosion/erosion, etc.
  - 5. Description of severity and location of pipe obstructions (such as sediment, roots or grease).
  - 6. Description of severity and location of grade concerns, such as pipe sags, especially in gravity pipes.
  - 7. The locations where infiltration is entering the pipe and/or connecting pipes and an estimated infiltration rate at each location.
- H. Position the camera at the location of connecting pipes, and pan/tilt appropriately in order to obtain a clear view of the interior of the connecting pipe. Make note of any defects or infiltration visible within the connecting pipes.
- I. Indicate direction of survey and distance to each feature/defect from the beginning of the inspection.
- J. Provide an audio description of each feature/defect observed.
- K. Report on the logs weather conditions, ground conditions, and surface cover.
- L. Repair pipe damaged as a result of the inspections at no cost to the Owner.
- M. Position camera head to reduce risk of picture distortion and along the longitudinal axis of the pipe. In circular pipes, position camera lens centrally,  $\pm 10\%$  of the vertical sewer dimension. In non-circular pipes, position camera lens at mid-height and centered horizontally.

### 3.3 DOCUMENTATION

- A. Television Inspection Logs
  - 1. Prepare television inspection logs describing features/defects identified and their locations.
- B. Video Recordings
  - 1. Provide continuous digital video recordings of the inspection view as it appears on the television monitor. The image recorded shall be equal to or better than the quality of the original picture on the television monitor.

2. Provide a visual and voice audio description record of the pipe features/defects observed recorded simultaneously as original live recordings.
3. The audio portion of the recording shall be sufficiently free of electrical interference and background noise to produce an oral report that is clear, complete, and easily discernable. The audio portion of the video report shall include the location and identification of the pipe section inspected, the direction of travel, a description of the features/defects encountered, and the distance traveled.
4. Provide digitally formatted television inspection video/audio recordings saved onto CD-R/WR or DVD-R media with individual digital files for each recording completed. CDs and DVDs shall be properly identified by video numbers, locations, and project name.
5. Video recordings shall, by electronic means, display continuously and simultaneously generated transparent digital information as described below:
  - a. At the start of each pipe section inspected:
    - 1) Size and length of pipe and pipe material
    - 2) Pipe section reference number
    - 3) Date of inspection
    - 4) Road name/location (city/town)
    - 5) Direction of inspection (upstream or downstream)
    - 6) Starting time of inspection
  - b. Continuously during the inspection:
    - 1) Automatic update of the camera's position, in feet and tenths of feet from the beginning of the pipe section
    - 2) Upstream and downstream locations (manholes reference numbers for sewers and drains).

END OF SECTION

## SECTION 03300

## CAST-IN-PLACE CONCRETE

## PART 1 GENERAL

## 1.1 SUMMARY

- A. Section Includes
  - 1. Concrete Materials
  - 2. Admixtures
  - 3. Concrete Mix
  - 4. Miscellaneous Concrete Materials

## 1.2 REFERENCES

- A. The Connecticut State Building Code, latest edition
- B. American Concrete Institute (ACI)
  - 1. ACI 301-95 - Specifications for Structural Concrete for Buildings, (included as part of this specification)
  - 2. ACI 305 - Hot Weather Concreting
  - 3. ACI 306.1-90 - Standard Specifications for Cold Weather Concreting
- C. American Society for Testing and Materials (ASTM)
  - 1. C33 – Standard Specification for Concrete Aggregates
  - 2. C39 – Standard Test Method for Compressive Strength of Cylindrical Concrete Specimens
  - 3. C40 - Standard Test Method for Organic Impurities in Fine Aggregates for Concrete
  - 4. C42 – Standard Test Method for Obtaining and Testing Drilled Cores and Sawed Beams of Concrete
  - 5. C87 - Standard Test Method for Effect of Organic Impurities in Fine Aggregate on Strength of Mortar
  - 6. C94 - Standard Specification for Ready-Mixed Concrete
  - 7. C131 - Standard Test Method for Resistance to Degradation of Small-Size Coarse Aggregate by Abrasion and Impact in the Los Angeles Machine
  - 8. C150 – Standard Specification for Portland Cement
  - 9. C260 - Standard Specification for Air-Entraining Admixtures for Concrete
  - 10. C309 – Standard Specification for Liquid Membrane-Forming Compounds for Curing Concrete
  - 11. C494 - Standard Specification for Chemical Admixtures for Concrete

12. C535 - Standard Test Method for Resistance to Degradation of Large-Size Coarse Aggregate by Abrasion and Impact in the Los Angeles Machine
13. C618 – Standard Specification for Coal Fly Ash and Raw or Calcined Natural Pozzolan for Use as a Mineral Admixture in Concrete
14. C685 – Standard Specification for Concrete Made by Volumetric Batching and Continuous Mixing
15. C881 – Standard Specification for Epoxy-Resin Base Bonding Systems for Concrete
16. C989 – Standard Specification for Ground Granulated Blast-Furnace Slag for Use in Concrete and Mortars
17. C1059 – Standard Specification for Latex Agents for Bonding Fresh to Hardened Concrete

### 1.3 SUBMITTALS

- A. Submit concrete mix proposed for use, indicating design strength, supplier, batch quantities, and constituents. Provide test report copies indicating prior satisfactory performance in accordance with ACI 301.
- B. Submit data and descriptive literature for concrete constituents including admixtures, aggregate tests, bond breaker, bonding agent, and repair grout.
- C. Submit detailed methods proposed for curing and protection of concrete. This submittal shall be made not less than 10 days prior to the placement of any concrete.
- D. Submit a truck load ticket for every concrete delivery. Ticket information shall include batch time and date, weights of all constituents, quantity of admixtures, water added at the batch plant and moisture content of coarse and fine aggregates.
- E. Maintain an accurate daily record of the locations and quantity of concrete placed.

### 1.4 QUALITY ASSURANCE

- A. Provide inspection of cast-in-place concrete work, and testing, including slump tests, air content, and standard compression testing if required. Materials and workmanship shall be subjected to inspection and testing in mill, shop and/or field by the Engineer. Such inspection and testing shall not relieve Contractor of his responsibility to provide his own inspection, testing, and quality control as necessary to furnish materials and workmanship in accordance with requirements of this Section.
- B. Provide notification prior to the start of any phase of concrete placement work so as to provide the opportunity to inspect the work. Such notification shall be made at least 24 hours in advance of concrete placements and at least 36 hours in advance of other inspections (forms, rebar, etc.).
- C. Facilitate inspection and testing, and furnish the following:
  1. Safe access to the work at all times to allow proper inspection of the work
  2. Full and ample means and assistance for sampling and testing materials and proper facilities for inspection of work in plant and at project site
  3. Access by the Engineer or his representative to the batch plant supplying the concrete at any time.

- D. Slump test, to check consistency, shall be made from the sample used to mold cylinders, if required. Additional slump tests may be taken of every batch delivered to job site if requested by Owner.
- E. Tests for determination of air content shall be made as required to verify conformance with the specifications.
- F. The strength level of the concrete mix shall be considered satisfactory if both of the following criteria are satisfied:
  - 1. Every arithmetic average of any three consecutive strength tests equals or exceeds the specified design strength.
  - 2. No individual strength test (average of two cylinders from the same test group) falls below the specified design strength by more than 500 psi when the specified design strength is 5000 psi or less or by more than 10 percent of the specified design strength when the design strength is more than 5000 psi.
- G. When tests of control specimens fall below these requirements, the Engineer will require 56 day or 84 day cylinder tests or core specimens taken from concrete in question and tested in accordance with ASTM C42. If these specimens do not meet strength requirements, the Engineer has the right to require additional curing, load tests, strengthening or removal and replacement of those parts of the structure which are unacceptable, and in addition, removal of such sound portions of structure as necessary to ensure safety, appearance, and durability of structure. Additional testing, load tests, strengthening or removal and replacement of parts or structure and any costs associated with delay of project shall be at no additional cost to the Owner.
- H. Any material or workmanship which is rejected, either at the batch plant or at the site, shall be replaced promptly at no additional cost to the Owner.
- I. If arrangements for corrections and/or replacements are not made within seven days after notice of rejection, the Owner has the right to have corrections and/or replacement made and charge cost thereof and any costs associated with delay of project against balance of monies withheld.
- J. Acceptance of work and admixtures at the batch plant shall not prevent final rejection at job site upon arrival or after it has been installed, if work is found to be defective.
- K. Concrete that does not meet the requirements of the Contract Documents based on appearance or for any other aesthetic reason, shall be corrected or removed and replaced at no additional cost to the Owner.

## PART 2 PRODUCTS

### 2.1 CONCRETE MATERIALS

- A. Cement: shall be American-made Portland Cement, free from water soluble salts or alkalies which will cause efflorescence on exposed surfaces. Portland Cement shall be Type II, ASTM C150. Air entraining cements are prohibited.
- B. Pozzolans and Blast Furnace Slag
  - 1. Fly Ash: Class F conforming to the requirements of ASTM C618.
  - 2. Ground Granulated Iron Blast-Furnace Slag: Conforming to ASTM C989.

C. Normal weight Fine Aggregate

1. Washed, inert, natural sand conforming to ASTM C33 and the following additional requirements.
  - a. Fineness Modulus 2.75 (plus/minus 0.25)
  - b. Clay lumps and friable particles – 3.0 percent maximum
  - c. Coal and lignite – 0.5 percent maximum
  - d. Organic Impurities (ASTM C40) – Organic Plate No. 2
  - e. Strength of Mortar (ASTM C87) – not less than 95 percent at 7 days
  - f. Soundness (AASHTO T-104) - 10 percent maximum loss (magnesium sulfate solution, five cycles)

D. Normal weight Coarse Aggregate

1. Well graded crushed stone or washed gravel conforming to ASTM C33 and the following additional requirements:
  - a. Material finer than No. 200 sieve – 1.0 percent maximum
  - b. Clay lumps and friable particles – 2.0 percent maximum
  - c. Chert (less than 2.40 specific gravity, saturated surface dry) – 3.0 percent maximum by weight.
  - d. Sum of clay lumps, friable particles, and chert (less than 2.40 specific gravity, saturated surface dry) – 3.0 percent maximum by weight. This limitation only applies to aggregates in which chert appears as an impurity.
  - e. Coal and lignite – 0.5 percent maximum
  - f. Soundness - 18 percent maximum loss (magnesium sulfate solution, five cycles)
  - g. Soundness - 10 percent maximum loss (sodium sulfate solution, five cycles)
2. Coarse aggregates shall not exceed 35% by weight "percentage of wear" as determined by the Los Angeles Abrasion and Impact Tests in ASTM C131 and C535.

- E. Water shall be from approved source, potable, clean and free from oils, acids, alkali, organic matter and other deleterious material.

## 2.2 ADMIXTURES

A. Water-reducing agent:

1. Water-reducing agent shall be by same manufacturer as air-entraining agent.
2. Daracem - 55 W.R. Grace & Co.
3. Pozzolith 220N – BASF Admixtures, Inc.
4. Eucon MR - Euclid Chemical Co.

5. Approved equal conforming to ASTM C494 Type A.
- B. Air-entraining agent:
1. DAREX AEA - W.R. Grace & Co.
  2. MB-VR or MB-AE90 - BASF Admixtures, Inc.
  3. Air-Mix - Euclid Chemical Co.
  4. Approved equal conforming to ASTM C260.
- C. Admixtures which retard setting of cement in concrete shall not be used without written approval of the Engineer. Admixtures causing accelerated setting of cement in concrete shall not be used.

## 2.3 CONCRETE MIX

- A. Select proportions of ingredients to meet the design strength and materials limits specified and to produce concrete having proper placability, durability, strength, appearance and other required properties. Proportioning shall also conform to the requirements in ACI 301 and ACI 318.
- B. The concrete mix design shall be a 4000 psi compressive strength concrete using  $\frac{3}{4}$  inch aggregate. The design mix shall be selected based on previous test records for a mix with essentially the same proportions, and shall meet the following limiting values in Table A:

**TABLE A**  
Maximum Allowable Water/Cement Ratios

Minimum Allowable 28 day Compressive Strength (psi)	Maximum Allowable Water/Cement Ratio	Total Cementitious Material (Pounds)	
		Min	Max
4000	0.45	611	635

- C. If sufficient test records are not available, (at least 30 consecutive strength tests or two groups of tests totaling at least 30 within the past 12 months), the design mix shall be developed using laboratory trial mixtures in accordance with ACI 301.
- D. All concrete is normal weight with air-dry weight not to exceed 150 lbs. per cubic foot.
- E. Fly ash may be substituted for up to 20 percent by weight of the total cementitious material. Ground granulated iron blast-furnace slag may be substituted for up to 40 percent by weight of the total cementitious material.
- F. For concrete flatwork with a steel trowel finish, fly ash may be substituted for up to 10 percent by weight and ground granulated iron blast-furnace slag may be substituted for up to 25 percent by weight of the total cementitious material.
- G. All concrete shall contain the approved air-entraining admixture as per manufacturer's written instructions to provide entrained air by volume in the cured concrete between 4.5 and 7.5%.
- H. The design mix shall meet the following slump limiting values in Table B:

**TABLE B**  
Concrete Slump<sup>1</sup>

Portion of Structure	Recommended (inches)	Maximum Range (inches)
Mats	2	2-3
Walls, Column, Beams	4	3-5
Slabs	3	2-4

<sup>1</sup>After addition of high range water reducer

- I. The approved water-reducing admixture shall be used in all concrete, in accordance with manufacturer's written instructions.

## 2.4 MISCELLANEOUS MATERIALS

- A. Grout shall be a ready-to-use, non-metallic, non-shrink aggregate product requiring only the addition of water at the job site. Grout shall be as manufactured by Five Star Products, Inc.; Euclid Chemical Company; Master Builders; or equal. Grout shall be easily workable and shall have no drying shrinkage at any age. Compressive strength of grout (2 inch by 2 inch cubes) shall not be less than 5000 psi at 7 days, and 7500 psi at 28 days.
- B. Concrete Construction Joint Roughener:
  1. Provide a water soluble non-flammable, surface-retardant roughener.
  2. Product and Manufacturer:
    - a. Rugasol-S by Sika Corporation for horizontal joints only
    - b. Tuf-Cote (Deep Etch) by Preco Industries Ltd. for vertical joints
    - c. Approval equal
- C. Bond Breaker:
  1. Provide an adhesive-backed glazed butyl or polyethylene tape which will satisfactorily adhere to the premolded joint filler or concrete surface as required. The tape shall be the same width as the joint.
  2. Bond breaker for concrete other than where tape is specifically called for shall be either bond breaker tape or an ASTM C309 non-staining type bond prevention coating such as Masterkure 100WB by Degussa Construction Chemicals, Super Bondbreaker VOC or Super Bondbreaker WB by Edoco Burke Construction Chemicals, Tilt-Eez VOC or Tilt-Eez WB by Conspec Marketing & Manufacturing or equal.
- D. Bonding Agent:
  1. Provide a two-component, 100% solids, moisture -tolerant structural epoxy adhesive conforming to ASTM C881, Type II. The bonding agent shall be Sikadur 32 Hi-Mod by Sika Corporation of Lyndhurst, NJ, Concreive Liquid (LPL) by Degussa Admixtures, Inc. of Cleveland, OH or equal.



2. Latex bonding agent shall be a non-remulsifiable acrylic-polymer latex conforming to ASTM C1059 Type II.

### PART 3 EXECUTION

#### 3.1 EXAMINATION

- A. Verify cover requirements over all reinforcement.
- B. Verify that anchors, seats, plates, reinforcement and other items to be cast into concrete are accurately placed, positioned securely, and will not cause hardship in placing concrete.
- C. Verify site conditions to insure that full access is available for placement of concrete.

#### 3.2 JOINTS

- A. Construction and expansion joints indicated on Drawings are mandatory and shall not be omitted. Construction joints shall conform to the following:
  1. Before placing new concrete against concrete already in place and hardened, the surface shall again be cleaned with a jet where practical. The exposed aggregate shall then be mopped with a mortar composed of the same proportions of sand and placed and mopped in place immediately prior to the placing of concrete and shall not have set up or hardened prior to the placing of concrete.
  2. Where joints other than those shown are required, they shall be made at such locations as the Engineer may allow, and shall in no case impair the structural strength of the structure.
- B. Joints not indicated or specified shall be placed to least impair strength of structure and shall be subject to approval of the Engineer.
- C. Saw-cut joints shall be installed in the locations shown on the Drawings. Saw-cut joints shall not be substituted for formed construction joints unless approved by the Engineer. Saw-cut joints shall conform to the following requirements:
  1. The depth of the saw cut shall be at least  $\frac{1}{4}$  of the slab thickness or a minimum depth of one inch unless otherwise shown on the Drawings.
  2. Do not saw cut through slab reinforcing steel unless directed to do so in writing by the Engineer.
  3. Joints produced using conventional wet-cut process shall be completed within 4 to 12 hours after the slab has been finished - 4 hours in hot weather conditions and 12 hours in cold weather conditions.
  4. Joints produced using the early-entry dry cut process shall be formed using diamond-impregnated blades and shall be completed within 1 to 4 hours after the slab has been finished – 1 hour in hot weather conditions and 4 hours in cold weather conditions. The maximum depth of joints produced by the dry cut process shall not exceed 1-1/4 inches. Care should be taken to make sure that the saw does not ride up over large or hard coarse aggregates.
  5. Regardless of the saw cutting process chosen, the saw cutting must be performed before the concrete starts to cool, as soon as the concrete surface is

firm enough not to be torn or damaged by the cutting blade, and before random-drying-shrinkage cracks can form in the concrete slab.

### 3.3 MIXING, CONSISTENCY, AND DELIVERY OF CONCRETE

- A. Concrete shall be ready-mixed, produced by a central batch plant. Hand or site mixing shall not be allowed. Constituents, including admixtures, shall be batched at the central batch plant. Admixtures shall be premixed in solution form and dispensed as recommended by the manufacturer.
- B. Central plant and rolling stock equipment and methods shall conform to Truck Mixer and Agitator Standard of Truck Mixer Manufacturer's National Ready-Mixed Concrete Association, ASTM C94, ASTM C685, and Contract Documents. Consistency of concrete at time of placement shall be at a 3 inch slump, +/- 1 inch.
- C. Ready mixed concrete shall be transported to the site in watertight agitator or mixer trucks loaded not in excess of rated capacities. Discharge at site shall be within one and one-half hours after cement is first introduced into the aggregates. Concrete with a temperature greater than 90°F. shall be rejected and removed from the site.
- D. During any of the following conditions: high ambient temperature, high concrete temperature, low relative humidity, increased wind velocity, high solar radiation, when the temperature of the concrete is 85°F or above, the time between the introduction of cement to the aggregates and discharge shall not exceed one hour. In addition, when the rate of evaporation on the surface of the concrete is expected to approach 0.2 lb/ft<sup>2</sup>/hr. (see chart in ACI 305R) special precautions shall be taken against the formation of plastic shrinkage cracking on the surface of the concrete after placement.
- E. During any period when for more than three successive days the average daily outdoor temperature drops below 40°F, the concrete temperature at the time of placement shall be as specified in Table C below.

**TABLE C**  
Concrete Temperature During Cold Weather

Least dimension of section, inches.	Minimum temperature of concrete as placed and maintained during the protection period, °F	Maximum gradual decrease in surface temperature during any 24 hours after end of protection, °F
Less than 12	55	50
12 to less than 36	50	40
36 to 72	45	30
Greater than 72	40	20

- F. Central mixed concrete shall be plant mixed a minimum of five minutes. Agitation shall begin immediately after premixed concrete is placed in truck and shall continue without interruption until discharged. Transit mixed concrete shall be mixed at mixing speed for at least ten minutes immediately after charging truck followed by agitation without interruption until discharged.
- G. Retempering of concrete which has partially hardened by mixing with or without additional cement, aggregates, or water shall not be permitted.

### 3.4 PLACING CONCRETE

- A. Remove excess water and foreign matter from forms and excavations. Do not place concrete on frozen soil. Provide adequate protection against frost action during freezing weather.
- B. Transport concrete from mixer to place of final deposit as rapidly as practical by methods which prevent separation of ingredients and displacement of reinforcements, and which avoid re-handling. Do not deposit partially hardened concrete. When concrete is conveyed by chutes, equipment shall be of such size and shape to ensure continuous flow in chute. Flat (coal) chutes shall not be used. Chutes shall be of metal or metal lined and uniformly sloped. Slope shall not be less than 25° nor more than 45° from horizontal. Concrete shall be lowered and maintained as near to the surface of deposit as practicable. The chute shall be thoroughly cleaned before and after each use and debris and any water shall be discharged outside of the forms. Concrete shall not be allowed to flow horizontally over distances exceeding 10 feet or dropped vertically over 6 feet.
- C. Place concrete in such a manner as to prevent segregation and accumulations of hardened concrete on forms or reinforcement above the grade of concrete being placed. Suitable hoppers and spouts with restricted outlets and tremies shall be used as required.
- D. Thoroughly consolidate each layer of concrete by rodding and vibrating using internal type mechanical vibrator. Vibration shall be done by experienced operators under close supervision and shall be carried on only enough to produce homogeneity and optimum consolidation without permitting segregation of constituents or "pumping" of air. Vibrators used for normal weight concrete shall operate at speeds of not less than 7,000 vpm and be of suitable capacity. Do not use vibrators to move concrete. Vibration shall be supplemented by spading to remove bubbles and honeycombs adjacent to visible surfaces. At least one vibrator shall be on hand for every 10 cubic yards of concrete placed per hour, plus one spare. Vibrators shall be operable and on site prior to starting concrete placement.
- E. Deposit concrete continuously, and in layers of such thickness that no concrete will be deposited on concrete which has hardened sufficiently to cause formation of seams and planes of weakness within the section. If a section cannot be placed continuously between planned construction joints, as specified, field joints and additional reinforcement shall be introduced at the Contractor's expense to preserve structural continuity.
- F. Cold joints, particularly in exposed concrete, including "honeycombs", are unacceptable. If they occur in concrete surfaces exposed to view, the Engineer will require that entire section in which blemish occurs be removed and replaced with new materials at the Contractor's expense.

### 3.5 CURING AND PROTECTION

- A. When concrete is placed at or below an ambient air temperature of 40°F. or whenever this temperature or lower values are likely to occur within 48 hours after placement of concrete, cold weather concreting procedures, according to ACI 306.1 and as specified herein, shall be followed. The entire area affected shall be protected by adequate housing or covering, and heating. No salt, chemicals or other foreign materials shall be used in the mix to lower the freezing point of concrete. No oil or

kerosene heaters shall be utilized. Vent flue gases from combustion heating units to the outside of the enclosure.

- B. No frozen materials shall be used in batching concrete and any ice shall be removed from coming into contact with the concrete.
- C. Protect concrete work against injury from heat, cold, and defacement of any nature during construction operations.
- D. Concrete shall be treated and protected immediately after concreting or cement finishing is completed, to provide continuous moist curing above 50°F. for at least 7 days, regardless of ambient air temperatures.
- E. All concrete shall be cured immediately after finishing in accordance with the following requirements:
  - 1. Curing shall be accomplished by a continuous soaking process such as the use of soaker hose or sprinklers, or by use of plastic roll materials to cover the concrete, which shall be thoroughly wetted at least once a day or more often as required in very hot weather. Such plastic shall be placed as soon as possible after finishing of concrete so that scarring of the surface will not occur. Plastic shall be held in place on the surface of the concrete in such a manner and means as will not allow it to be blown off or otherwise dislodged from the concrete surface. Curing procedures shall be maintained continuously for a period of at least 7 days.
  - 2. All methods of curing shall be subject to approval of the Engineer, and each method employed shall be practical and adequate for the curing required. Curing compounds in lieu of wet curing will not be allowed.
- F. Keep permanent temperature records showing date and outside temperature during concreting operations. Thermometer readings shall be taken at start of work in morning, at noon, and again late in afternoon. Locations of concrete placed during such periods shall likewise be recorded in such manner as to show any effect temperatures may have had on construction.

### 3.6 REMOVAL OF FORMWORK

- A. Forms shall not be removed until concrete has attained sufficient strength to support its own weight, construction loads to be placed thereon and lateral loads, without damage to structure or excessive deflection.
- B. With the exception of construction joint bulkheads and keyways, forms and supports shall remain in place for not less than the minimum time periods noted below.
  - 1. Unless specifically authorized by the Engineer, forms for vertical surfaces shall not be removed before the concrete has attained a strength of not less than 30 percent of the minimum allowable prescribed compressive strength nor not less than the minimum time period specified in Table D.
  - 2. Unless specifically authorized by the Engineer, forms for horizontal surfaces shall not be removed before the concrete has attained a strength of not less than 60 percent of the minimum allowable prescribed compressive strength nor not less than the minimum time period specified in Table D.

**TABLE D**  
Minimum Degree Day Requirement for Form Removal

Form Use	Degree-Days
Walls and Vertical Surfaces	200
Elevated Slabs	400
Beams and Girders	600

3. Definition of degree-days - Total number of days times mean daily air temperature at the surface of the concrete. For example, 5 days at temperature of 60°F. equals 300 degree-days. Days or fractions of days in which temperature is below 50°F. shall not be included in calculation of degree-days except where modified by Table C above.
- C. Forms for construction joint bulkheads and keyways may be removed the following day, after the concrete pour. Extreme caution must be used to avoid damage to the concrete surface and keyway.
- D. Any test cylinders required to verify the specified minimum strengths for form removal shall be field cured under the same conditions as the concrete they represent. Such cylinders and testing shall be at the Contractor's expense.

### 3.7 FINISHING OF CAST-IN-PLACE CONCRETE

- A. "Broom Finish" - On exterior work such as sidewalks and where else called for, a broom finish shall be used. The finishing shall be accomplished in the following manner. Screeding shall be done and the surface worked up with a wood float. At a proper time thereafter, the surface shall be steel troweled at least once and more if so directed. Upon completion of troweling, a sufficiently stiff bristled broom shall be drawn lightly across the surface to produce a slightly striated finish. The brooming shall in general be perpendicular to the main traffic route. Coordinate required finish with the Engineer before application
- B. Allow the concrete surface to dry before starting finishing operations. Do not, under any circumstance, add dry cement to wet areas in order to accelerate drying. Finishing and rubbing required for all parts of the work shall be done only by competent "Cement Finishers" trained for the work
- C. Formed Surfaces: Immediately after the end of the wet cure period, remove form ties and patch all tie-holes, rat holes, and other surface voids with a non-metallic, non-shrink grout, which most nearly matches the color and texture of the concrete surface. All protrusions shall be ground smooth with an approved mechanical grinder.

### 3.8 REPAIRING OF HARDENED CONCRETE SURFACES

- A. Defective concrete and honeycombed areas shall not be patched unless examined and approval is given by the Engineer. After approval, areas involved shall be cut back to a minimum depth of 1 inch from the finished surface, or as otherwise directed, whichever is greater. Edges of areas to be repaired shall be cut square to a minimum depth of 3/4 inch. Feathered edges will not be allowed. Any voids or honeycomb around reinforcing steel shall be chipped away to provide at least 3/4 inch clearance all around to permit proper placement of repair concrete around the steel to the parent, sound concrete.

- B. Exposed surfaces shall be thoroughly cleaned of all mud, paint, grime, scum, laitance, organic matter, detritus, calcareous growth and other foreign matter by sand and water blasting or other acceptable means. Immediately after cleaning, the surface shall be checked by the Engineer for proper surface preparation, including fractured concrete or loose aggregate. Any such material shall be removed using pneumatic or hand tools. The final surfaces shall be thoroughly rinsed with clean water to remove remaining dirt and dust.
- C. Premoisten the prepared surface for at least 2 hours to reduce the absorption of water by the parent concrete and to provide a reservoir for moist curing at the interface of the repair. The substrate should be saturated surface dry with no standing water. While the concrete surface is still damp, apply a thin 1/16 inch coat of neat cement slurry (mixed to the consistency of a heavy paste) with a bristle brush to provide a bond coat throughout the entire cavity of the repair. Before the slurry has dried or changed color, promptly install the repair concrete or dry-pack, as may be required or selected.
- D. For relatively small areas, ram repair concrete into this portion of the formed void. This concrete shall comprise a crumbly-dry 1-1.5 mixture of cement, concrete sand and pea gravel (or 3/4 inch gravel) mixed slightly damp to the touch (just short of "balling"). The "dry-pack" consistency of the concrete shall be zero slumps, but moist enough so that when it is rodded and tamped until dense, an excess of paste will appear on the surface in the form of a spider web. In cases of unformed voids of thinner section, do not build-up repair in excess of a depth which will sag with the weight of the fresh mortar or concrete. Trowel smooth with heavy pressure.
- E. The concrete shall be of the driest possible consistency and mix composition so that it can be worked into the corners and angles of forms and around the reinforcement, without permitting the materials to segregate or free water to collect on the surface, due consideration being given to the methods of placing and compacting. Source and mixture of concrete shall be submitted for approval.
- F. Concrete shall be deposited continuously, or in layers of such thickness that no concrete will be deposited which has hardened sufficiently to cause the formation of seams and planes of weakness within the section. Concrete shall be thoroughly consolidated and trowelled dense, smooth and plane. Avoid premature and excessive trowelling which could cause sagging.
- G. Repair areas and adjacent parent concrete surfaces shall be continuously moist cured immediately after finishing for at least 7 days. Surfaces shall be covered with damp burlap and sealed with taped polyethylene. Membrane curing compounds shall not be used.
- H. Leave finished work and adjacent concrete surfaces in a neat, clean condition with no evidence of spillovers or staining.

### 3.9 CLEANING

- A. Concrete surfaces shall be cleaned of objectionable stains as determined by the Engineer. Materials containing acid in any form or methods which will damage the "skin" of concrete surfaces shall not be employed, except where otherwise specified.

END OF SECTION

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